Keeping Our Distinctions Straight: A Response to “Originalism: Standard and Procedure”

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KEEPING OUR DISTINCTIONS STRAIGHT: A RESPONSE TO ORIGINALISM: STANDARD AND PROCEDURE

Mitchell N. Berman*

INTRODUCTION

Let’s start at the end, the very end. “If ‘[l]aw and philosophy are both in the distinction business,’” Stephen Sachs’s Originalism: Standard and Procedure concludes, “we ought to keep our distinctions straight. Distinguishing standards from decision procedures will help.” I accept that first, conditional claim. (Who would deny it?) Going further, I affirm its antecedent heartily: law and philosophy are both in the distinction business. So, yes, we lawyers and legal scholars ought to keep our distinctions straight. The question remains: Will distinguishing standards from decision procedures help us see our way clearer? The answer depends, I think, on how we are to take the distinction — as analogy or as template.

The locus classicus of the distinction, as Sachs faithfully recounts, is a fifty-year-old article by the philosopher Eugene Bales distinguishing two questions that philosophers and ordinary folk might want an ethical theory to answer. The first question is: What makes an act right? What properties or characteristics must an act possess in virtue of which it is right? The second asks: How ought an agent determine whether an act-token available to it on a given occasion is right? Terming an answer to the first question an ethical “standard” and an answer to the second a “decision procedure,” Bales observed that many philosophers think that a standard should imply a decision procedure, so that the inadequacy of a standard as a decision procedure reveals the deficiency of the standard as a standard. Bales argued that this was wrong: ethical standards do not imply ethical decision procedures. Sachs observes that constitutional theory, much like ethics, addresses two basic ques-

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3 See id. at 260.

4 See id. at 261.

5 See id. at 262.

6 See id.
tions: What makes an act or event constitutional (in other words, constitutionally permitted or constitutionally authorized)? And how ought a court determine whether a given act or event before it is constitutional? Therefore, he reasons, the standard/decision procedure distinction that has clarified matters in ethics should apply in the constitutional domain too: a “constitutional standard” answers the first question and a “constitutional decision procedure” the second.

In saying that Sachs could be deploying Bales’s distinction as an analogy, I mean that he might be intending only to “point toward” a broadly similar distinction in the legal realm without maintaining that the constitutional distinction is isomorphic to the ethical distinction, or that Bales’s vocabulary and definitions capture that distinction optimally well. Sachs would be offering Bales’s distinction as a “template” if intending to argue that the standard/decision procedure distinction does a better job at capturing the truth of the matter than previous conceptualizations and related terminologies bandied about in the constitutional theory literature, that it comes closer to the joints of law and legal practice.

As an analogy, Bales’s standard/decision procedure distinction is tremendously helpful: what determines what our law is, or what makes true legal propositions true, is indeed a different question from how judges should resolve constitutional disputes. The problem is that the general distinction is not new; it has been pressed vigorously by more than a few legal philosophers and constitutional theorists especially over the past decade. It is reflected in the familiar jurisprudential distinction between “theories of law” and “theories of adjudication,” in the work of many originalists who have denied that doubts about originalism’s workability undermine its correctness, and in my own distinction between “constitutive” and “prescriptive” constitutional theories.

As a template, on the other hand, the proposal to embrace this distinction might well be novel. The problem now is that Sachs does not make adequately clear how the standard/decision procedure distinction is meant to fit with, and improve upon, existing philosophically inflected distinctions that seem intended to cover the same broad conceptual space, and there is good reason to worry that it muddies at least as much as it clarifies. First, the distinction is not as secure and well accepted in ethics as Sachs intimates. Second and more importantly, ethics and law are disanalogous in one way that frustrates the clean transposition of this distinction from the former domain to the latter: moving from fundamental standard to case-specific verdict is a one-step journey in ethics (at least on Bales’s picture) but a two-step journey in law. The ethical case (plausibly) requires only “application” of the standard to yield a

7 See Sachs, supra note 1, at 805–08.
8 See id. at 809–13.
9 See infra Part I, pp. 136–41.
verdict, whereas the constitutional case involves the analytically distinct stages of “interpretation” (a journey from standard to law) and of “application” (a journey from law to verdict).

That difference is not the end of the story, however. It might be if Sachs’s sole intended contribution — beyond, as always, his lively prose, sharp wit, and keen eye for illuminating examples — were either to draw attention to a general distinction of which constitutional theorists had supposedly been innocent (they hadn’t been) or to advocate that Bales’s precise conceptualization of the relevant distinction and his chosen terminology are clearly to be preferred over existing alternatives (not so clear). But to reduce Originalism: Standard and Procedure to these two claims would be to miss its chief ambition. Sachs’s principal intended contribution is to put the distinction to a supposedly unnoticed use — a use that understandably made Bales’s article irresistible.

Bales was an act-utilitarian who was responding to what he thought was a common but illicit criticism of that theory. The fact (if true) that act-utilitarianism works poorly as an ethical decision procedure, Bales argued, is no mark against it as a standard of ethical rightness. Sachs is an originalist. The fact (if true) that originalism (or some particular version of it) works poorly as a constitutional decision procedure, Sachs argues, is no mark against it as a standard of constitutional rightness. Sachs contends that previous work in constitutional theory has overlooked that much criticism of originalism as a family of “interpretive” theories rests on ignorance of the basic distinction (law/adjudication, constitutive theory/prescriptive theory, standards/procedures, etc.) and has therefore failed to make clear how careful attention to the distinction strengthens originalism against its critics.

I’m skeptical. I think that “the practical objection” to originalism that motivates his article is a much less prominent objection to originalism in today’s scholarly debates than Sachs seems to think, and that the crux of Sachs’s response is better anticipated in the literature than he recognizes. But I could be wrong on both counts and, in any event, I’m unconcerned to argue it. Sachs’s central claim — that any practical defects of originalism as a decision procedure have little (or possibly no) bearing on whether originalism is our standard — is surely correct, whatever else might be said about it. Even a nonoriginalist need not dissent.

This invited Response develops these ideas over four Parts. Part I runs quickly through recent work in jurisprudence and constitutional theory to show that scholars have been far more attuned to distinctions

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10 See Bales, supra note 2, at 257.
11 See Sachs, supra note 1, at 778.
12 See id. at 787.
13 Id. at 782.
14 See id. at 797–98. The objection does have bearing on whether originalism should be our standard insofar as we have power over what our standard is or will be.
highly analogous, if not identical, to Bales’s cut between standards and decision procedures than the naïve reader of Originalism: Standard and Procedure will have surmised. Part II offers reasons to doubt the value of Bales’s distinction as a template (rather than analogy) for constitutional theory and thus to question whether or how far Sachs is advancing the ball relative to the preexisting state of play. Part III sketches components of an alternative picture of constitutional law and adjudication that is more sensitive to the core difference between ethics and law that Part II identifies. Among other things, Part III proffers reasons to favor the “constitutive” language that I adopt (following Mark Greenberg) over the “truthmaking” language that Sachs does (following Christopher Green) and introduces my previously advanced distinction between “operative rules” and “decision rules” as an aid to clear thinking in the broad space that Bales-Sachs “decision procedures” are supposed to cover. Part IV turns to originalism. The goal of Sachs’s article is to rebut “one type of argument” against originalism\(^{15}\) — the “practical objection” that I have already intimated is no objection of mine. But what then is my objection? Mine is that originalism is not our standard, that it’s the wrong constitutive theory. The last Part explains.

I. THE PRIOR STATE OF PLAY

If I have one complaint about Originalism: Standard and Procedure, as distinct from a substantive disagreement, it’s that the exposition invites the impression that, as far as the legal-theoretic literature is concerned, Sachs is writing on a nearly blank slate. He isn’t.

This Response is not the place to attempt a history of jurisprudential engagement with some form of a difference between “theories of law” and “theories of adjudication.” Such an endeavor would take some effort because thinkers have used these terms, and the contrast between them, to capture diverse ideas. But often enough legal philosophers have used this language to mark a distinction strikingly like Bales’s and Sachs’s. Consider the prominent role that a law/adjudication distinction played in scholarly efforts to make best sense of Ronald Dworkin’s antipositivist challenge to Hartian positivism. H.L.A. Hart himself suggested that his theory was one of law and Dworkin’s one of adjudication when puzzling over “why there should be or indeed could be any significant conflict between enterprises so different as my own and Dworkin’s conceptions of legal theory.”\(^{16}\) Joseph Raz agreed with Hart that his and Dworkin’s projects differed: Hart’s was to propound “a theory of

\(^{15}\) *Id.* at 781 (quoting Bales, *supra* note 2, at 257).

(the nature of) law," whereas Dworkin’s was to construct “a theory of adjudication, a theory which if correctly followed yields a uniquely correct answer to any question of American law.”18 Dworkin’s “conception of the tasks and method of jurisprudence” was ultimately defective, Raz concluded, in part because of his “failure to allow that the two [kinds of theory] are not the same.”19 Responding (indirectly) to Raz in these very pages, Dworkin accepted the distinction between a “theory of law”20 that aims to explain “what makes a statement of what the law of some jurisdiction requires or permits true?”21 and a “theory of adjudication” that seeks to explain “how judges should decide cases.”22 But he denied that his theory was concerned solely or even primarily with the latter.23 A theory of what makes a proposition of law true “is certainly the core of” a theory of how judges should decide cases, he explained, because judges cannot have a warranted sense of how they should reason without grasp of what they’re looking for.24

Far from being of concern only to legal philosophers, distinctions along these basic lines are well known to American constitutional theorists, perhaps especially to originalists. In a much-cited article from a quarter-century ago, Gary Lawson aimed to clarify originalism’s proper ambitions by distinguishing “theories of interpretation,” which typically address “what is the correct way in which to interpret the Constitution,” from “theories of adjudication,” which typically concern how judges should resolve disputes.25 Originalism, he argued, is better conceived as a theory of correct constitutional interpretations than as a theory of how judges should adjudicate disputes.26 The originalist-intentionalist Stanley Fish made much the same point when rebutting a critic’s “epistemological objection” — namely, that “it will be difficult for an interpreter to offer convincing justification for the claim that a certain interpretation corresponds to an author’s actual intention” — by insisting that the objection misunderstands what intentionalism is a theory

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18 Id. at 27–28.
19 Id. at 37.
20 Ronald Dworkin, *Hart and the Concepts of Law*, 119 HARV. L. REV. F 95, 97 (2006). Dworkin’s article was published as a response to Frederick Schauer’s review of Nicola Lacey’s biography of Hart.
21 Id. at 98.
22 Id. at 97.
23 Id. at 99–101.
24 Id. at 101.
26 See id. at 1824–25.
of. Intentionalism, Fish explained, is an answer to the question of what determines the meaning of a text, including our constitutional text. It is “not a method”: “Knowing that you are after intention does not help you find it; you still have to look for evidence and make arguments.”

Green, in an article that Sachs draws on, repackaged the theory of law/theory of adjudication in terms of a neighboring “distinction[] that philosophers have long drawn in dealing with the nature of reality,” that between ontology and epistemology. If we keep a distinction between constitutional epistemology and constitutional ontology in mind,” Green argued, “many arguments in constitutional theory will immediately be seen to misfire.

To stress merely epistemic flaws in a competitor constitutional theory is not enough to undermine it. The original meaning, some say, is too difficult to unearth for originalism to be true. Daniel Farber and Suzanna Sherry, for instance, insist that “[o]riginalists necessarily assume that we can ascertain the intent of the founding generation.” Not so, if originalism is an ontological thesis about what makes constitutional claims true, rather than an assumption about what we can ascertain.

Writing alone and with others, I, a nonoriginalist, have also been stressing the importance of the distinction. A decade ago, Kevin Toh and I coauthored two symposia articles that, in different ways, turned on the difference between two kinds of constitutional theories, “theories of law,” on the one hand, and “theories of constitutional adjudication” or of “constitutional interpretation proper,” on the other. The former we defined as “theories of the ultimate criteria of legal validity, or of the ultimate determinants of legal content — i.e., theories regarding what it is that gives the law in any given jurisdiction the content that it has.” The latter, we said, are “theories of what judges should do in the course of resolving disputes,” a broad heading that would include accounts of


28 See id. at 646.

29 Id. at 643.

30 Id. at 650.

31 Christopher R. Green, Constitutional Truthmakers, 32 NOTRE DAME J.L. ETHICS & PUB. POLICY 497, 498 (2018); see Sachs, supra note 1, at 3 & n.2.

32 Id. at 511 (emphasis omitted).

33 Id. (emphasis omitted) (footnote omitted) (quoting DANIEL A. FARBER & SUZANNA SHERRY, DESPERATELY SEEKING CERTAINTY: THE MISGUIDED QUEST FOR CONSTITUTIONAL FOUNDATIONS 14 (2002)).


35 Berman & Toh, On What Distinguishes New Originalism from Old, supra note 34, at 552.
how judges “should try to determine or discover the Constitution’s legal
content, or what it is that the constitutional law provides.”36 My subsequent work adopted new terminology for the distinction, now con-
trasting “constitutive” theories that purport to set forth “the grounds of
our constitutional law” with “prescriptive” theories that would direct
“how judges ought to interpret texts.”37 These two kinds of theory con-
cern different subject matters. Prescriptive theories, David Peters and
I explained, address such questions as “How should judges interpret the
Constitution? How should (unelected) judges exercise their power of
judicial review? How ought courts resolve constitutional disputes?”38
Constitutive theories answer “[a] second cluster of questions,” including
“What are the grounds of our constitutional rights and powers? In vir-
tue of what does our constitutional law have the content that it does?
What are the truthmakers of true propositions of constitutional law?”39

Like the other scholars mentioned, I encouraged readers to attend to
the distinction because I believed it held lessons of importance, not just
to keep busy. First and most critically, Toh and I explained, these are
distinct kinds of theory that are often not intertranslatable:

A view about what the law is or what it consists of does not by itself entail
or presuppose any position about how judges are supposed to adjudicate
constitutional disputes; and a view about how judges should go about ad-
judicating constitutional disputes does not by itself entail or presuppose any
position about what the law is or consists of.40

Second, although the two kinds of theory meet different needs, it doesn’t
follow that they have equal stature. Because (echoing Dworkin) there’s
no sensible way to figure out how judges should reason without presup-
posing at least a rough account of what judges should be looking for, a
constitutive theory of constitutional legal content has natural priority
over a prescriptive theory of constitutional adjudication.

Much of interest follows. For one, these insights suggest a more il-
 luminating way to periodize modern originalism — downplaying the
shift in focus from original intentions to original meanings and
highlighting an evolution from prescriptive to constitutive

36 Id.
39 Id.
40 Berman & Toh, Pluralistic Nonoriginalism and the Combinability Problem, supra note 34, at 1739–40; see also Berman, Our Principled Constitution, supra note 37, at 1337 (distinguishing the two theories).
claims.41 For another, once we start thinking in more explicitly constitutive rather than prescriptive terms, pluralistic theories of “constitutio

nal interpretation” — by far the most popular theories around — might seem to stand on shakier footing. While it is intuitive that very different kinds of facts (historical, semantic, evaluative, etc.) can combine to make out what an agent should do (that’s our everyday experience of practical reasoning), it has struck some as mysterious how such disparate factors could jointly determine the law.42 For a third — and Sachs has reason to find this of particular interest — the distinction underwrites the most persuasive rejoinder, on Justice Scalia’s behalf, to Judge Posner’s notoriously scathing review of Scalia’s book, coauthored with Bryan Garner, Reading Law.43 As I have previously argued, Posner’s critique founders on his failure to understand “that Scalia and Garner, along with many originalists, should be understood not as advancing ‘normative’ arguments about how judges should ‘inter-

pret’ legal texts but rather as advancing a jurisprudential claim about what the law is.”44

I could go on, but the goal here is not (only) to plug my recent constitutional writings. It’s to spotlight some of the many constitutional scholars who have hammered at a distinction remarkably like Bales’s distinction between standards and decision procedures and to convey a flavor of their reasons for doing so. Notice too that every thinker mentioned so far prioritized investigations into the determinants of legal content over guidance for legal decisionmaking. As Toh and I urged, “we should be talking more, and more explicitly, about what it is that we should be looking for and less about how we should undertake that investigation.”45 The core lesson that Sachs would have us take from

41 See Berman & Toh, On What Distinguishes New Originalism from Old, supra note 34, at 552; Berman, Our Principled Constitution, supra note 35, at 1340–44.


44 Mitchell N. Berman, Judge Posner’s Simple Law, 113 MICH. L. REV. 777, 803 (2015) (book review); see also id. at 778–79 (“Contemporary originalism’s center of gravity is not, contrary to the common rhetoric, fundamentally a position about the activity denominated ‘interpretation.’ It is fundamentally a claim about the content of law. Contemporary originalists by and large believe that what the law is — what our legal powers, duties, and rights are — is fully determined by semantic qualities of promulgated texts.”).

45 Berman & Toh, On What Distinguishes New Originalism from Old, supra note 34, at 552.
Bales is that standards of rightness, as distinct from procedures for sound judicial reasoning, are “probably also worth having in law.”46 It’s disheartening that Sachs would think this a lesson that readers familiar with the existing literature still need to be taught.

II. STANDARDS AND DECISION PROCEDURES?

Of course, the fact that many words had already been written on Sachs’s general topic before he entered the fray goes almost no distance toward establishing that any of them should be considered the last. Concepts are continually refined, by slicing some distinctions a little finer, relocating a cut here, altering an angle there, applying different and more perspicuous vocabulary. So nothing I’ve said in Part I casts doubt on the possibility that Bales’s carving gets at what constitutional theorists should care about better than do the distinctions that constitutional theorists already own. But there are significant reasons for doubt.

First, Bales’s article, despite its virtues and influence, is, after all, half a century old. Insofar as Sachs wishes to exploit “distinctions that have survived considerable philosophical scrutiny,”47 a little updating is in order.

To begin with, many normative philosophers simply deny the value or cogency of Bales’s distinction, even for ethics.48 Christine Korsgaard, a Kantian, flatly rejects “the distinction between the outcomes of decision procedures, on the one hand, and standards of rightness, on the other, on which many defenses of utilitarianism ride.”49 Many virtue theorists do as well.50 But even among those who endorse the standard/decision procedure distinction in broad strokes, few would accept it “as is,” on either side of the slash. Working backwards, talk of “decision procedures” is clearly inapt. Thanks to the influence of computational theory, a decision procedure is generally understood as an

46 Sachs, supra note 1, at 787.
47 Id. (quoting Green, supra note 1, at 561).
49 Christine M. Korsgaard, Natural Goodness, Rightness, and the Intersubjectivity of Reason: Reply to Arroyo, Cummiskey, Moland, and Bird-Pollan, 42 METAPHILOSOPHY 381, 389 (2011); see id. at 389–90.
algorithm. And while Bales himself does not say that a decision procedure for ethics would be algorithmic, the description he offers — “a procedure which, if followed, would crank out in practice a correct and immediately helpful answer to [ethical] questions”51 — suggests hope for a much more mechanical and reliable process of ethical decisionmaking than many normative philosophers will think likely.

Bales’s standards stand in better stead, but perhaps not under the same label. Bales defined a “standard” of rightness as “that characteristic, or perhaps that very complex set of characteristics, which all and only right acts have by virtue of which they are right.”52 Many philosophers today would eschew “standards” talk in favor of “grounds” and “grounding.” Grounding is a noncausal relationship of metaphysical dependence — a label for the relationship by which more fundamental entities determine (in the sense of constitute, not in the sense of “figure out”) less fundamental ones.53 Put in grounding terms, Bales’s favored theory, act-utilitarianism, maintains that the fact that an act is optimific (in other words, it produces at least as much good as any alternative) grounds the fact that it is right. “On this proposal,” the philosopher Selim Berker explains, “consequentialists insist that facts about rightness obtain in virtue of certain facts about goodness, that the latter facts are what make it the case that the former facts obtain, that it is because of the relevant facts about goodness that the corresponding facts about rightness hold.”54 Formulations such as these “just roll off the tongue,” Berker notes, “and for good reason. Grounding is what we are after.”55 (I’ll return to this point when advocating for “constitutive” talk over both “standards” and “truthmakers,” in section III.C.)

Second, however apt or useful the distinction is in ethics, its native home, a smooth transposition to the legal context is hampered by law’s greater structural complexity.56 Deriving the case-specific verdict from the standard in the constitutional case (Is this statute a constitutionally authorized exercise of power? Does this enforcement action violate this person’s constitutional rights?) plausibly involves two analytically

51 Bales, supra note 2, at 261.
52 Id. at 260.
53 See generally METAPHYSICAL GROUNDING: UNDERSTANDING THE STRUCTURE OF REALITY (Fabrice Correia & Benjamin Schnieder eds., 2012).
54 Selim Berker, The Unity of Grounding, 127 MIND 729, 741 (2018) (specifically proposing that Bales’s “standards” talk be reinterpreted in grounding terms and emphasizing that this interpretation is natural and uncontroversial).
55 Id.
56 I share Sachs’s eagerness to draw appropriate lessons of a conceptual or metanormative character for law from ethics. See, e.g., Mitchell N. Berman, Justification and Excuse, Law and Morality, 53 DUKE L.J. 1, 6–7 (2003). But the dissimilarities between the domains warrant careful attention too. See Mitchell N. Berman, Of Law and Other Artificial Normative Systems, in DIMENSIONS OF NORMATIVITY: NEW ESSAYS ON METAETHICS AND JURISPRUDENCE 137, 159–62 (David Plunkett et al. eds., 2019).
distinct steps whereas deriving the case-specific verdict in the ethical case (Is this action in this context right?) involves only one.

In the ethical case, a case-specific verdict is a function of the facts relevant to the case and the ethical standard. Whether it is right to turn the trolley, or to eat animal flesh under thus and such conditions, or to utter this untrue statement is in each case determined by the standard (a normative entity) and the ordinary (non-normative) facts about the act (notably its intrinsic or relational properties and its consequences). To illustrate, assume with Bales that hedonic act-utilitarianism is the correct ethical standard. Whether some act \( \phi \) is right depends constitutively on whether the sum of pleasurable states net of painful states that would be instantiated if \( \phi \) is at least as great as would obtain if the agent performs any alternative action \( \psi \).

Now imagine a representative constitutional dispute — such as whether Congress had constitutional power to mandate that individuals secure health insurance or whether the City of Philadelphia violated the constitutional rights of a private foster care agency in refusing to place children through it. Assume with Sachs that some form of originalism is the correct constitutional standard — say (just for ease of discussion) that the constitutional law is determined by the original public meaning of the ratified text.\(^\text{57}\) In contrast to the ethical case, the casuistic constitutional verdict is not determined by direct reference to the fundamental constitutional standard. Instead, it is determined by reference to a legal rule that is itself derived from the constitutional standard but that has independent stature and cannot be put aside. Whereas the ethical “adjudicator” reasons from standard to verdict, the constitutional adjudicator first derives a derivative standard from the fundamental standard and facts that the standard makes legally relevant (such as that the constitutional text says p or that our long-accepted practices have been to accept q) and then reasons from that derivative standard to verdict.\(^\text{58}\)

In constitutional law, the derivative legal standard (what we customarily simply call “the constitutional rule”) is a normative intermediary between the fundamental legal standard (say, public meaning originalism) and the constitutional status of a token act or event. In ethics, in contrast, at least if act-utilitarianism is true, there is no normative intermediary between the ethical standard and the ethical status of a token act.

\(^{57}\) This form of originalism is not Sachs’s own theory, what he and his frequent coauthor William Baude call “original law originalism.” Baude & Sachs, supra note 42, at 1457. I’ll say a little about that theory in Part IV. But because that version of originalism is both less familiar to readers and harder to spell out, and because Sachs is explicit that the points he’s making about the scholarly debate over originalism are not particular to his version of it, I use a simpler and more familiar version to illustrate.

\(^{58}\) Originalism: Standard and Procedure uses “standard” unmodified to refer to the two kinds of norms that I’m characterizing as “fundamental” and as “derivative,” and it’s not always obvious which sense Sachs has in mind.
That there can be no normative intermediary is why act-utilitarians reject rule-utilitarianism and other forms of indirect consequentialism more generally: any rule that indirect consequentialists might propose can only be a rule of thumb, never a genuine ethical standard.\(^{59}\) The legal case appears different. That, say, persons younger than thirty-five are ineligible to be President is not a rule of thumb; it is a genuine constitutional standard — albeit a derivative, not fundamental, one. And not only does legal reasoning traverse two steps where ethical reasoning (surely for act-utilitarians, at the least) traverses only one, but also the main game in constitutional theory and adjudication is at the first stage — “interpretation” — and not the second — “application.” In the foster care dispute just alluded to, Justice Alito spoke unexceptionally when describing the “question of great importance” as “[t]he correct interpretation of the Free Exercise Clause,\(^{60}\) not as the correct resolution of this dispute on its facts.

The thought can be put more precisely in grounding terms (one of the virtues of that idiom). Start with the ethical case and imagine we’re asking whether some act token \(\phi\) is right. Still assuming hedonic act-utilitarianism, the fact that \([\text{an act is right if and only if it is optimific}]\)\(^{61}\) directly grounds the fact that \(\phi\) is right. (It is a direct ground but only a partial ground. Other partial grounds are the facts that ground \(\phi\)’s being optimific.) Now turn to the constitutional case and imagine the question whether some state action \(\phi\) is constitutionally prohibited. Still assuming public-meaning originalism, the fact that \([p \text{ is the law if } p \text{ is part of the original public meaning of the constitutional text}]\) does not directly ground the fact that \([\phi \text{ is constitutionally prohibited}]\). Instead, the fact that \([p \text{ is the law if } p \text{ is part of the original public meaning of the constitutional text}]\) directly grounds the fact that \([p \text{ is the law}]\). (As above, it’s a direct ground, but only a partial one: the other partial ground is the fact that \([p \text{ is part of the original public meaning of the constitutional text}]\).) And the fact that \([p \text{ is the law}]\) directly grounds the fact that \([\phi \text{ is constitutionally prohibited}]\). (As above: other partial grounds are the facts that ground \(\phi\)’s being in violation of \(p\).)

Any process of reasoning designed to ascertain whether \(\phi\) is constitutionally prohibited must investigate separately whether \(p\) is the law and whether, if \(p\) is the law, \(\phi\) comports with \(p\). These are different inquiries that potentially call upon different skills and might reasonably provoke different theoretical or regulatory responses. That these inquiries are different explains why Scott Shapiro takes pains to distinguish


\(^{61}\) When dealing with long, complex facts, I insert brackets to make the sentences easier to parse.
two stages or targets of judicial reasoning, what he calls “legal reasoning” and “judicial decision making”: “The object of legal reasoning is the discovery of the law,” Shapiro explains, and “the aim of judicial decision making, on the other hand, is the resolution of a dispute.”62 Collapsing these disparate inquiries under the single ill-defined term “decision procedure” lumps just where, it seems to me, the philosophical instinct must be to split.63

III. Four Helpful Distinctions

Whatever terminology and particular conceptualization one prefers, we should all agree that what makes a given act constitutional (or not), on the one hand, and how judges should figure out whether a given act is constitutional, on the other, are distinct questions that call for distinct answers that might significantly diverge. We should agree as well that that’s not the only distinction of a theoretical nature that we need. Here I offer four sets of distinctions that should prove helpful and illuminating to theorists and students of constitutional interpretation. It is not a systematic or comprehensive set of distinctions, but their relevance to Sachs’s article and to the broader project will be apparent.

A. Text/meaning/Law

“[T]he original Constitution is law,” says Sachs, “and . . . it remains law until lawfully altered.”64 I would not say that — not because it cannot be construed truly, but because it is highly ambiguous and prone to mislead. The most probable referent of “the original Constitution,” and the one that best fits the rest of the sentence, is the constitutional text. The most probable referents for “law” are either legal-normative entities (prohibitions, permissions, powers, etc.) or legal sources. Accordingly, Sachs should be taken to be claiming either that the original constitutional text is a source of law unless and until lawfully amended or that the original constitutional text is itself a collection of legal norms. The first alternative is true but possibly controverted by nobody. The second alternative commits a category mistake.

63 Sachs might rejoin, I suppose, that the complexity I’m identifying is consistent with thinking and talking in terms of a “decision procedure” because a good procedure would treat these two steps differently. True enough. But if the steps are to be treated differently by developing separate normative accounts of how judges should reason, or what they are or should be permitted or required to do, at the separate stages of (1) deriving and (2) applying a legal norm, then it remains to wonder what is gained by talk of a “decision procedure,” especially given that nobody with sense thinks either investigation can be made to reliably crank out correct answers. To be sure, Sachs does assert that “in practice we can’t do without a decision procedure.” Sachs, supra note 1, at 780. But rather than constituting disproof by counterexample of what I just asserted, I take this statement only to show that Sachs doesn’t mean by “decision procedure” what others do, which is further reason not to encourage this way of speaking.
64 Id. at 782.
Clear thinking is better promoted by consistently distinguishing text, meaning, and law as members of different ontological categories — as, respectively, a structured arrangement of signs and symbols, the communicative or linguistic content that such signs and symbols convey or carry, and the set of norms (powers and prohibitions and the like) that a legal system comprises or delivers. Doing so allows us to isolate possible or actual disagreements more accurately and precisely. For example, we can formulate public-meaning originalism, as I did earlier, as the thesis that the law is fully determined by the original public meaning of the constitutional text. Those who disagree should then specify whether they (a) agree that the law is fully determined by the text’s meaning but deny that meaning is fixed in time; (b) deny that the law is fully determined by any linguistic content of the text, whether fixed or evolving; or (c) something else. Meanwhile, failure to keep these distinctions straight leads to a host of errors, such as Justice Scalia’s silly charge that constitutional nonoriginalists deny “that a text does not change.”

B. Interpretation/Construction

The distinction between constitutional “interpretation” and “construction” is a hot topic in originalist circles thanks to the work of the “New Originalists.” As described by Lawrence Solum, a leading theorist of the approach, interpretation “is the process (or activity) that recognizes or discovers the linguistic meaning or semantic content of the legal text,” while construction “is the process that gives a text legal effect.”

One point about “interpretation” warrants emphasis. (Construction is fascinating too but space constraints compel me to put it aside.) “[T]he term ‘legal interpretation’ is often used in a way that is ambiguous between ascertaining the meaning of legal texts and using the relevant texts to ascertain what the law is.” Solum and Randy Barnett use it in the former way. Greenberg, Toh, and I have been arguing for years that that approach is the less accurate and helpful way to carve things and that conceptualizing constitutional interpretation as the activity of trying to discover or figure out the law renders debates among originalists and nonoriginalists far more intelligible. As Greenberg wrote in

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66 Lawrence B. Solum, The Interpretation-Construction Distinction, 27 CONST. COMMENT. 95, 96 (2010); see also, e.g., Randy E. Barnett, Interpretation and Construction, 34 HARV. J.L. & PUB. POL’Y 65, 66 (2011) (“Interpretation is the activity of identifying the semantic meaning of a particular use of language in context. Construction is the activity of applying that meaning to particular factual circumstances.”).
68 See, e.g., Berman & Toh, On What Distinguishes New Originalism from Old, supra note 34, at 561–70; Mark Greenberg, Legal Interpretation, STAN. ENCYCLOPEDIA OF PHIL. (Jul. 7, 2021),
this Forum in response to a previous Harvard Law Review article by Sachs and William Baude: “Once we carefully distinguish between the linguistic meaning of the legal texts and the content of the law, it becomes clear that the main goal of legal interpreters is to find the latter.”

In his discussion of interpretation and construction, Sachs starts by accepting Solum’s spin on interpretation as the search for the text’s “communicative content” and then reasons himself to the conclusion that “[d]ividing our work between interpretation and construction, though sometimes sensible, doesn’t quite capture what’s at stake in the controversy.” “Instead,” he advises, “we might distinguish between two other kinds of activities, identifying legal standards and choosing decision procedures.” Agreed. In doing so, it will also be more useful to adopt the alternative conception of what legal interpretation is aiming for: law, not meaning; “legal content,” not “communicative content.”

C. Constitutive Theory/Truthmaker Theory

Minimal realism about a domain is the thesis that “there really are ways that things might be . . . and that our thoughts and sentences do sometimes correctly represent that reality.” It is compatible with the view that many, even most, claims within the domain are underdetermined. Sachs and I are both minimal realists about constitutional law. Most people are, sophisticates not excluded. For a constitutional realist, what is most wanted is a theory of legal content. But what kind of theory of legal content? A “truthmaker theory” of legal content identifies the “features that make correct legal statements correct and true constitutional claims true.” Because it involves the contents of propositions, it is plausibly classified as a semantic theory. A “constitutive theory” of legal content explains what makes it the case that a legal normative entity — a prohibition, permission, power, or the like — exists. It explains the grounds and the grounding function of legal norms. It is a metaphysical theory. Glossing constitutional standards as means to “evaluate[] legal propositions,” Sachs follows Green in describing efforts to describe our constitutional standards as the
search for constitutional truthmakers. I don’t object to this vocabulary strenuously. Still, I’d cast my vote for grounds over truthmakers.

As the philosopher Kit Fine explains: “The notion of grounds is a close cousin to the notion of truth-making. Both are bound up with the general phenomenon of what accounts for what . . . .” But “they structure the phenomenon” differently. “The relation of truth-making relates an entity in the world, such as a fact or state of affairs, to something, such as a statement or proposition, that represents how the world is . . . .” When the truthmaker relation obtains, “the existence of the worldly entity should guarantee the truth of the representing entity.”

For example, the truthmaker for the proposition that snow is white is the fact that [snow is white] or, put differently, the whiteness of snow. But we might then ask what makes it the case that [snow is white]? What explains the whiteness of snow? To answer this question requires a turn from semantics to science or metaphysics, from truthmakers to grounds. “For whenever we consider the question of what makes the representation that P true,” Fine continues:

[There will also arise the question of what, if anything, makes it the case that P. Indeed, it might well be thought that the question concerning the representation will always divide into two parts, one concerning the ground for what it is for the representation that P to represent P and the other having nothing to do with representations as such, but concerning the ground for P.]

Turning from snow to law, consider what the truthmaker is for the proposition, say, that the federal government is constitutionally prohibited from criminalizing criticism of the President. Answer: the truthmaker is the fact that [the federal government is constitutionally prohibited from criminalizing criticism of the President] or, put differently, the unconstitutionality of the federal government’s criminalizing criticism of the President. But that is barely informative. What we really want to know is what makes it the case that the federal government’s criminalizing criticism of the President is unconstitutional. This question is most plausibly metaphysical and not semantic, in which case the answer would not be a truthmaker, but a ground. And sure enough, philosophers are increasingly embracing “the view that the relation of law-determination is metaphysical grounding.”

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76 Kit Fine, Guide to Ground, in METAPHYSICAL GROUNDING: UNDERSTANDING THE STRUCTURE OF REALITY, supra note 53, at 37, 43.
77 Id.
78 Id.
79 Id.
80 Id.
Berker) “standards” in Bales-talk circa 1971 is faithfully translated as “grounds” in mainstream philosophy talk circa 2021, then a constitutive theory of the grounds and grounding of constitutional norms is just what the request for constitutional standards is asking for.

Don’t misunderstand.82 I’m not denying that Sachs’s original-law originalism is (part of) a theory of the grounds of constitutional propositions. I think it most plausibly is part of such a theory (though not part of the correct theory), even though Sachs himself, as far as I’m aware, has remained noncommittal.83 I’m saying that insofar as Sachs’s theory purports to explain what makes our constitutional facts and norms what they are, and not only to explain what makes propositions about what our constitutional facts and norms are true, then it is more perspicuous to describe that theory as a theory of the grounds and grounding of our law, or as a constitutive theory of our law, and not only in truthmaking terms. And I’m suggesting further that a reader who better understands the relationship between truthmaking and metaphysical explanations is likely to better appreciate the significance and value of the distinction between “constitutive” and “prescriptive” theories.

D. Operative Rules/Decision Rules

In Part II, I resisted Sachs’s proposal that we transpose the ethical notion of a “decision procedure” to the legal realm in part by stressing that legal reasoning involves the distinct steps of (1) deriving a legal norm from the fundamental “standard” and whatever factors the standard makes legally relevant and (2) applying the legal norm to the facts of the case. Let me now say a few words to elaborate on the importance of distinguishing those two stages.

Imagine yourself on the Supreme Court. (Don’t pretend you haven’t.) Once you have identified the constitutional norm to your satisfaction, what next? The most obvious possibility is simply to announce what you take to be the constitutional rule with the expectation that judges should apply it “directly” to future disputes. An alternative is to construct a rule that is nonidentical to the operative rule that the activity of interpretation has delivered, but is better by reference to whatever desiderata it is within your constitutional authority to consider, and to instruct future courts to adjudicate disputes by application of this constructed doctrine rather than by applying the interpreted rule directly. For example, if you interpret the Constitution to provide Congress with the power to regulate local activities, which in the aggregate substantially affect interstate commerce, you might prefer to instruct courts to

82 I’m grateful to the editors for foreseeing this possible misunderstanding and encouraging me to clarify.
83 See Baude & Sachs, supra note 42, at 1459 n.20 (“We use the term ‘ground’ for its colloquial meaning, rather than in any technical sense.”).
evaluate challenges to federal regulation by application of a deferential doctrine that would allow invalidation only if the court concludes that Congress could not rationally have concluded that the activity being regulated substantially affects interstate commerce in the aggregate. I call these constructed doctrines “decision rules” and argue that, because adjudication requires some decision rules (implicit standards of proof, if nothing else), they cannot be categorically illegitimate or beyond the Supreme Court’s lawful power.84

Although Sachs briefly discusses my notion of decision rules,85 I am frankly uncertain how close or far apart on the topic he thinks we are. Let me make two points. First, decision rules, as I conceive them, are devices that judges create and deploy to determine whether constitutional requirements are satisfied (or what constitutional ruling to issue) in a concrete case given some grasp (even if inchoate) of what the constitutional requirement is. The strict scrutiny component of equal protection doctrine, for example, is plausibly reverse engineered into an interpretation of the Equal Protection Clause as setting forth the legal requirement that states must treat persons within their jurisdiction with equal concern and respect plus a constructed decision rule that directs courts to conclude that the state has failed to extend equal concern and respect if it classifies on racial lines in a fashion that is not narrowly tailored to achieve a compelling state interest. Decision rules are not devices used for deriving legal requirements from the fundamental standard (or from the fundamental legal grounds). As one might expect given what I have written so far, Sachs is less sensitive to that difference.86

Second, even though Sachs and I wholly agree that “the choice of decision [rule] isn’t untrammeled,”87 we differ regarding how much latitude judges have in constructing them. Some language in his article suggests that he believes that judge-crafted decision rules for constitutional disputes are categorically illegitimate because federal courts are “bound by the preexisting rules of evidence and procedure.”88 If that is Sachs’s belief, I think he must present far more argument for it (even assuming the truth of some form of originalism) given current uncertainties regarding the original scope of “the judicial Power” that Article III vests in the federal courts.89 But I doubt that Sachs’s position really

85 See Sachs, supra note 1, at 810.
86 See id. at 810–11 (discussing the Import-Export Clause, U.S. CONST. art. I, § 10, cl. 2).
87 Id. at 813; see Berman, Constitutional Decision Rules, supra note 84, at 96 (denying “that courts enjoy carte blanche to craft decision rules for any reason at all”).
88 Sachs, supra note 1, at 814.
89 U.S. CONST. art. III, § 1.
is quite so absolute, and I suspect that our disagreements in this space are mostly about what considerations judges are constitutionally authorized, or obligated, to entertain when constructing decision rules and not about whether they are empowered to displace preexisting rules at all. Sachs posits that, “in choosing decision procedures, our goal is to avoid meriting legal blame.”90 I don’t think so. Our goal in choosing decision procedures, including “decision rules,” should be to minimize total adjudicatory errors on expectation, or to minimize total error costs, or to optimize across a limited set of diverse desiderata that includes, but extends beyond, reducing errors and error costs.91 For a judge to craft a decision rule for the purpose of escaping blame would reflect an inappropriate concern with the moral or legal ledger of the decisionmaker at the expense of whatever public-oriented reasons should guide the exercise of state power. Sachs comes to his surprising view of what should guide us in constructing decision procedures because he thinks that avoiding blame is the goal of decision procedures in ethics.92 But Frank Jackson, from whom Sachs takes the notion of different types of oughts,93 did not suggest that decision procedures aim to avoid blame, and that idea is very doubtful. Avoidance of blame is a classic “wrong kind of reason” to adopt a particular practice or procedure for ethical decisionmaking.94 Trying to get things right on expectation would be a right kind of reason (though possibly not the only one).

IV. ORIGINALISM AS OUR STANDARD?

Up until now, I have said nothing critical about originalism. That is no accident. The kind of conceptual work and distinction-drawing that Sachs and I are both undertaking is intended not to resolve substantive disagreements that divide originalists from their opponents but to facilitate their resolution by making crisper what’s in dispute. Still, because Sachs offers his philosophical or conceptual arguments in service of (his version of) originalism, and because I’m an avowed nonoriginalist, the reader might appreciate a few words on why I’m not buying the substantive account that he sells elsewhere. I have said that the “practical objection” that provokes Originalism: Standard and Procedure is not mine. My objection is the same that several others have already pressed:

90 Sachs, supra note 1, at 818; see id. at 812, 819.
91 See Berman, Constitutional Decision Rules, supra note 84, at 88–100.
92 See Sachs, supra note 1, at 865.
93 Id. (citing Frank Jackson, Decision-Theoretic Consequentialism and the Nearest and Dearest Objection, 101 ETHICS 461, 471 (1991)).
that his form of originalism is not our law,\textsuperscript{95} that the fundamental constitutional standard that he promotes — what he and Baude call “original-law originalism”\textsuperscript{96} — is not our standard.

Here’s original-law originalism in a bumper sticker: “[O]ur law is still the Founders’ law, as it’s been lawfully changed.”\textsuperscript{97} My disagreement begins with a question that should now be obvious: What makes that proposition true? In virtue of what is our law still the Founders’ law, except for lawful changes? It is to Sachs and Baude’s credit that they sketch an answer, for most originalists who have peddled a standard and not a decision procedure, who lean more constitutive than prescriptive, have not even spotted the question. Sachs and Baude accept Hartian positivism, more or less.\textsuperscript{98} Put in grounding terms (and telegraphically at that), they maintain that the fact that [our constitutional law is the Founders’ law, except as lawfully changed] is grounded in the facts that [Hartian positivism is true] and that [the rule of recognition in the contemporary U.S. legal system is original-law originalism]. Their account is potentially vulnerable at either step.

I will not probe those vulnerabilities here.\textsuperscript{99} \textit{Originalism: Standard and Procedure} is not a defense of original-law originalism. It’s an explanation of the need for some account that serves the function that original-law originalism serves — and, as far as Sachs argues, the account need not even be an originalist one. So this is no place to argue that original-law originalism is not our standard today or that something else is. I’ll say only this: Hartian positivism makes notorious demands on the social facts that ground legal norms. No putative derivative norm counts as legal beyond what can be validated by criteria grounded in near-judicial consensus. And most observers of American constitutional practice think that the practice is marked by nontrivial conflict about what the determinants are, not only about how they cut on particular questions. The claim that \textit{current} judicial practice mandates or establishes original-law originalism is hard to square with the apparent judicial dissensus on constitutional fundamentals. But even if appearances

\begin{itemize}
  \item \textsuperscript{96} Baude & Sachs, \textit{supra} note 42, at 1457.
  \item \textsuperscript{97} Sachs, \textit{supra} note 1, at 787 (quoting Stephen E. Sachs, \textit{Originalism as a Theory of Legal Change}, 38 HARV. J.L. & PUB. POL’Y 817, 838 (2015)).
  \item \textsuperscript{98} See Baude & Sachs, \textit{supra} note 42, at 1459.
  \item \textsuperscript{99} For some worries about Hart’s version of positivism, though not about positivism generally, see generally Mitchell N. Berman, \textit{Dworkin Versus Hart Revisited: The Challenge of Non-lexical Determination}, OXFORD J. LEGAL STUD. (forthcoming 2021) (on file with the Harvard Law School Library).
\end{itemize}
deceive, and a convergence among judges lies beneath the surface, original-law originalism itself makes the content of our law today depend in significant part upon the convergent judicial practices from yesteryear. That feature substantially exacerbates the problem (insofar as it is one) of constitutional underdeterminacy on issues that reach the appellate courts. Moreover, it greatly magnifies the epistemic difficulty of figuring out what the law is, for, as Sachs cautions, we can’t derive the fundamental standard at a given moment in time — and hence “the actual law” — straight from the contemporary “actual judicial decisionmaking practice.”\textsuperscript{100} True, that’s just the way things are if original-law originalism is our standard. But that has yet to be established, and some might find in these upshots reasons for doubt.

CONCLUSION

In broad strokes, the distinction that \textit{Originalism: Standard and Procedure} offers — between accounts of the determinants of constitutional law and of how judges should resolve constitutional disputes — is surely right and helpful. But, in broad strokes, it’s also more than a little familiar. While the article should prove eye-opening to those innocent of the basic distinction, regular consumers of the literature, let alone active contributors, might be uncertain just what they should take away. Hoping to stimulate further conversation, and in the philosophical spirit Sachs rightly champions, I have tried in this Response to sharpen some distinctions that he and I broadly share and to relocate others. In the meantime, and from where I sit, \textit{Originalism: Standard and Procedure} leaves originalism just where it had been: not our law.

\textsuperscript{100} Sachs, \textit{supra} note 1, at 797 (quoting Frank B. Cross, \textit{The Failed Promise of Originalism} 19 (2013)).