



ENGINEERING CHANGE AT THE SEC

PennLawJournal

EDITOR

Larry Teitelbaum

DESIGN

Landesberg Design

CONTRIBUTING WRITERS

Rick Schmitt

Fredda Sacharow

PHOTOGRAPHY

Charles S. Cerrone

Sameer Khan

Colin Lenton

Stephen Voss

WEBSITE

law.upenn.edu/alumni/alumnijournal/

KEEP IN TOUCH

Send news and photos to the attention of the editor.

CORRECTIONS

Every effort has been made to ensure accuracy. We offer our sincere apologies for any typographical errors or omissions. Please forward any corrections to the attention of:

Larry Teitelbaum

Editor, *Penn Law Journal*

University of Pennsylvania Law School

3501 Sansom Street

Philadelphia, PA 19104

TELEPHONE 215 573 7182

FAX 215 573 2020

EMAIL alumnijournal@law.upenn.edu

CONNECT WITH PENN LAW

LAW SCHOOL WEBSITE law.upenn.edu

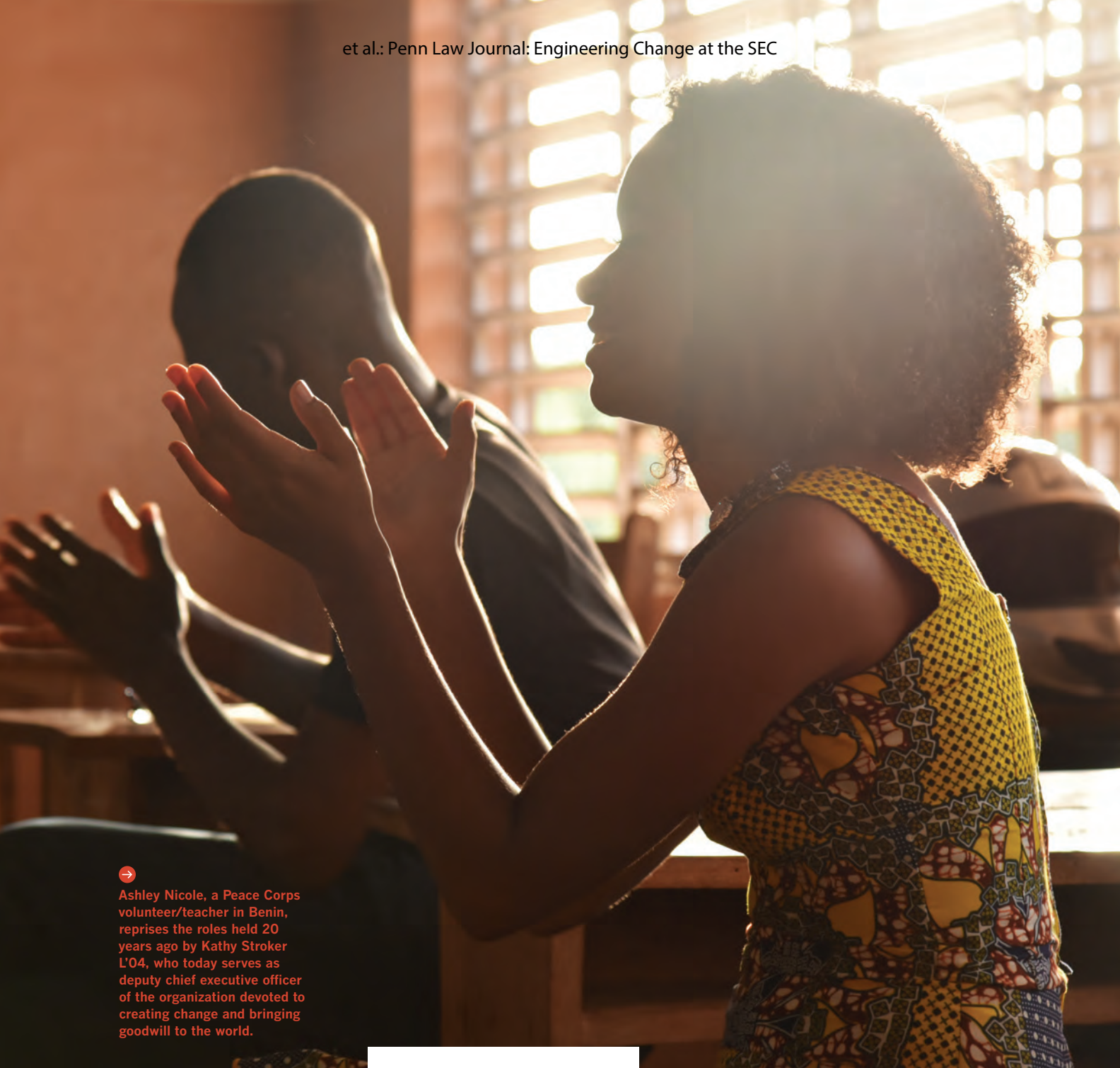
TWITTER @PennLaw

FACEBOOK [facebook.com/pennlaw](https://www.facebook.com/pennlaw)

TUMBLR pennlaw.tumblr.com

The University of Pennsylvania values diversity and seeks talented students, faculty and staff from diverse backgrounds. The University of Pennsylvania does not discriminate on the basis of race, color, sex, sexual orientation, gender identity, religion, creed, national or ethnic origin, citizenship status, age, disability, veteran status or any other legally protected class status in the administration of its admissions, financial aid, educational or athletic programs, or other University-administered programs or in its employment practices. Questions or complaints regarding this policy should be directed to the Executive Director of the Office of Affirmative Action and Equal Opportunity Programs, Sansom Place East, 3600 Chestnut Street, Suite 228, Philadelphia, PA 19104-6106; or (215) 898-6993 (Voice) or (215) 898-7803 (TDD).

COVER: PHOTO BY STEPHEN VOSS



Ashley Nicole, a Peace Corps volunteer/teacher in Benin, reprises the roles held 20 years ago by Kathy Stroker L'04, who today serves as deputy chief executive officer of the organization devoted to creating change and bringing goodwill to the world.

PHOTO: KARL JOB / U.S. EMBASSY COTONOU, BENIN

24

Engineering Change at the SEC

It took but a year for Jay Clayton ENG'88, L'93 to make his presence felt as the top cop of Wall Street. Not a surprise given his reputation for legal rigor (and even alchemy).

30

Peace Corps Redux

Twenty years ago, Kathy Stroker L'04 joined the Peace Corps as a volunteer. Two years ago, she returned to her roots to help manage the fabled organization.

36

Faculty Surge

With six new faculty members, Penn Law bucks the national trend of cutbacks, establishing itself as a destination school for talented scholars.

DEPARTMENTS

Letters 02

At Issue 04

In Session 06

Precedent 42

Campaign 44

Fine Print 48

In Memoriam 70

Adjourned 84

FROM THE DEAN



PHOTO: SAMEER KHAN

JAY CLAYTON ENG '88, L'93 HAS A BIG JOB. No, make that an enormous job. As chairman of the Securities and Exchange Commission, he's charged with policing U.S. capital markets. He's responsible for protecting investors and encouraging prudent capital formation. His portfolio includes overseeing more than \$82 trillion in annual securities trading on U.S. markets, over 7,300 public companies, and 4,500 full-time employees.

But as our cover story on Clayton makes clear, he's more than up to the task. In just one year, he's made several thoughtful decisions on everything from public offerings to the regulation of cryptocurrency, gaining plaudits from both sides of the aisle for his almost academic approach to the job.

As it turns out, Clayton considered an academic career before joining Sullivan & Cromwell, where he steered storied investment banks through the financial crisis. Indeed, we have been fortunate to have Clayton on our adjunct faculty for several years, teaching a Mergers & Acquisitions course that proved immeasurably valuable to students. Today, our country is the beneficiary of Clayton's service.

Of course, Penn Law is fortunate as well to have a roster of faculty superstars that has been strengthened with the infusion, over the last

two years, of six of the best and brightest minds in academia — some are renowned scholars several decades into their careers, some are mid-career academics doing work that has garnered national acclaim, some are scholars on the rise.

Let me introduce them to you. Herbert Hovenkamp and Beth Simmons, from the University of Iowa and Harvard University, respectively, are Penn Integrates Knowledge Professors, an exclusive class of 22 scholars who work across disciplines and hold joint appointments at multiple schools within the University. Hovenkamp is considered “the dean of antitrust law,” and Simmons is one of the world's foremost authorities in international affairs.

Allison K. Hoffman and David Hoffman — no relation — are mid-career members of the faculty who we were lucky to recruit permanently after they served as visiting professors. Allison performs groundbreaking research on the far-reaching effects of regulation on our health care system, and David conducts innovative research that elucidates the relationship between people's behavior and the law. Finally, we've hired two junior-level faculty members: Maggie McKinley (Fond du Lac Band Ojibwe), whose pathbreaking scholarship examines minority representation and participation in the lawmaking process with a focus on Congress, lobbying, petitioning, and federal Indian law, and Ryan Doerfler, whose work on statutory and constitutional interpretation is richly informed by his studies in philosophy.

With their addition, we continue to add faculty who match the quality of our students. And that is what will keep Penn Law at the top of its game this year and for years to come.

Sincerely,

Theodore Ruger

Dean and Bernard G. Segal Professor of Law

#PENNLAW

Roman J. Israel, Esq.



Prof. @Penn Law
Chris Sanchirico highlights
how change in Trump tax
cut plan has implications
for U.S. trade, tariff fights.
tinyurl.com/yczcbsbn

Check out this series of campus
photos taken on May 20, 1891,
showing multiple views of
@Penn from College Hall:
tinyurl.com/y9bydf2x



@PennLaw's
@markneviitt
discusses 3 steps
the US and the
international
community can
take to address the
South China Sea
via @just_security
tinyurl.com/y9rhbyzw



Have a little free time
now that summer is here?
@KarenSloanNLJ
@lawdotcom has pulled
together a short list of
legal-themed movies
and documentaries from
the past nine months!
tinyurl.com/y8zht49u

Reading the terms of
service? Who does that?
You should, says
@PennLaw's @HoffProf.
tinyurl.com/ycxu2hf7

Could an oft-neglected
clause of the First Amendment
explain the administrative
state? Read @MaggieMcKinley
on how Congress built
administrative agencies to
vindicate Americans' rights
"to petition the Government
for a redress of grievances."
tinyurl.com/y9aok758

**“There’s a real
concern that
consumer
protection law
is basically
being swallowed
by click-by-
agree clauses.”**

FOLLOW @PENNLAW ON TWITTER FOR MORE

DAVID HOFFMAN Professor of Law

The Long Road to Recovery After a 100-Year Oil Spill

By Justin Ehrenwerth L'09



ON THE EVENING OF APRIL 20, 2010, AN EXPLOSION rocked the drilling rig Deepwater Horizon located about 50 miles off Louisiana's coast. The resulting fire and eventual sinking of the rig caused the death of 11 crew members and launched the largest oil spill in American history.

For 87 days, the companies involved, the federal government, and private organizations worked to seal a well that would eventually release an estimated 4.9 million barrels of oil into the Gulf of Mexico.

It was a devastating blow to the Gulf's ecosystem and economy which are intrinsically connected. The Gulf's fisheries contribute 18 percent of the nation's seafood landings; millions of people recreate in our wetlands and on our beaches; and our "working coast" produces much of the energy that fuels our national economy.

For many, this event wasn't a stand-alone tragedy. Rather, the oil spill was yet another challenge in a string of disasters facing our coastal communities. People and companies who rebuilt after hurricanes

Katrina and Rita in 2005 and Gustav and Ike in 2008 were just getting back on their feet. Following Deepwater Horizon, coastal communities suffered as wetlands and beaches were oiled, fisheries were put on hold, oil and gas moratoriums were put in place, and tourism and retail dried up as people stayed away from the coast.

All of these acute disasters are underlined by another, slower moving disaster in Louisiana. Between 1932 and 2010, Louisiana lost more than 1,800 square miles of coastal land through sea level rise, subsidence (the gradual sinking of an area of land), canals cut by the oil and gas industry, and the unintended consequences of an engineered environment along the Mississippi River. River levees, while necessary for flood control and navigation, starve the wetlands of needed sediment while at the same time natural and man-made processes continue to eat away at the once lush landscape.

Although Louisiana has a widely respected, science-based 50-year plan to address coastal restoration and protection challenges, all acknowledge that the plan won't stop the state from getting smaller and exposing more people to risk. Hard choices need to be made and thoughtful adaptation is a must.

Talk of coastal resiliency really took off after hurricanes Katrina and Rita, but the Deepwater Horizon disaster highlighted that sustainability can't just be a matter of building better levees or wetland restoration projects. Instead, the oil spill highlighted the urgent need for the development of better resilience and sustainability tools that take into account not only better flood protection, but also the needs of people and economies within our coastal zones.

A number of organizations, foundations, universities, and individuals have been working hard to build upon the growing resilience portfolio Louisiana has developed through generations of living with water. However, much of the work has been in planning, non-applicable research, or in pilot projects that focus on one community but do little for the resiliency of the coast as a whole.

The \$20 billion in civil, criminal and natural resource damage penalties that BP will pay over the next 14 years provide a once in a lifetime opportunity. This represents the largest restoration effort in history.

Deepwater Horizon shaped my professional life. I was privileged to be involved in the immediate spill response, the extensive litigation, and ultimately administering the penalty to restore the Gulf's ecosystem and economy. Throughout this work, I developed a deep appreciation for world-class science that can be readily applied to pressing challenges and directly improve decision-making. I came to appreciate that the solutions we develop must be based not on politics, but on the best available science with full consideration given to the interplay between environment, economy, and people. It's been a great joy to work with my former dean, now president of Tulane University, Mike Fitts, on these issues.

As a result of this collaboration, our Institute recently launched a New Orleans-based Resilience Lab in collaboration with Tulane University's ByWater Institute, the Rockefeller Foundation's Resilience Accelerator and Columbia University's Center for Resilient Cities and Landscapes. This partnership will enhance linkages between Louisiana and New York through the sharing solutions and lessons learned in the aftermaths of Hurricanes Katrina and Rita and Superstorm Sandy. Through connections with experts around the world, the Resilience Lab will also provide a vehicle to export the vast knowledge Louisiana gains, and the implementable tools it will develop, to coastal communities everywhere.

Disaster after disaster, hurricane after hurricane, and punch after punch, Louisiana residents have picked themselves up and got themselves ready for the next round. The Deepwater Horizon disaster was no exception, other than this time a settlement will provide billions for restoration activities. The needs facing our coastal communities far surpass this amount, so it is imperative that smart and coordinated investments are made in improving community resilience rather than using the money for "random acts of restoration." ♥

Justin R. Ehrenwerth is President and CEO of The Water Institute of the Gulf. He most recently served as the inaugural executive director of the Gulf Coast Ecosystem Restoration Council and previously as an assistant counsel to the President in the White House Counsel's Office.

NEWS & EVENTS

IN SESSION



Justice Ginsburg Issues Opinions on Women's Rights, Away from the Court

Justice Ruth Bader Ginsburg revealed a long-closeted revelation: She too had been the victim of sexual harassment.

She said a teaching assistant at Cornell offered her a chemistry practice exam the day before the test presumably in exchange for sexual favors.

"I confronted him and said how dare you do this," recalled Justice Ginsburg, who said the incident would be recognizable to many women of her era.

Justice Ginsburg recounted this episode during a sit down at the National Constitution Center with her friend Jeffrey Rosen, president and chief executive officer of the NCC. Earlier in the day she took questions from students at Penn Law. Both events were part of her East Coast excursion to law schools on the heels of a new documentary about her life.

Her story connected Justice Ginsburg to the current #MeToo movement. "The number of women who have come forward ... has been

PHOTO: MONA LEE / THE DAILY PENNSYLVANIAN

astonishing. My hope is not just that it is here to stay but that it as effective for the woman who works as a maid in the hotel as it is for Hollywood stars.”

Justice Ginsburg, who has become a feminist cult figure known as the Notorious RBG, drew 700 people to the NCC for the annual Owen J. Roberts Memorial Lecture in Constitutional Law. The audience sat rapt as Justice Ginsburg addressed several topics, including partial birth abortion, *Roe v. Wade*, and equal pay for women. Abortion should be treated like any other health care decision, said Justice Ginsburg, who mused that if a more conservative court overturns *Roe v. Wade* it would make little difference to affluent women who can afford abortions, or to states such as New York that permit first-trimester abortions and are unlikely to turn back the clock. She also said she'd like to see the ban lifted on partial birth abortions, reasoning that some women have no other choice.

On equal pay, Justice Ginsburg talked about how the Lily Ledbetter case forced the issue to the forefront. The case involved a female manager at a Goodyear tire plant who filed a discrimination suit after learning that she earned less than her male counterparts. The Supreme Court dismissed her suit because it hadn't been filed in time, drawing a dissent from Justice Ginsburg. (Congress passed the Lily Ledbetter Fair Pay Act in 2009. The legislation allows workers who face pay discrimination to seek redress under federal anti-discrimination laws.)

Justice Ginsburg also said the time has come for women and men to share responsibility for child

care. Ginsburg said when she was in her last year at Columbia Law School, there was only one day care center in the area who could watch her daughter. Years later, when her daughter taught at Columbia, there were more than two dozen day care centers available.

She went on to recount how there were no women on the federal appellate bench when she graduated from law school. Today, there are approximately 170 women on federal appeals courts and three women on the Supreme Court. Asked what the right number on the Court would be, she replied nine.

Justice Ginsburg said she took heart in the political change on the horizon, with more women than ever seeking office in 2018 at every level—federal, state and local.

“The changes I have seen in my lifetime have been enormous,” the Justice said. “Of course, we haven't reached nirvana, but the progress we have made has me hopeful for the future.”

Her advice to millennials: stay engaged and appreciate the values on which the nation was founded.

Earlier in the day, in the Fitts Auditorium at Penn Law School, a distinguished panel discussed Justice Ginsburg's role as a trailblazer

in equal rights for women and assessed her jurisprudential impact in celebration of her 25 years on the Supreme Court. The panel included Marcia Greenberger L'70, founder and co-president, National Women's Law Center; Dalia Lithwick, senior editor, *Slate* magazine and host of the podcast *Amicus*; Serena Mayeri, professor of law and history, Penn Law School; The Hon. John Owens, U.S. Court of Appeals for the Ninth Circuit; and The Hon. Jed S. Rakoff, senior U.S. District Judge of the U.S. District Court for the Southern District of New York.

Panelists feted Justice Ginsburg for bringing heightened scrutiny to sexual discrimination via a Supreme Court case, for which she wrote the majority opinion, that ordered Virginia Military Institute to open its doors to female cadets; for promoting egalitarian marriage; and for her precision in structuring an architecture that changed the way society thinks about gender rights and gender law.

It was left to Lithwick to correct the mythology that has grown around Justice Ginsburg, who, she said, is more of an “institutionalist” and “tactician” than the common view of her as a “fiery ... feminist radical on the Court.”

“
**WE HAVEN'T REACHED
NIRVANA, BUT THE
PROGRESS WE HAVE
MADE HAS ME HOPEFUL
FOR THE FUTURE.**”

JUSTICE RUTH BADER GINSBURG

Ta-Nehisi Coates Talks Fame, Race, and Politics

At a highly anticipated event, author Ta-Nehisi Coates addressed a packed audience at Irvine Auditorium as he spoke about writing, fame, race, and politics in America.

The event, co-hosted by Penn Law and the University of Pennsylvania Center for Africana Studies, brought Coates to campus as part of the Honorable A. Leon Higginbotham Memorial Lecture series. Coates, a nationally acclaimed author and national correspondent at *The Atlantic*, spent an hour in conversation with Penn's Camille Charles, who is the Walter H. and Leonore C. Annenberg Professor in the Social Sciences, Professor of Sociology, Africana Studies & Education; and director of the Center for Africana Studies.

Much of the evening's discussion centered on Coates' writing, from his 2015 book *Between the World and Me* to his most recent publication, *We Were Eight Years in Power: An American Tragedy*. The latter collection of essays, written during the Obama era, covers a variety of topics related to black politics and the Obama administration, such as reparations, mass incarceration, the Civil War, and Malcolm X.

On stage, Coates praised the Obamas as an exemplary First Family, saying that nobody else threatened racist notions about African Americans more than they did. However, he also used them as an example to illustrate how racism is still a continuous hurdle in America.

"Two black Ivy League-trained lawyers with two beautiful kids and a grandmother that lives with them, a dog named Bo—this could

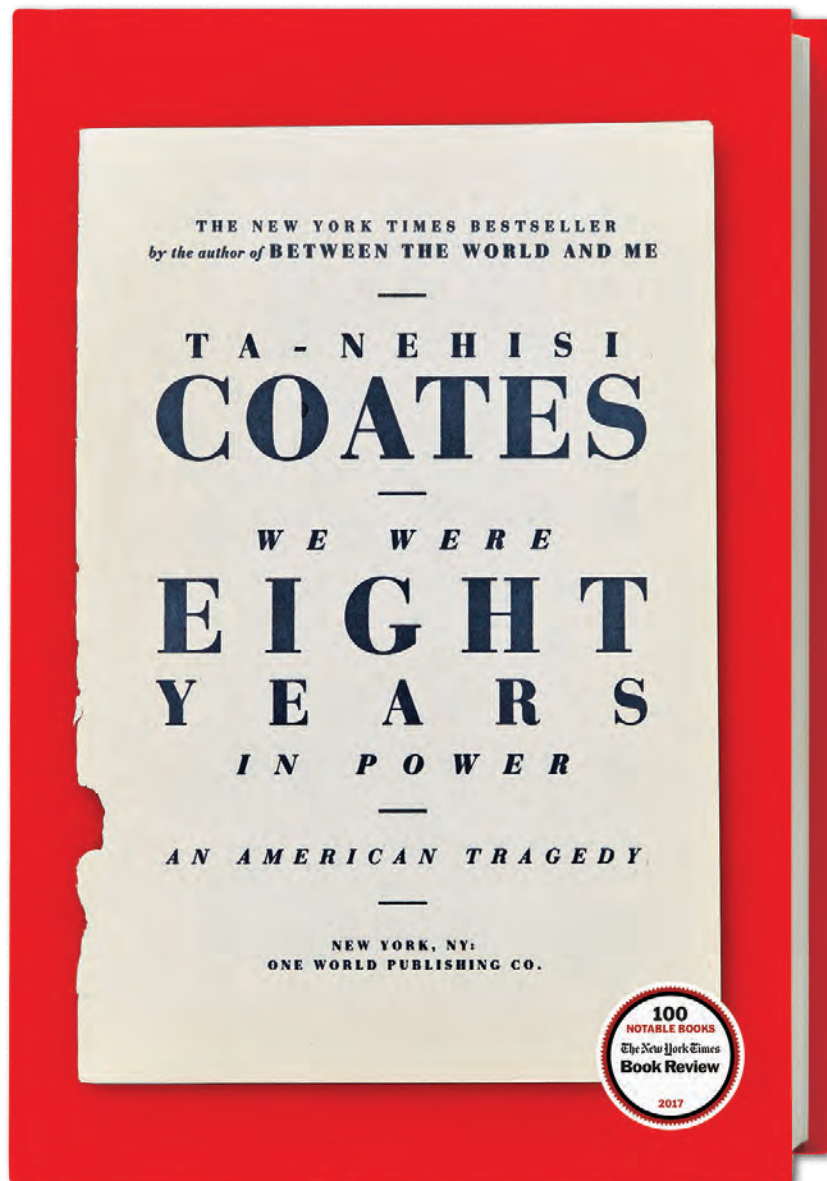
be a TV show," he said. "(But) half of the opposition party said that man is not American, and that woman is not actually a woman. It exposes the rank hypocrisy. They don't dislike you because you don't (fit) their values. They dislike you because of who you are."

On the topic of fame, Coates painted a somber image of his personal loss of innocence since catapulting into the public eye a few years ago. After racking up

↑ In his book, *We Were Eight Years in Power*, Ta-Nehisi Coates meditates on a range of African-American issues in the context of the Obama years.

accomplishments such as receiving the 2015 MacArthur Fellowship and the 2015 National Book Award, Coates said the accolades defined him in a way that made him "uncomfortable," and compared publishing *Between the World and Me*, which addresses the experience of growing up black in America, to getting punched in the face as a kid.

"It was so much," Coates said. "Everybody had an opinion. I had to get rid of my Facebook."



Two-State Solution Requires Political Courage, Israeli Leader Says

Against all odds, former Israeli diplomat Tzipi Livni remains committed to a two-state solution in the Middle East and believes there is an opening for peace.

“Not everything is a zero sum game,” Livni told students and alumni during her Global Distinguished Lecture at Penn Law School. “Nobody needs to choose whether he is pro-Palestinian and anti-Israeli or pro-Israeli and anti-Palestinian. You can be pro-peace and represent mostly the interests of both sides.”

Livni, a member of the Knesset who conducted several rounds of peace negotiations with the Palestinian Liberation Organization while minister of foreign affairs,

said the time is right for re-starting talks. “There is an opportunity now,” she said. “We have more pragmatic Sunni states, those who understand that Israel is not the enemy anymore.”

During a question and answer session, she said that Arab states are more concerned about the activities of Iran and Hamas than about Israel. Both the Palestinians and the Israelis would benefit from Arab engagement in the peace process. Striking an agreement with Arab buy-in, she said, would be tantamount to making peace with much of the Arab world, and this would change Israel’s strategic position in the region.

The peace process has been moribund for several years. Livni said polls consistently show that a majority of Israelis support a two-state solution.

She said Israel can’t exist as an apartheid state, which is anti-democratic and an affront to the Jewish value of “Love Thy Neighbor.” Either Israel must provide equal rights to millions of Palestinians living within its borders or give up land in exchange for peace. “It’s not about whose cause is more just. It’s about finding a solution,” Livni said.

Livni acknowledged the challenges. “The Jewish people have a right to a state of their own. And the Palestinians cannot say they have a right to a state of their own but we don’t. They have to choose.”

The price for inaction is greater than the political price leaders would pay for an agreement, she said, adding that both countries need leaders who recognize that “maybe this is the last chance for peace.”

PHOTO: URIEL SINAI / GETTY



Tzipi Livni holds out hope for what she calls “the last chance for peace” in the Middle East. Livni, a member of the Knesset, is the former Israeli minister of foreign affairs.

Getting a Green Card for Less Green



Jeremy Peskin L'13 CAN CREDIT LOVE — specifically, his blooming relationship with a Penn Medicine student during his third year of law school — for the success of his new business, which helps immigrants and lawyers with the American green card application process.

Peskin, a Toronto native, attended Penn Law because he wanted to learn more about corporate tax law after working in Morgan Stanley's global capital markets group in New York. Before that, he had been in the United States on a student visa when he attended Emory University.

After graduating from Penn Law, Peskin, then on a work visa and engaged to Sara Manning GR'13, M'15, worked at Proskauer Rose in New York. At the same time, he discovered a love for software coding and developed a grant-funded startup called MatchMe, which is a site that organizes crowdfunding for nonprofits.

In 2015, he married his American girlfriend. Upon the happy nuptials, Peskin had two realizations: he needed to apply for a green card, and, with a fresh start in Philadelphia where Manning would complete her medical residency, he wanted to work for a technology startup rather than a law firm.

It all came together when he sat down in front of his computer to apply for a green card. "I was looking for an opportunity, and in the quagmire of applying for my green card, I found it," Peskin said.

As a tax lawyer, Peskin had been confident he'd be able to handle the legal process as there are similarities between tax and immigration law. He soon realized that accurately completing the complicated forms — which can comprise about a dozen different forms and about 40 pages of paperwork — was going to take too long to do without an immigration lawyer.

He hired James Pittman, an attorney who for the next six months guided Peskin through the process. During that time, a business opportunity

emerged and the two of them seized it, figuring out a way to reduce the paperwork for immigration lawyers and help immigrants who can't afford the steep \$2,000 to \$5,000 cost for green card applications.

In October 2016, Peskin and Pittman launched two web applications: Borderwise, which immigrants use to prepare immigration applications on their home computers, and Docketwise, which immigration lawyers use to manage their practices. Lawyers buy Docketwise through a monthly subscription; immigrants pay \$500 to use Borderwise at home. Borderwise keeps \$200 and the remaining \$300 goes to the immigration attorneys who review the forms for their clients.

In February 2017, the duo made a radical move: they began offering the immigrant program for \$1 to applicants with less than \$30,000 in annual income. "The travel ban pushed us into action, that was sort of the final straw," Peskin said. In the following two months, more than 700 immigrants started preparing applications on Borderwise, and that number has continued to grow. Peskin said the offer will be available in perpetuity, as he and Pittman build a larger network of pro bono immigration lawyers.

Attorneys who work with Borderwise assist in all facets of the immigration process. Initially, Borderwise helped immigrants secure green cards through family sponsorships but now also assists with naturalization applications. Peskin hopes to expand services to fiancé sponsorship and employment-based green card applications by September. To date, more than 100,000 applications have been prepared across Borderwise and Docketwise.

The \$1 dollar promotion led to a storm of media coverage, which was a coup for business and a benefit to immigrants who did not know about Borderwise. It also generated offers of help from a wide range of people, including an Iraqi refugee, Philadelphia's chief data officer, and a number of attorneys. "We really got the feeling that the community was supporting us and loving what we were doing," Peskin said, "and that really meant a lot to us."



PHOTO: DKFIELDING / GETTY

↑
The Office of Congressional Ethics is a non-partisan, independent office tasked with reviewing allegations of misconduct against members of the U.S. House of Representatives and their staff.

The Path from Law School to Government Ethics Investigator

Whether investigating a member of Congress engaged in campaign finance fraud or looking into a lavish junket abroad attended by nine lawmakers, paid for by a host country's state-owned oil company, the Office of Congressional Ethics (OCE) is the U.S. House of Representatives' independent watchdog.

But as 2017 began, the OCE was nearly shuttered. In early January of this year, before then-President-elect Donald Trump formally assumed office, House Republicans pushed to erode the OCE's autonomy, but drew rebuke from grassroots organizations, some lawmakers, and even Trump himself. On Twitter, Trump urged the representatives to reconsider their priorities. The lawmakers reversed course and the proposal was scrapped.

Established by House resolution in 2008, the OCE is a non-partisan, independent office tasked with aiding the U.S. House of Representatives in upholding ethical standards by investigating allegations of misconduct against members, staff, or officers of the House. With a staff of 10 and a budget of \$1.6 million, the office is the sole independent enforcer of ethics in Congress.

"One of the great things about this job is the diversity of issues that we come across," said Helen Eisner L'12, an investigative counsel at OCE. "The more typical cases we deal with are in traditional areas of ethics law that many people think of: conflict of interest, gifts, issues of foreign travel, financial disclosures. But our work can touch on many different subjects, like campaign finance, misconduct involving members

“
OUR WORK CAN TOUCH ON MANY DIFFERENT SUBJECTS... ONE OF THE GREAT THINGS ABOUT THIS JOB IS THE DIVERSITY OF ISSUES THAT WE COME ACROSS.”

HELEN EISNER L'12
*Investigative Counsel
at the Office of Congressional Ethics*

of Congress, or employment law, disputes that are outside of that typical scope of gifts and financial disclosure.”

The nature of her work varies. “Some days I am behind a desk conducting research or writing reports, other days I am on the road interviewing witnesses.” Some cases may involve just a few witnesses, other cases dozens. “It depends on the facts,” she explained, “and I’m always reaching out to third parties, sometimes talking with people in politics but also people who aren’t connected in any way to Washington, D.C., that is, private citizens, people in business. Witnesses come in all shapes and sizes.”

Before assuming her role of Investigative Counsel at OCE, Eisner was an Attorney Advisor for the executive branch’s Office of Government Ethics. She began her career at Wiley Rein LLP in Washington, D.C.

Eisner’s path to becoming an investigator and ethics lawyer began at Penn Law, as a second-year student when she enrolled in Political Law taught by Kedric Payne L’01, at the time the deputy chief counsel

at the OCE. “I loved the class and he became a mentor to me,” she said of Payne. “My 3L year, I asked him if it would be a possibility to come down to Washington and intern at the office. He connected me to the chief counsel there, Omar Ashmawy.”

Then, Eisner contacted Professor Louis Rulli, the director of Penn Law’s Gittis Center for Clinical Legal Studies. Rulli also directs the Legislative Clinic, which is unique among law schools in that students take a semester-long seminar that also involves traveling to Washington, D.C. twice a week to work on Capitol Hill. However, at the time, students typically worked on House or Senate committees.

“I asked Professor Rulli if I would be able to participate in the internship as a part of the Legislative Clinic. It’s a non-traditional path, it’s rare for me even now, talking to law students, to come across somebody who wants to do investigative work and really has that opportunity during law school. But he said, okay, we can work with that, we’ll let this be a part of the Clinic, and they adapted the

curriculum to make sure it worked for this opportunity.”

Interning at the OCE was a hands-on experience, Eisner recalled. “It’s such a small office and I directly jumped into cases—I had just taken Kedric’s class and I was able to apply all of the topics that we’d talked about directly to important cases that were live and ongoing.”

“Helen’s work at the OCE is an inspiration for our students interested in pursuing careers in government, ethics law, and public integrity,” said Neta Borshansky, director of the Leo Model Foundation Government Service and Public Affairs Initiative at Penn Law. “Her career path also serves as an excellent example of the unique way Penn Law prepares its students for fulfilling public service employment.”



Prior to 1979, there was no such thing as a three-point shot in the NBA. But things change. Bill Koenig L’87 is the man in charge of managing changes to the media landscape for the National Basketball Association. He described the league’s efforts to integrate into new platforms such as Amazon, Apple, Facebook and Google while it works to refresh the production on traditional cable networks. Here Koenig, president of Global Media Content Distribution for the NBA, engages in conversation with a student following his Law & Entrepreneurship Lecture sponsored by Penn Law’s Institute for Law & Economics.



Last Rites for NAFTA?

AS THE FORMER PRESIDENT of Mexico (1994–2000) and current director of the Yale Center for the Study of Globalization, **Ernesto Zedillo** is well-versed on the pros and cons of free trade and interdependence. Earlier this year, Zedillo visited Penn Law to deliver the Leon C. and June W. Holt Lecture in International Law, during which he expressed concerns about the survival of the North American Free Trade Agreement (NAFTA). A few months later, we asked him for his assessment. He remains pessimistic.



What leads you to believe that the U.S. will abandon NAFTA?

Most of the premises and objectives for the NAFTA renegotiation submitted by the Trump administration are fallacious and inconsistent with the standard objectives of any reasonable free trade agreement. This leads me to believe that unless the Mexican and Canadian negotiators accept conditions in a renegotiated NAFTA that clearly would betray the objectives of fostering trade and investment among the three partners embraced in the existing agreement, unfortunately it is likely that NAFTA will cease to exist.

What did you mean when you said this abandonment would not be an “economic tragedy” for the U.S., Canada and Mexico?

The extinction of NAFTA will be damaging to the three economies for sure. However, as long as trade among the three partners continues to abide by the WTO's rules and applied tariffs, the disruption would not be catastrophic. For example, if the U.S. respects its WTO commitments, Mexico would still be able to export to that important market at MFN (most-favored nation) tariffs which are higher than NAFTA's but not dramatically so. Mexico could deepen its internal reforms to compensate for this damage and remain competitive in the U.S. market in those products in which it presently has a comparative advantage.

What would be the consequences for the rest of the world?

Other things being equal, the termination of NAFTA would erode the competitiveness of Mexican and Canadian companies in the U.S. market without necessarily enhancing the domestic competitiveness of U.S. companies. Exporters from other parts of the world will try to increase their market share in the U.S. market and actually in other markets because without NAFTA, American companies would become less, not more, competitive in global markets. There is a risk that if these unintended consequences soon materialize and become evident for the U.S. government, this may opt for additional rounds of protectionism that clearly would be to the detriment of all involved.

What needs to happen for the global economy to truly rebound from the financial crisis?

The global economy at last recovered from the global financial crisis to the extent that for the first time since it happened all the major economies in the world were expanding simultaneously at the end of 2017 and the beginning of this year. However, there are questions on whether this good moment can be sustained for too long in light of the disruption that could be brought about by shocks such as a rapid emergence of protectionism and geopolitical conflict. There are also doubts about the medium- and long-term consequences of the fiscal policies being adopted in countries such as the United States. Historically, large fiscal deficits have led to large current account deficits and rather sooner than later these have proven troublesome.



Mexican President Ernesto Zedillo during NAFTA (North American Free Trade) agreement in Washington.



↑
Christel and Chelsea Berry (left) revel in historic moment.

Hugs Give Way to History as Christel and Chelsea Berry Recognized as First African-American Mother and Daughter to Graduate from Penn Law

Amidst the ornate columns and gilded box seats of the Academy of Music in Philadelphia, Penn Law Dean Ted Ruger called Chelsea Berry's C'12, L'17 name.

Christel Ford Berry L'79, PAR'02, PAR'12, also donning the hooded black cap and gown of Penn Law alumni, waited across the stage with her daughter's diploma.

"Walking toward her on stage was such a memorable moment," Chelsea recalled.

But first, an embrace.

"When she came across the stage, that was the *crème de la crème*," Christel said. "I was overwhelmed with immense pride and joy. Chelsea has been extremely focused on her education from an early age. She

worked so diligently and to see her graduate was such a milestone. It was absolutely one of the proudest and most rewarding moments of my life."

At the time, neither realized their hug was more than a poignant scene: the two were making history as the first African-American mother and daughter to graduate from Penn Law School.

"From what others have told me, it was a true moment in the ceremony where you could actually witness the torch being extended to the next generation," Christel said.

Chelsea said she hadn't given the idea of being the first African-American mother and daughter alumni much thought until she was onstage and noticed her mom was the only person of color present among alumni parents.

"The fact that we made history in 2017 is both sobering and empowering. The recognition of our place in Penn Law history is awesome,"

Christel said. "It has been a significant highlight for our family."

Education, she said, has been an important cornerstone in our family starting with her father, Donald Ford, who was the first family member to attend college.

Chelsea noted that she has long admired her mother, who founded her own firm, the Law Office of Christel Ford Berry, LLC, a real estate and estate planning practice and Ford Berry Associates, LLC, a financial planning firm in Hartford, Conn.

"My mother was definitely the foundation for my interest in the law," she said. "She is such a respected figure in our community, and people have always come to her for advice—and that inspired me to learn more about the practice of law."

Chelsea participated in pre-law societies as a Penn undergraduate and cemented her desire to pursue a law career after working as a legal assistant in litigation at Sullivan & Cromwell. Currently, she's a first year associate focusing on mergers and acquisitions at Weil, Gotshal & Manges LLP in Manhattan.

Through all of her pursuits, Chelsea said her mom has been a steadfast cheerleader and source of wisdom.

One of the best lessons she's learned from her mother is the importance of communication. "She's taught me it's one thing to be astute and another to communicate your thoughts effectively, and that's where I strive to be like her. My mom also preaches the importance of staying true to your values. Those words of wisdom have always been effective for me." At graduation, Chelsea was awarded the Henry C. Loughlin Prize for the best paper on legal ethics.

Both Chelsea and her mom would like to see more students of color, especially women, graduating from law school. Christel said fellow African-American mentors were instrumental in success for both

of them, and that they in turn have mentored others. Noted Christel: “It really has been a gift and a blessing to have shared this journey with Chelsea. From the long days and nights of study, to the deeper conversations about the law and various career paths that the law affords. It is bonding on another level. We can always meet at The Goat!”

Chelsea isn’t taking her experience for granted. “For my mom to have blazed the trail, so that I can commence my career at Weil, I feel very thankful, and I’m honored to continue in that legacy.”



During a visit to Penn Law, Democrat Conor Lamb C’06, L’09 describes his narrow upset victory in the special election for Pennsylvania’s 18th District. He also talked about the pins-and-needles experience of election night, on which he carried the day by several hundred votes. Lamb told students he favors enhanced job training programs for coal miners and other displaced workers and believes the government should do more to reduce student debt.

Penn Law students receive Skadden Fellowships

Two Penn Law students, Albert Pak L’18 and Jayme Wiebold L’18, have been awarded Skadden Fellowships to pursue work in the public interest. These highly competitive postgraduate fellowships fund two years of work providing legal services to the poor, the elderly, the homeless, the disabled, and those deprived of their civil or human rights.

“We are grateful to the Skadden Foundation for a program that launches so many public interest careers,” said Arlene Rivera Finkelstein, Associate Dean for Public Interest Programs and Executive Director of the Toll Public Interest Center. “These fellowships are extremely competitive, and we are so proud of Jayme and Albert for their well-deserved success in the fellowship process. In their time at Penn Law, these students have already shown themselves to be deeply committed advocates. Their work as Skadden Fellows will be an exciting continuation of that commitment.”

As a fellow, Pak will work with Michigan Community Resources, where he will provide transactional legal services to low-income entrepreneurs and small businesses in Detroit neighborhoods to support economic revitalization.

For her fellowship, Wiebold will join Iowa Legal Aid to represent low-income Iowans experiencing legal problems as a result of vehicle ownership. She will focus on serving clients who are particularly vulnerable to predatory lenders or who have been sold cars that need significant repairs.

The Skadden Fellowships were created in 1988, and — with the addition of the newest class — 820 law school graduates have been named fellows.

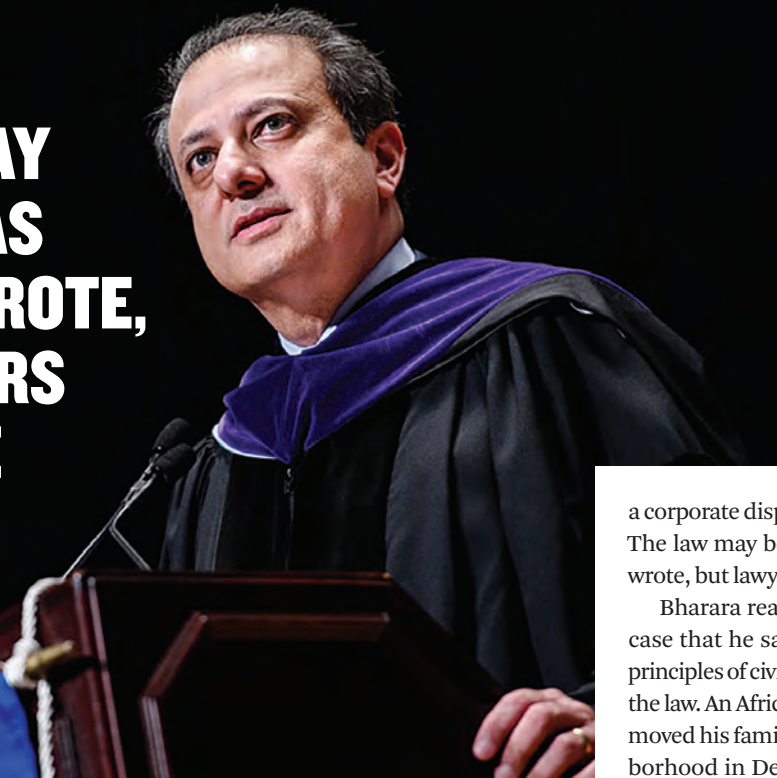
Penn Law is committed to supporting students as they launch careers in the public interest. In addition to helping students apply for awards like Skadden Fellowships, the Law School also offers its own postgraduate fellowships to financially support graduates who pursue work at public interest organizations, government agencies, and NGOs.

PHOTO: JEFF SWENSEN / GETTY IMAGES



“
THE LAW MAY
BE AN ASS AS
DICKENS WROTE,
BUT LAWYERS
DON'T HAVE
TO BE.”

PREET BHARARA
*former U.S. Attorney for the
Southern District of New York*



← Preet Bharara implores Penn Law graduates to practice law with human decency. Bharara is the former U.S. Attorney for the Southern District of New York.

Bharara's Brief at Graduation: Be Human in the Practice of Law

Preet Bharara, former U.S. Attorney for the Southern District of New York, took the stage at commencement and dispensed uncustomary career advice to the assembled aspiring lawyers: be quiet.

“Lawyers should spend much more time exercising their right to remain silent,” Bharara told the 242 JD students and 116 international LL.M. students who received their Penn Law diplomas at the Academy of Music in Philadelphia.

“Listening is all too often more important than speaking,” he continued. “Listen to what the partner asks, listen to what the client wants, listen to what the witness says, listen to what the adversary argues.

Listen also for what is not being said because that can speak volumes.”

Bharara, currently a distinguished scholar in residence and adjunct professor of law at the New York University School of Law, also advised graduates to practice law like human beings. He counseled the newly minted attorneys to ditch the legalese of law school and speak simply. Bharara said simplicity always wins. “If you speak simply you are more likely to sound sincere. If you speak simply you are more likely to be thinking clearly.”

Bharara lamented what he called the coarsening of the practice of law. He said he’s seen too many lawyers who manage by “intimidation and fear” and who “bludgeon or belittle” to exercise control.

Better, he said, to act with decency and kindness. “Not every litigation or

a corporate dispute is World War III. The law may be an ass as Dickens wrote, but lawyers don’t have to be.”

Bharara reached back to a 1925 case that he said exemplified the principles of civility and humanity in the law. An African-American doctor moved his family into a white neighborhood in Detroit. Their second night in the house an angry mob descended on the house, yelling and throwing rocks. The doctor’s brother fired a gun into the crowd and killed a white man. The doctor was charged with murder.

In his defense, Clarence Darrow referred to America’s history of slavery, noting that justice did not reside in the law alone but in the acts of man as well. The all-white jury acquitted the doctor.

“Remember always that the law is merely an instrument, and without the involvement of human hands the law is as lifeless as a violin kept in its case,” Bharara said. “The law cannot compel us to love each other or respect each other. It cannot cancel hate or conquer evil, teach grace or extinguish apathy. Every day the law’s best aims are carried out, for good or ill, by human beings.”

Treasured Memories

THE SPANISH SHIP NUESTRA SONORA DE ATOCHA sank off the coast of Florida in 1622 with a bounty of treasures. Lost at sea until discovered 363 years later by famous treasure hunter Mel Fisher, who happened to be represented by the late **John Dougherty L'65**. A mild-mannered guy with a love of reading, Dougherty caught the treasure hunt bug and joined Fisher in his quest for the lost island of Atlantis. By the way, estimated worth of the Nuestra Sonora's cargo: \$400 million.

ILLUSTRATION: YEORGOS LAMPATHAKIS / NATIONAL GEOGRAPHIC SOCIETY

As New Overseers, Page and Sabatino Go 'In-House' at Penn Law School

JOHN PAGE L'90

Giving old proverbs a modern twist is one of John Page's favorite pastimes. Take, for example, the "teach a man to fish" saying.

"That's fine, but wouldn't you rather teach him to own the pond?" Page asks. "Part of the adage has to be teaching this generation to become entrepreneurs," he said, adding that before the civil rights movement, African-American lawyers couldn't get jobs even if they had the talent and the proverbial fishing poles.

That's the kind of thinking, along with decades of experience as an in-house counsel, Page brings to the Penn Law Board of Overseers.

As a new member of the board, Page has two areas of interest. His primary focus will be on resource generation. Page said making sure alumni continue to support the Law School is critical to ensure its elite status. Second, he wants to keep Penn Law students current and competitive through updates to the interdisciplinary curriculum and continued opportunities for hands-on experience. "Getting ready," he said, "means having real life experience and making sure we can provide those experiences when they're ready at the earliest stages."

Former Overseer Pam Craven CW'74, L'77, PAR'06, once Page's supervisor, has been an important mentor in his career, imparting lessons on an attorney's guardian role, the ins and outs of ethics and compliance, and how to generate new business.

"She's a good model for an Overseer through her commitment to the University and the Law School," Page said, adding that she's also encouraged him to be active with Penn Law.

Page has spent nearly 30 years of his career as an in-house counsel, including several years with telecommunications giant Lucent Technologies and its business systems spinoff, Avaya, where he worked for Craven. For the past 14 years, Page has served as in-house counsel at Golden State Foods, a \$6 billion company that's one of the country's largest diversified suppliers to large fast-food chains, including McDonald's, Papa John's, and Subway.

At Golden State Foods, which is based in Irvine, Calif., Page is corporate senior vice president and chief corporate social responsibility and legal officer. In the latter position, Page oversees company ethics and handles environmental issues. He also acts as a liaison to the community, encouraging good works and employee volunteerism.

Page has a history of service. Throughout his career he has volunteered at the Education Law Center, based in Newark, N.J., to ensure public school students have access to education and are aware of their legal rights. In addition, he's volunteered for the Pro Bono Institute, offering his services as a corporate lawyer. For the past 20 years, he's been a member of the National Bar Association and served as its president a few years ago. In 2015 and 2016, Page was the global chair of the Association of Corporate Counsel. Currently, he's chairman for the board of trustees at Tuskegee University.

Page and his wife, Michele, have three sons, Brandon, 24, Isaiah, 21, and Joshua, 16. A collector of comic books, Page enjoys reading biographies and studying etymology, which charts the changing meaning of words over time.



**GETTING
READY MEANS
HAVING
REAL LIFE
EXPERIENCE
AND MAKING
SURE WE
CAN PROVIDE
THOSE
EXPERIENCES
WHEN THEY'RE
READY AT
THE EARLIEST
STAGES.**

JOHN PAGE
Penn Law Board of Overseers

YOU'RE A TREMENDOUSLY MORE POWERFUL AND IMPACTFUL LAWYER WHEN YOU ACTUALLY UNDERSTAND WHAT IS GOING ON BEYOND THE LEGAL ASPECT OF YOUR WORK.

TOM SABATINO
Penn Law Board of Overseers



TOM SABATINO L'83

Tom Sabatino has guest-lectured a few times at Penn Law, and the main question he always gets from students is how to become an in-house counsel, a role he fell into early in his career.

At first, the inquiries took him by surprise. "When I came out of law school, that wasn't a question on anyone's mind," he said. "I think there has been a quantum shift in how law students look at in-house law careers."

Helping students become more well-rounded to give them an edge in the corporate world is one of Sabatino's goals as a new member of the Board of Overseers. "One of the things that attracted me to getting involved with the Board is the tremendous progress that's been made in the last number of years toward the integration of multiple disciplines into a law degree," he said. "You're a tremendously more powerful and impactful lawyer when you actually understand what is going on beyond the legal aspect of your work."

Sabatino would know. After working for law firms for a couple of years after graduating, he accepted an in-house role at the Fortune 500 healthcare company Baxter International, despite a lack of enthusiasm at the time for going in-house. He eventually became the company's general counsel, and about six years later, was recruited as general counsel for the pharmaceutical company Schering-Plough, where he worked until the company merged with Merck. Sabatino then became general counsel for United Airlines, where he did transactional and merger and acquisition work when the airline bought Continental Airlines. From United, he transitioned to Walgreens as general

counsel for a few years, and then Sabatino moved on to Hertz, where the previous United Airlines president had become CEO and asked him to be the chief administrative officer and general counsel. After about a year, he left to become executive vice president and general counsel at Aetna, a post he's held for the past couple of years.

Sabatino credits his in-house success with a curiosity for how things work and an openness to change. He said working at firms at the start of his career gave him a good legal foundation, but that developing "soft skills" has been just as important. "One of the things you end up doing in-house is to interact with a whole range of people who are not lawyers," he said. "The ability to have good people skills in-house is really important, and so is being intellectually curious beyond the law."

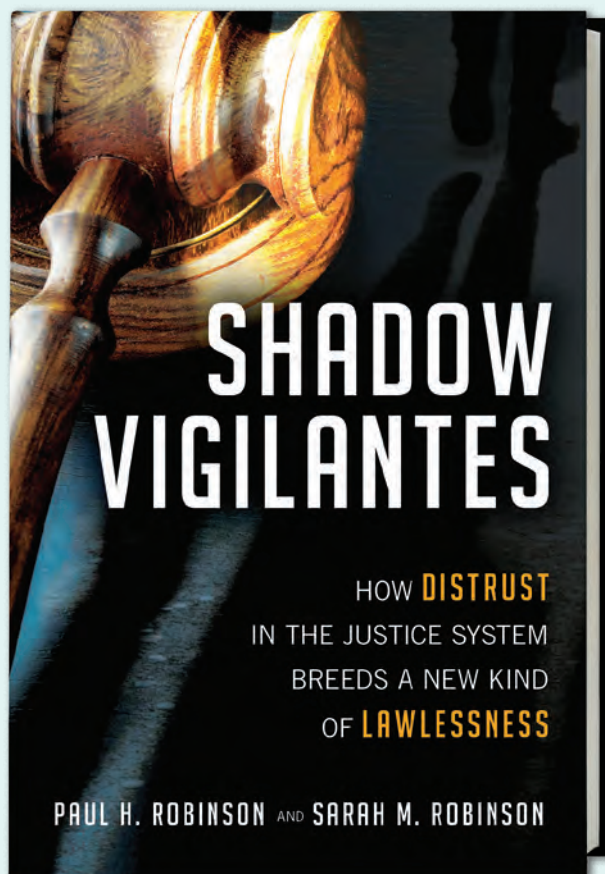
For example, he always took opportunities to visit manufacturing plants to learn how products were made, which came in handy if product liability lawsuits arose. Or he'd shadow a pharmaceutical representative to the doctor's office to see how products were sold.

Wherever his career has taken him, Sabatino has joined local boards in an effort to give back to the community, and currently is involved with Greater Hartford Legal Aid Foundation, where Aetna is headquartered. He's also currently on the boards of two national organizations: Corporate Pro Bono, which is part of the Pro Bono Institute; and the International Institute for Conflict Prevention & Resolution, a think tank that focuses on alternative dispute resolution.

Sabatino resides in Connecticut with his wife, Joan. They enjoy spending their free time sailing in Maine. ♥

Shadow Vigilantes: How Distrust in the Justice System Breeds a New Kind of Lawlessness

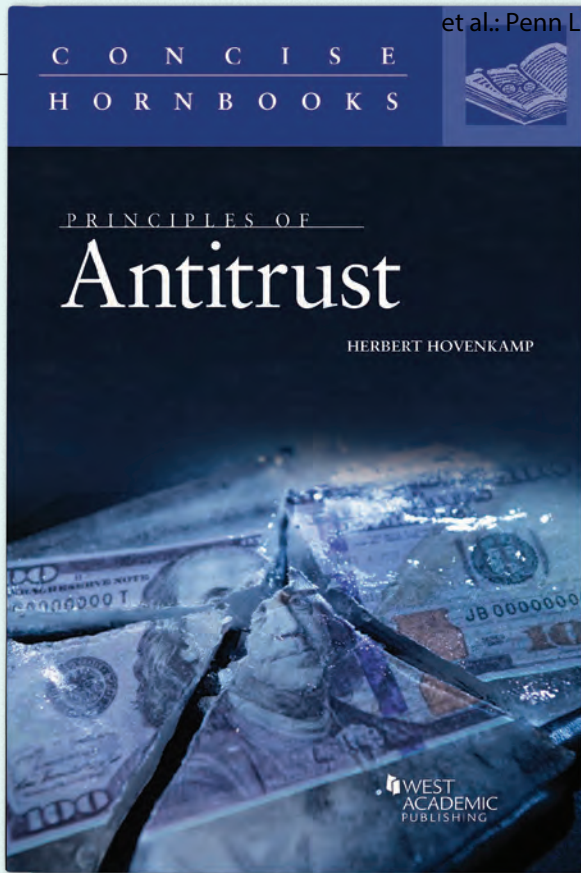
Paul H. Robinson and
Sarah Robinson
Prometheus Books



THIS BOOK DESCRIBES A PERVERSIVE and destructive problem afflicting our current justice system, one that is eroding community confidence in law enforcement. The authors call it “shadow vigilantism” — a vicious cycle in which ordinary people, as well as criminal justice officials, are so fed up with the system’s failures that they distort and subvert the system to force it to do the justice that it seems so reluctant to do on its own. The effects of this lack of trust are pervasive and pernicious: citizens refuse to report a crime or help investigators; jurors refuse to indict or convict; and officials manipulate a system that is perceived to be unreliable. This downward spiral eventually undermines the moral authority of law enforcement and creates widening rifts in the community.

The authors examine many examples of how the community has responded when the justice system is perceived to fail. The cases they cite include the infamous murder of Emmett Till, which became a cause that spurred on the NAACP and the civil rights movement; the Lavender Panthers, which formed in response to gay bashing during the 1980s; the Crown Heights Maccabees, a neighborhood watch group that successfully reduced neighborhood crime when the police failed to do so; and many others.

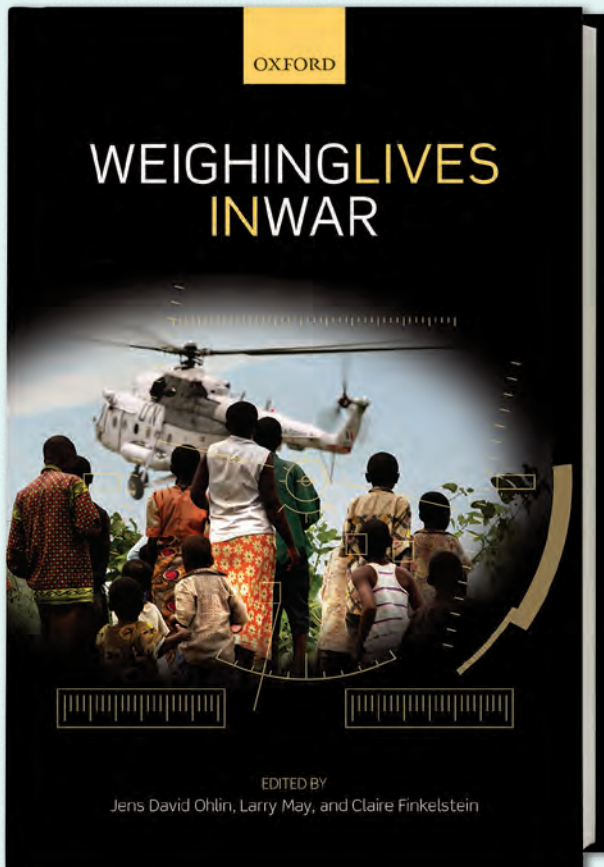
As the authors stress, these examples highlight the importance of upholding a justice system that works to provide justice for all and is not perceived to condone legal technicalities that overturn just punishment, judicial rules that suppress evidence and let serious offenders go, and other actions that undermine public trust in the system.



Principles of Antitrust

Herbert Hovenkamp
West Academic
Publishing

THIS ONE VOLUME INTRODUCTION to federal antitrust law is intended for readers who have little or no familiarity with the subject. It includes several short primers on the relevant economics, making it accessible even to those who have not had any economics training. The book explores the various ideological “schools” of antitrust, pointing out their strengths and weaknesses. This book covers all elements of domestic United States antitrust law except the Robinson–Patman Act. It includes treatment of both private and public enforcement, as well as the role of antitrust in industries that are subject to federal, state, or local government regulation. It also includes coverage of all Supreme Court decisions and important decisions in the lower federal courts through the first half of 2017.



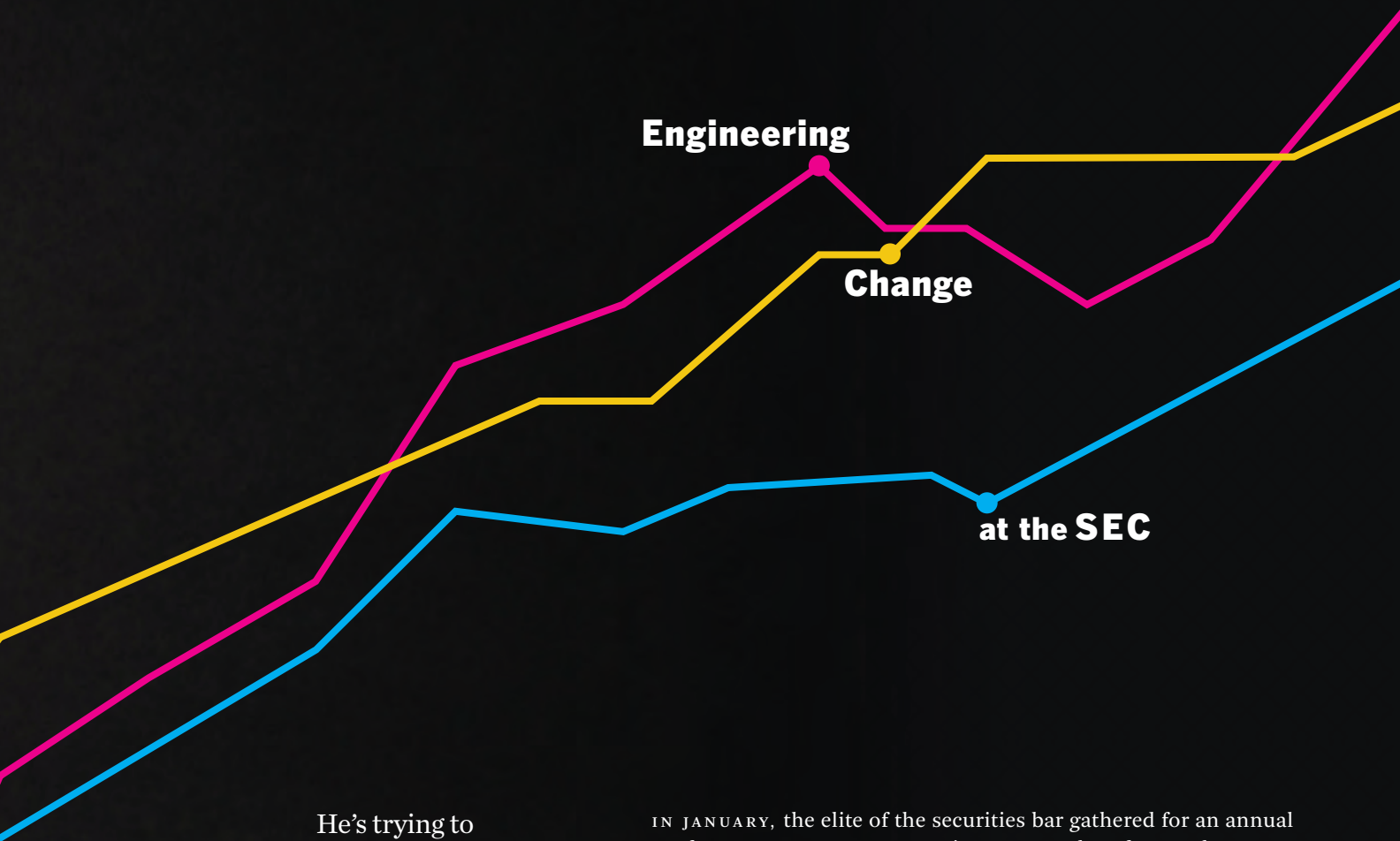
Weighing Lives in War

Co-editors
Jens David Ohlin,
Larry May, and
Claire Finkelstein
Oxford
University
Press

THIS BOOK IS THE FIRST VOLUME to be published under the new Oxford Series in Ethics, National Security and the Rule of Law. The series brings academics and policy makers into a wide-ranging conversation around normative issues that arise in war, national security, international law, and global security. This series arises out of interdisciplinary programming at the University of Pennsylvania’s Center for Ethics and Rule of Law, a nonpartisan, interdisciplinary institute dedicated to the preservation and promotion of rule of law values in national security law and policy. This volume, *Weighing Lives in War*, examines the core principles of the modern law of war, such as necessity, proportionality, and distinction, and provides new and innovative insights into the process of weighing lives implicit in all theories of *jus in bello*.

The volume explores the moral and legal issues in the modern law of war in three major categories: who counts in war, understanding proportionality, and weighing lives in asymmetric conflicts. These questions arise on multiple levels and require interdisciplinary consideration of both philosophical and legal themes. The book addresses whether modern warfare calls for a reconsideration of the way in which the lives of combatants and non-combatants have traditionally been compared. It represents an interdisciplinary effort, combining contributions from philosophers, legal scholars, military lawyers and others, and examines the scope and application of the major principles of just war theory.





He’s trying to bring order to the cryptocurrency market; encourage more public investment in companies; and raise standards for stockbrokers — all of which underscore Jay Clayton’s reputation for legal rigor (and even alchemy).

By Rick Schmitt

IN JANUARY, the elite of the securities bar gathered for an annual conference at a resort near San Diego, eager to hear from Walter J. “Jay” Clayton III ENG’88, L’93, the new chairman of the Securities and Exchange Commission. Clayton was slated to deliver the gathering’s keynote address. What he really delivered was a shot across the bow.

His target: a recent burst of “initial coin offerings” in which investors had sunk billions of dollars. The offerings sought to capitalize on surging interest in digital currencies such as bitcoin. But, at least in some cases, they also skirted SEC rules requiring disclosure of material information to the public. Clayton feared a speculative bubble, and was concerned that lawyers for the issuers were giving bad advice or looking the other way.

“Market professionals, especially gatekeepers, need to act responsibly and hold themselves to high standards,” Clayton said. “To be blunt, from what I have seen recently, particularly in the initial coin offering space, they can do better.”

SEC staff was “on high alert” for conduct that “may be contrary to the spirit of our securities laws and the professional obligations of the U.S. securities bar,” Clayton warned.

“I’m constantly looking for where expectations are out of line with reality, because that leads to uncertainty, discord and inefficiency,” he says later in an interview in his office at SEC headquarters in Washington. “That is one of the key questions I ask myself. ‘Where are the risks?’”

Clayton has seen the downside of risky behavior before, as a lawyer who advised investment banks as they struggled to survive the mortgage meltdown. Now, he is applying those skills — along with a deep understanding of the intricacies of the capital markets, and an appetite for problem-solving — as the top cop on Wall Street.

At a time when unconventional leaders increasingly occupy positions of power in official Washington, Clayton is something of a throwback.

He has acquitted himself as a serious, academically minded regulator, who has embraced his agency's traditional mission and professional staff. And he has tackled a series of formidable challenges, while calling out what he sees as emerging threats to markets and the financial system.

"Jay has seen the damage that instability can do," says Joe Frumkin, L'85, a partner at Sullivan & Cromwell, where Clayton worked for two decades. "One of many reasons I feel good about him being at the Commission is I think he will be in a position to try and take action to prevent that kind of situation from arising again."

In the decade since the worst financial crisis since the Great Depression, regulators in Washington have been focused on writing and enforcing new rules in the hope of avoiding trouble in the future. Now, there is concern among congressional Republicans and the Trump Administration that those reforms have gone too far, and the focus has shifted to easing regulations to jumpstart growth and jobs.

Clayton has made it among his main goals to make it easier for companies to raise money from the public, including reversing a decline in offerings of stock by emerging growth companies, which he says has deprived public investors of a chance to participate in the often out-sized growth such companies show in their early years. As one inducement, the SEC has recently expanded a program in which firms can have registration statements privately reviewed by agency staff before they go public.

At the same time, he says it is the long-term interests of ordinary investors that serve as the key guidepost to his decision-making. "What can the Commission do to cultivate markets where Mr. and Ms. 401(k) are able to invest in a better future?" he observed in a speech in New York last year in his first public remarks as SEC chair. "I am confident this is the right lens for our analysis."

Just a year into the job, he has won the respect of colleagues and others, even

those with whom he has policy differences.

"Jay is curious and open-minded about what the right answer is. When we have a debate, I don't always win, but I always feel like I am heard," says Robert Jackson C'99, W'99, WG'00, a New York University law school professor who was appointed to one of two Democratic seats on the Commission by President Trump. "In Washington these days, that is very rare."

MUCH OF CLAYTON'S LIFE'S STORY IS more Main Street than Wall Street. Six weeks after he was born, his father shipped out to Vietnam as a second lieutenant, and his mother, then 20, moved the growing family to her childhood home in central Pennsylvania. Clayton's grandfather, a child of coalminers, was a small-town lawyer and public servant, who took young Jay to township meetings, real estate closings and estate sales. Later, during

PHOTO: CHIP SOMODEVILLA / GETTY

→
Jay Clayton testifies before the Senate Banking Committee during his confirmation hearing to be chairman of the Securities and Exchange Commission on March 23, 2017 in Washington, DC.



college and graduate school, Clayton negotiated leases and designed inventory systems for a small warehousing and logistics business owned and operated by his parents. In high school and college, Clayton worked as a lifeguard in Ocean City, N.J. When people got out of hand at the crowded beaches downtown, it gave him some insight into how being respectful of people is almost always the best first step.

At Penn, he studied engineering, graduating summa cum laude with the Class of 1988, and receiving many senior awards as well as Penn's Thouron scholarship for study in the United Kingdom. He earned bachelors and masters degrees in economics at the University of Cambridge, where he was a member of King's College (home of John Maynard Keynes) and captained the University basketball team. He was admitted to the Wharton PhD program, and considered an academic life before committing to law. After graduating from the law school in 1993, he clerked for U.S. district judge Marvin Katz C'51 in Philadelphia.

"To the extent I have gained practical insight into the intersection of law, capital markets and technology, Penn deserves the lion's share of the credit," Clayton says. "Penn gave me access, support, and the opportunity to both fail and succeed as a student and a practitioner. I think of points made years ago by my professors and fellow students often and with gratitude and respect."

At Sullivan & Cromwell, over 20 years, he had postings in Washington, London and New York. He was elected partner after five years at the firm and became one of the youngest lawyers ever to serve on the firm's management committee. His roster of clients included the likes of e-commerce giant Alibaba and wealthy investors and entrepreneurs such as hedge-fund billionaire Paul Tudor Jones and Reid Hoffman, the co-founder and executive chairman of LinkedIn.

In 2008, Clayton advised the board of Bear Stearns, the storied investment bank, in its government-aided sale to JPMorgan Chase, and helped Lehman Brothers in an ill-fated attempt to find a suitor before filing for bankruptcy protection. He also

represented Goldman Sachs as it shored up its finances selling preferred stock to a firm controlled by investor Warren Buffet and negotiating a \$10 billion investment from the U.S. Treasury.

Clients remember a lawyer who was always fully prepared, objective, and calm under pressure. Tom Russo, the former general counsel of Lehman, recalls spending a week with Clayton in Korea in high-stakes negotiations with a group of banks that was considering an investment in the financial services giant. The two sides spent hours at the bargaining table, while Clayton simultaneously distilled their words into legally enforceable writings. "He managed to put the gobbledygook of the negotiation of the negotiation into pristine legal documents," Russo says. "It was like alchemy of sorts."

Clayton also used his law degree to get back to the classroom, developing a reputation among students and young lawyers as a supportive teacher and mentor. For eight years, he and Frumkin taught

the mergers and acquisitions course at the law school, and this spring he found time to lead the class in a discussion of shareholder activism. Ben Barocas, L'19 WG'19, says Clayton also shared a few tips on exam-taking, stressing the importance of "never forgetting who your client is" when analyzing and solving problems.

Clayton "always emphasized the question of why we regulate M&A to begin with and why that matters," says Christina Gunzenhauser W'15, L'17, an associate at Latham & Watkins in Los Angeles. "It was apparent he cared about the course as an academic, rather than just as a practitioner."

"Not every lawyer who is as successful as Jay has the EQ (emotional quotient) that matches the IQ," adds Mark Greene, L'93, another classmate, who is now a partner at Cravath, Swaine & Moore and head of its corporate department. "That is one of the things that sets Jay apart, and makes him so desirable. We are fortunate as a country that that was recognized by the current administration."

“
He managed
to put the
gobbledygook
of the
negotiation
into pristine
legal
documents.
It was like
alchemy
of sorts.”

TOM RUSSO
Former general counsel
of Lehman Brothers

A REGISTERED INDEPENDENT, CLAYTON reportedly came to the attention of then President-elect Trump when asked by a long-time client to offer suggestions to the Trump transition team. Clayton responded with detailed thoughts on making U.S.-equity markets more open and to promote growth by reducing regulatory burden. That led to his first-ever meeting with Trump just before Christmas at his Mar-a-Lago estate in Florida. Trump offered him the job the first week of the New Year. "He asked me to do a good job, and told me he would leave it to me to do a good job, which has been true," Clayton says.

At his confirmation hearing last year before the Senate Banking Committee, Democrats questioned whether Clayton could be fair given his extensive ties to Wall Street. Clayton says he understands the concern, but feels strongly he can do the job without showing favoritism to one side or the other. Ultimately, some moderate Democrats joined their Republican colleagues to approve his nomination,

by a 61–37 vote, and he was sworn in by Justice Anthony Kennedy May 4, 2017.

His is a daunting mission: the SEC oversees the more than \$82 trillion in annual securities trading on U.S. markets, over 7,300 public companies and 26,000 registered entities, including investment advisors, broker-dealers, and exchanges. Besides the five-member Commission, which votes on things such as new rules and regulations and enforcement actions, there is a full-time staff of 4,500, including lawyers, economists, and analysts. By law, no more than three commissioners may belong to the same political party, with the President designating one of the commissioners as chairman.

Transitioning from private to public life has also had its own challenges. For one thing, his wife, a lawyer and former wealth management advisor, and three children are continuing to live in New York; Clayton commutes on weekends. For another, despite their prestige, high-level positions in Washington come with a sometimes challenging learning curve.

“There’s not a place you go to learn how to do one of these jobs, which is really kind of a problem,” Clayton says, adding that the most important consideration, by far, is picking good people around you. His staff includes Robert Stebbins, a Penn Law classmate and long-time Willkie Farr & Gallagher partner who is now SEC general counsel.

So far, he has proven to be a methodical and data-driven regulator, with a well-defined view of the agency’s mission and the need for considered rule-making. He sees the congressional mandate for the agency—protect investors, maintain fair, orderly and efficient markets, and facilitate capital formation—as “the first and last organizing principle.” He is sensitive to what he calls “knock-on effects” of regulation, and the need for regulators to do a better job at anticipating unintended consequences. Stebbins sees a lawyer who “thinks a lot like an economist or engineer.”

That thought process was on display at a public hearing in April where the Commission considered a staff proposal that would raise professional standards for stock brokers, who, unlike investment advisors, are

not considered fiduciaries. Under Clayton, the agency had spent months soliciting comment from the public, and holding scores of meetings with investors and industry groups. Thousands of hours of staff time was spent evaluating such questions as the impact of changing standards on the cost of investment advice and on the type of products that brokers sell to the public.

The proposal, running more than 1,000 pages, would require brokers to provide investors with standardized disclosure documents highlighting the services they offer, the legal standards that govern their conduct, the fees customers will pay and certain conflicts of interests, among other provisions. Supporters say the proposal would, for the first time, prohibit brokers from putting their own interests ahead of their customers’.

The two Democratic commissioners were sharply critical, saying the proposal had too many loopholes and might not leave investors any better off. Currently, brokers are obligated to give advice that is “suitable” for their clients, based on their age, finances and appetite for risk. But that has also opened the door to conflicts of interest where brokers push investments that are good for them—in the form of lucrative commissions—but not necessarily for their customers. Jackson, for one, has been supportive of a new standard for brokers that is more

in-line with the higher duties required of investment advisors.

Clayton responded that it was important to continue to give ordinary investors a choice when it comes to who they turn to for investment advice. Avoiding excessive costs to the industry and ensuring the agency would be able to effectively enforce any new rule were also key considerations. At the hearing, he elicited assurances from staff members that their proposal would likely accomplish all that. In the end, despite reservations, the Commission voted to send the proposal out for public comment, the next step in the approval process.

“Our capital markets—and the broad-based participation of retail investors in our capital markets—are the envy of the world,” he said. “That broad-based participation isn’t something that was turned on yesterday, and we certainly don’t want to turn it off tomorrow.”

CLAYTON HAS INHERITED MANY OTHER challenges. Four months into his tenure, Equifax, one of the “big-three” U.S. credit bureaus, disclosed that it had experienced a data breach that exposed personally identifying information of more than 143 million Americans. The same month, the SEC discovered that it had experienced a



The headquarters of the United States Securities and Exchange Commission (SEC) in Washington.

PHOTO: JONATHAN ERNST / REUTERS



“

Jay is curious and open-minded about what the right answer is. When we have a debate, I don't always win, but I always feel like I am heard.

ROBERT JACKSON
Democratic SEC Commissioner

PHOTO: JUSTIN SULLIVAN / GETTY

data breach of its own, caused by a defect in custom software code in its EDGAR system, which handles 1.7 million public company electronic filings a year.

In response, among other things, Clayton ordered the creation of a new 30-person cybersecurity unit within the SEC's enforcement division, and authorized the hiring of a "chief risk officer" to shore up the agency's internal defenses and assess risks in the markets. In February, under his leadership, the Commission issued guidance to assist public companies in preparing disclosures about cyber risks and incidents. In April, sending another signal, the SEC extracted a \$35 million penalty from Yahoo in a settlement of charges over a hacking incident that the firm had hidden from the public for two years. Together, the moves put cyber on the radar of public companies in a way that make clear what the SEC expects of them.

And then there has been the proliferation of high-flying coin offerings. Promoters of such deals are seeking to capitalize on a new kind of technology, known as blockchain, which derives its value from eliminating the middleman, such as a bank, in financial transactions. As part of the offerings, investors get tokens

or other so-called cryptocurrency, which have no intrinsic value, but which, like bitcoin, are sold as payment alternatives to traditional currencies such as the dollar.

While the technology has enormous promise, it has also given rise to what Clayton and other regulators fear is a bubble reminiscent of the dot-com era. Investors poured nearly \$4 billion into coin offerings in 2017, which were conducted without SEC registration. Some of the issuers have taken the position they do not have to abide by agency rules because they are not issuing securities.

Clayton has a different view. "I believe every ICO (initial coin offering) I've seen is a security," he declared at a congressional hearing in February, a month after his saber rattling in front of the securities lawyers in San Diego. On his watch, the SEC has charged several ICO firms with defrauding investors, including the brains behind one start-up that promised debit card holders the ability to instantly convert digital assets into U.S. dollars. Through an unregistered ICO, the firm raised \$32 million from the public, using fictional executives with impressive biographies and paid celebrities such as boxer Floyd Mayweather to market the venture on

social media. Clayton says more such cases are likely.

"He has showed some real leadership," Jennifer Zepralka, l'01, a former SEC senior special counsel who is now a partner in the Washington office of WilmerHale, says of Clayton. "He has really marshaled the forces of the SEC around that issue to get it under control."

"Every day is interesting," Clayton says. "It would be incorrect to say that every day is a barrel of laughs. There are lots of things that you have to deal with that you wouldn't consider pleasant. But I can tell you that every single day I am glad I decided to do this."

"I think the greatest gratification comes from realizing that what we are trying to do here makes a difference, and you can see it every day, because our capital markets do perform better than comparative capital markets and that benefits the country," he says. "They're not perfect. But they are a lot better, and that makes a big difference." ▀

Rick Schmitt has covered legal affairs for *The Wall Street Journal* and the *Los Angeles Times*. He is currently a freelance writer living in Maryland.

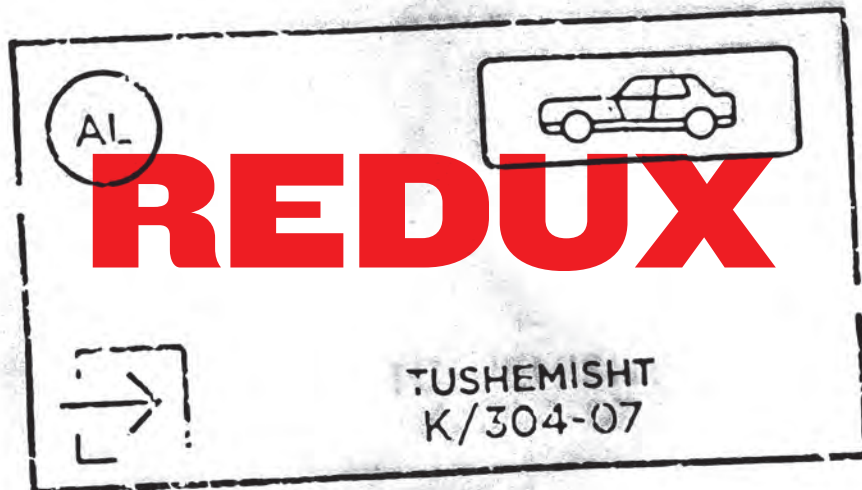


PEACE

浦东(入)
5040



CORPS



Former volunteer
Kathy Stroker L'04
works to keep
venerable and
revered agency
relevant in a time
of transition.

By **Fredda Sacharow**





THE FORMER FRENCH COLONY and West African nation of Benin enjoyed modern electricity when M. Katherine Stroker L'04 arrived two decades ago to teach English as a foreign language, but the service was anything but dependable.

The former Peace Corps volunteer remembers the sight of her junior high/high school students in the community of Parakou, a relatively large city about halfway up from the coast. In her mind's eye, they are huddled under a streetlight, taking advantage of the weak rays to study the day's lessons when the power went off in their homes.

As it did often.

"These were dedicated, earnest students who were dying to learn, kids with very little access to learning materials: just a blackboard and some chalk, and they had to pitch in to buy the chalk," Stroker recalls.

Twenty years later, these youngsters and their singular determination to pursue an education are never far from her mind as she goes about setting policy

and managing the organization that sent her 5,250 miles and light years away from her law school days.

Can an organization born of 1960s-era idealism and hope find relevance in the tumultuous world of 2018? Kathy Stroker is working to assure that it can.

As the organization's deputy chief executive officer, the Peace Corps' second-ranking official after Director Josephine (Jody) K. Olsen, Stroker spends her mornings, afternoons and many of her nights chewing over ways to keep thousands of volunteers on task, safe and productive far from their native land.

You might call it something of a challenge to fashion a blueprint to evacuate 125 Peace Corps volunteers from Burkina Faso during recent civil strife; tend to the health needs of a stricken volunteer in Tonga; or negotiate with the Sri Lankan government for the return of English teachers to that country.

For the Peace Corps, it's all in a day's work.

Established with great fanfare on March 1, 1961 by Executive Order of President John F. Kennedy, the Peace Corps was envisioned as a vehicle to promote world peace and friendship.

Later that year Congress passed the Peace Corps Act, mandating that the organization "shall make available to interested countries and areas men and



In April, Kathy Stroker L'04 returned to the West African nation of Benin for its 50th anniversary of Peace Corps service. Stroker served as a Peace Corps volunteer there 20 years ago. Today, she is deputy chief executive officer of the fabled organization. Marking the anniversary, Stroker visited the school in Parakou where she taught, met with former students and spoke at the ceremony.

women of the United States qualified for service abroad and willing to serve, under conditions of hardship if necessary, to help the peoples of such countries and areas in meeting their needs for trained manpower.”

More than half a century into that mission, the organization Stroker helps administer counts more than 230,000 alumni: volunteers who have served in 141 countries to date. Currently, the largest percentage—close to half—do their stints in Africa, as she did, with Latin America and Eastern Europe/Central Asia coming in a distant second and third.

Stroker oversees some 800 staffers domestically and another 3,000 worldwide. Of the approximately 7,000 volunteers currently deployed around the globe, 63 percent are females, 37 percent are male, and singles outnumber the married volunteers by 98 percent to 2 percent.

“We do attract a younger population,” says Stroker, who was 23 when she signed up for service in 1998; today the average age of a Peace Corps volunteer is 28. “A lot of them are newly graduated, looking for their first jobs,” she says.

Her work often takes her well beyond the confines of her eighth-floor office on the corner of 20th and L Streets in the nation’s capital. In recent years she has visited posts in Macedonia, Nicaragua, Benin, and Ethiopia, always on the lookout for an opportunity to reconnect with the day-to-day work volunteers are doing.

“I like to meet with the staff in the different countries, get a sense of their successes and their challenges,” Stroker says. Her own experiences in Western Africa are never far from her mind.

Analyzing risk and managing large-scale projects is hardwired into her DNA, an essential component of her professional experience in both the public and the private sectors.

In addition to defending domestic and international clients at Dechert LLP in Philadelphia and at Arnold & Porter in Washington, D.C., the Yale graduate also served as a foreign lecturer at the Institute of Comparative Law and the University of Paris. She also clerked for the Honorable Michael M. Baylson of the U.S. District Court, Eastern District of Pennsylvania.

After working for the U.S. Agency for International Development as deputy assistant general counsel, Stroker returned to the Peace Corps in April of 2016, as that agency’s acting general counsel.

A great deal of her time is spent keeping abreast of world events. “We have a cadre of safety and security experts working for us; every post has a safety and security manager. We pay a lot of attention to news reports, to what’s actually happening on the ground, and assess constantly.”

Evacuating the volunteers from Burkina Faso, a landlocked country in Western Africa where demonstrations, marches and violence are common, was a case in point.

“Once we got the experts to weigh in, we had to think about the risks, and whether those risks are acceptable. In Burkina, the risks to our people were mounting, and we were not comfortable with leaving them there.”

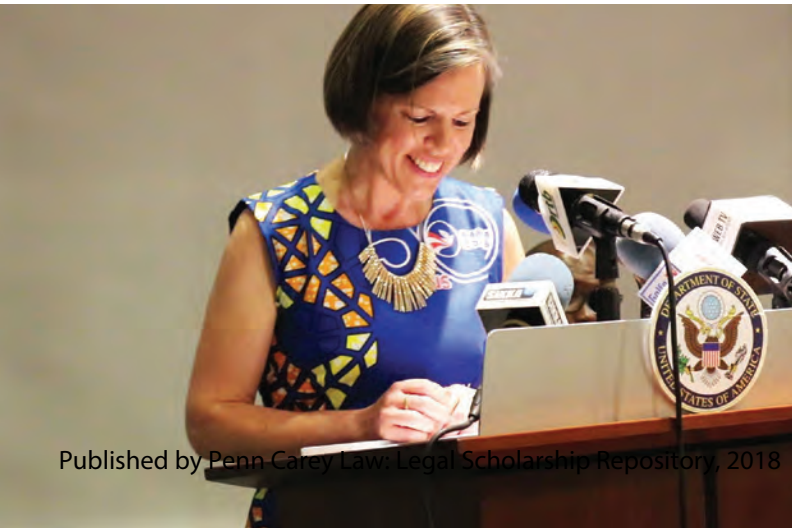
So, the next challenge became finding transportation and locating temporary housing in neighboring Ghana.

Stroker counts among her legal specialties international development, foreign tribunals, and public policy, all of which dovetail with her vision of the Peace Corps’ core mission.

“We do represent the United States in a very concrete way,” she says. “We are sending American citizens to make a person-to-person connection. At the end of the day, those connections are more important to our image than the person who is sitting in the White House at any one given time.”



PHOTO: KARL JOB / U.S. EMBASSY COTONOU, BENIN



The United States and the world were on the eve of cataclysmic changes that Friday in October, 1960, when Kennedy first floated the idea of an international volunteer organization at a pre-dawn rally at the University of Michigan at Ann Arbor.

With his campaign heading into its final three weeks, the future president threw out a challenge to the 10,000 students assembled: How many of you would be willing to serve your country and the cause of peace by living and working in the developing world?

“How many of you who are going to be doctors are willing to spend your days in Ghana?” Kennedy went on. “Technicians or engineers, how many of you are willing to work in the Foreign Service and spend your lives traveling around the world?”

It was a theme he would echo three months later in his famous inaugural address — “Ask not what your country can do for you...” — and one that clearly resonated with America’s youth.

Although the specific needs of the nations the Peace Corps serves have changed over the years, Stroker is convinced the agency remains just as important today as it was at its founding.

Enumerating today’s priorities, she points to the 62 million girls globally who must fight for an education; to the malaria patients who suffer due to lack of prevention and treatment in 40 of the countries volunteers are sent to; and to the millions of hungry people who urgently need sustainable methods of food production in parched and famine-ridden lands.

As the duties of volunteers have morphed, agency policies have been altered to keep pace. One of the biggest changes occurred several years ago, she notes, when applicants were first able to request placement in a specific job, in a specific country.

“When I was applying, you went wherever the Peace Corps sent you,” says Stroker, who recalls her excitement — and trepidation — when it came time to open the manila envelope bearing her acceptance.

The acceptance letter brought the news that she was being assigned to Benin, which was unfamiliar to her.

Stroker has high praise for the updated choice policy, which she sees as a boon for people who have targeted career plans coupled with a passion to explore different parts of the world.

Potential volunteers apparently agree: “In the first full year after we changed to the choice model in 2014, we had 23,000 applicants, an all-time high for a single year, and since then the number has remained high,” she says.

The Peace Corps website now lists all open programs by work area, country, and departure date, affording applicants the opportunity to browse through myriad

service opportunities to select which ones best suit their needs.

In another attempt to keep the Peace Corps relevant in the second decade of the 21st Century, the agency has overhauled — and drastically shortened — its application process. The 60 printed pages Stroker remembers laboring over — and which often took applicants up to eight hours to complete — have been boiled down to a short online application.

Stroker also noted that through its Peace Corps Response Program, her agency also offers shorter stints than the traditional two years, a move aimed at sending skilled volunteer “alumni” to help out where needed for a period of three months up to a year.

As of earlier this year, interested returnees could browse through openings for a literacy support

↓
President Kennedy started the Peace Corps to encourage young Americans to serve their country and create goodwill around the world.

“THE MILITARY CALLS IT WINNING HEARTS AND MINDS, BUT THE PEACE CORPS HAS BEEN DOING THIS FOR YEARS. IT’S THE BEST THING AMERICA DOES IN TERMS OF FOREIGN POLICY.”

RICHARD SITLER
Former Peace Corps volunteer

PHOTO: ABBIE ROWE / NATIONAL PARK SERVICE





THE PEACE CORPS AT A GLANCE

CURRENT NUMBER OF VOLUNTEERS AND TRAINEES

7,376

at 61 posts
in 65 countries

specialist in Belize, a community health outreach specialist in Liberia, and an aquatic resource management specialist in the Philippines, among other opportunities.

Richard Sitler is a freelance photojournalist from Carbondale, Ill., who served three terms in the Peace Corps, and at the age of 50 hasn't ruled out a fourth. Like Stroker, he's one of the agency's biggest cheerleaders.

"The military calls it winning hearts and minds, but the Peace Corps has been doing this for years. It's the best thing America does in terms of foreign policy," he believes.

He remembers a long, arduous application and interview process — "Comparable to applying to college," Sitler says — and three months of training before being sent to the Dominican Republic in August of 1991. Alas, a mosquito-borne disease cut short his stay before his official service began.

Following graduate school for visual communication at Ohio University, and work as a news photographer, he was drawn again to volunteer, and this time it took. He lived in Jamaica, serving as an advisor to at-risk youth from 2000 to 2002.

The opportunity for shorter Peace Corps service noted by Stroker lured him back to Jamaica twice, first in 2006 to help re-open a community center in the town of Ewarton after Hurricane Ernesto devastated the island, and later in 2012 to work on a documentary for an agency in Kingston serving vulnerable residents.

Between stints, Sitler traveled the world, photographing the work of fellow Peace Corps volunteers in 22 countries in five continents for a book called *Making Peace with the World* (2011, Other Places Publishing).

Like Stroker, Sitler believes the agency's real power lies in volunteers' ability to make personal contacts, build trust, and use their finely-honed skills to tackle thorny problems.

"I was in a village [in Jamaica] that never had a Peace Corps volunteer before — very remote. A lot of the people I served had never met a white person," the journalist said. "They had certain views, which I think I dispelled, that all Americans are rich, that we are all white, all we do all day is watch TV, and that we don't do any physical work," the journalist said.

As profoundly as the volunteers bring about change, they themselves are changed by the people with whom they interact, and the culture in which they serve, he says.

The roll call of returned Peace Corps volunteers, known to each other and to agency staffers as RPCVs, represents a cross-section of American life: industry, academics, the arts and public service, among other sectors.

EST. 1961



VOLUNTEERS BY WORK AREA

Education	41%
Health.....	20%
Youth in Development:.....	11%
Environment:	8%
Community Economic Development:	8%
Agriculture:	8%
Peace Corps Response:	4%

WHERE VOLUNTEERS SERVE

Africa:.....	46%
Latin America:	18%
Eastern Europe/Central Asia:	13%
Asia:	11%
Pacific Islands:.....	5%
Caribbean:.....	4%
North Africa/Middle East:	3%

BASED ON DATA AS OF SEPTEMBER 30, 2017

Among the notables are MSNBC host Chris Matthews (Swaziland, 1968–1970); author Paul Theroux (Malawi, 1963–1965); Donna Shalala, former U.S. Secretary of Health and Human Services (Iran, 1962–1964); and Chris Dodd, former Connecticut senator (Dominican Republic, 1966–1968).

Many veteran volunteers, like Stroker herself, have returned to serve in various capacities over the years.

Because the position of deputy chief executive officer she now holds is normally filled by a political appointee confirmed by the U.S. Senate, Stroker understands that she is in effect holding down the fort until that appointment takes place.

When it does, she says, she'll happily go back to the general counsel's office, eager to put her legal training to use once again. ♥

Fredda Sacharow is a former editorial page editor and a freelance writer whose work has appeared in *The New York Times*, *NJBiz*, *The Jewish Exponent* and various other publications of Rutgers and Columbia universities.

faculty Surge

Meet
Penn
Law's
six new
hires.



The trend is clear: law schools have cut back on faculty hiring. The last dozen years have seen entry level, tenure-track hiring plummet from 158 in 2006 to 62 in 2017, according to PrawfsBlawg, which chronicles the life of law schools.

Penn Law is countering the trend, with six new faculty appointments over the last two years. This mix of rising and established stars includes: Herbert Hovenkamp, Beth Simmons, Allison Hoffman, David Hoffman (no relation to Allison), Ryan Doerfler, and Maggie McKinley. Simmons and Hovenkamp are both Penn Integrates Knowledge University Professors who apply their expertise across disciplines and are appointed in at least two schools at Penn.



HERBERT HOVENKAMP

James G. Dinan University Professor

FOCUS A common theme that runs through my antitrust and legal history scholarship is that the best competition or innovation policy is the one that facilitates greater output of products or services, measured by quantity or quality. A focus on output is much easier to administer than a goal of promoting “general welfare,” or maximizing the value that accrues to all affected persons, including producers as well as consumers. Ultimately it is also more defensible.

ORIGINS I have spent years struggling to identify regulatory, competition, and innovation policies that are defensible deviations from unregulated markets, and that resist capture by any particular interest group. When I was in law school and graduate school most of

the rationales for regulation were identified as some version of special interest capture. That approach sets the unregulated market as a baseline and tends to see almost anything else as a harmful deviation. I have come to believe that this approach is mistaken and that an output test is the most workable solution for this problem.

BACKGROUND Hovenkamp is an exceptionally influential scholar with an international reputation. He has been called the dean of American antitrust law. The author of more than a hundred articles and a dozen books, Hovenkamp is co-author of the landmark 21-volume *Antitrust Law*, which has been cited more than 50 times by the Supreme Court and more than 1,000 times by federal courts. His book, *Enterprise and American Law, 1836-1937*, received the Littleton-Griswold Prize of the American Historical Association.

He came to Penn Law from the University of Iowa College of Law, where he had taught since 1986 and had won the Collegiate Teaching Award. Hovenkamp was a member of the faculty of the University of California Hastings College of Law from 1980 to 1985. He earned a JD, PhD in American Civilization and MA in American literature from the University of Texas following a BA from Calvin College.

“

The International Criminal Court has plausibly deterred some of the worst and intentional violent abuses of civilians, at least when it demonstrates some resolve through opening investigations.

BETH SIMMONS



BETH SIMMONS

Andrea Mitchell University Professor

FOCUS The singular insight of my work is that international law has had a measurable influence in important areas. Human rights actors use international treaties to stake domestic claims, with some success. The International Criminal Court has plausibly deterred some of the worst and intentional violent abuses of civilians, at least when it demonstrates some resolve through opening investigations. No doubt human rights abuses and violence are complex social and political issues, but research suggests ‘unenforceable’ agreements reinforce norms and make a positive contribution in many cases.

ORIGINS My students! I have taught a lot of skeptics over the years — people who don’t believe that international law is law; people who don’t believe that if you can’t send out the police or throw people in jail that you can’t use law to address serious global problems. The first question I fielded as an assistant professor in my international relations course on international law was “Professor Simmons, what does any of this matter?” I knew then and there I had to get started researching that question.

BACKGROUND Simmons is a world-renowned authority on international relations and human rights. She is the former president of the International Studies Association and author of two landmark books: *Mobilizing for Human Rights: International Law in Domestic Politics* and *Who Adjusts? Domestic Sources of Foreign Economic Policy During the Interwar Years, 1924–1939*. Both books won the Woodrow Wilson Award of the American Political Science Association as the best book of the year published in the United States on government, politics, or international affairs, as well as additional major awards from the International Studies Association, the International Science Council, and the American Society for International Law. She is

also a co-editor of seven books and author of dozens of influential articles and book chapters.

She came to Penn from Harvard University, where she was Clarence Dillon Professor of International Affairs and served from 2006–2013 as director of the Weatherhead Center for International Affairs. Previously she taught at the University of California-Berkeley and Duke University. She earned a PhD and MA in Government from Harvard University, an MA in International Relations from the University of Chicago, and a BA summa cum laude in political science and philosophy from the University of the Redlands.



ALLISON K. HOFFMAN

Professor of Law

FOCUS While there is no singular insight of my work, a common goal among my projects is to show that problems of health and financial security are more nuanced than the conventional wisdom suggests. My work maps the theoretical complexity of social problems to enable more thoughtful solutions. My hope is that if we can better understand the messiness and true scope of our social welfare and healthcare system problems, we might avoid constructing partial or futile legal or policy solutions (or, at the very least, we’ll do so with our eyes wide open).

ORIGINS Healthcare has been the center of my life since childhood. With a physician dad and physical therapist mom, my family discussed medicine and health policy around the dinner table. After college, I worked on cutting edge healthcare strategy challenges

as a consultant at the Boston Consulting Group. I continued to study social welfare policy and health law as a law student at Yale, where I learned from health policy guru Ted Marmor as his teaching assistant. The same reasons that drew me to this field—its critical role in shaping families' wellbeing and security—are what continue to animate my teaching and my research.

BACKGROUND Hoffman is a health care law and policy expert. Her research examines the role of regulation and the welfare state in promoting health, as well as how regulation affects conceptions of risk and responsibility. Hoffman's recent work includes "Reimagining the Risk of Long Term Care" in the *Yale Journal of Health Policy Law and Ethics*—an article that argues for a more capacious vision of how we think about long-term care risk—and the *Oxford Handbook of U.S. Health Law*, a volume co-edited with I. Glenn Cohen and William M. Sage. She also contributed a chapter to a volume titled "What Health Reform Reveals about Health Law," which explores the Affordable Care Act

“
My hope is that if we can better understand the messiness and true scope of our social welfare and healthcare system problems, we might avoid constructing partial or futile legal or policy solutions (or, at the very least, we'll do so with our eyes wide open).

ALLISON K. HOFFMAN

as a window into the idiosyncrasies of U.S. health care law and the values that have shaped this field.

Hoffman comes to Penn Law from the UCLA School of Law, where she taught courses on health insurance and reform, health law and policy, and torts. She was a visiting professor at Penn Law during the fall semester of 2015. Prior to UCLA, she was a fellow at the Petrie-Flom Center for Health Law Policy, Biotechnology, and Bioethics at Harvard. She received her AB summa cum laude from Dartmouth College and her JD from Yale Law School.



DAVID HOFFMAN
Professor of Law

FOCUS My research generally focuses on the psychology of contract. Most recently, I have focused on digital mass market contracts, finding that exposure to such contracts seems to make individuals believe that contract doctrine is more formal and less morally rich. Not surprisingly, this digital effect is particularly expressed by millennial consumers. I am also exploring whether such contracts can be made to actually communicate with their adherents. Through case-study research, I have found that mass market digital contracts are read when creative firms align the language and look of end user licenses with their overall trade dress.

ORIGINS My dad, Jerry Hoffman L'62, told stories about his Philadelphia-based employment practice at the dinner table. He convinced me that being a lawyer was intellectually exciting, practically-relevant, and endlessly fulfilling. My mom — a college professor — suggested that engaging with the law as an academic would provide a platform to attack a wide variety of hard problems that had no easy solution in sight. And from the first day I taught contracts, I found the empirical issues the course raised to be both deep and, largely, unsolved. The path forward became obvious.

BACKGROUND Hoffman is an expert in contracts, law and psychology, and empirical legal studies. In his scholarship he uses observational and experimental data to illuminate the relationship between people's behavior and the law. He has also shown how the perceived benefits and risks of potential demonstrations are contingent on people's values and how individuals' values influence their perceptions of fact in civil rights cases. His work has also explored the dispute resolution system using data from court dockets and investigated the area of moral psychology, with a particular emphasis on contract law.

He is a member of Yale Law School's Cultural Cognition Project, a group of scholars interested in studying how cultural values shape public risk perceptions and related policy beliefs. His scholarship includes the *Harvard Law Review* article "Whose Eyes are You Going to Believe? *Scott v. Harris* and the Perils of Cognitive Illiberalism," co-authored with Dan M. Kahan and Donald Braman, which examines how judges needlessly invest the law with culturally partisan overtones.

Hoffman comes to Penn Law from the Temple University Beasley School of Law, where he was the Murray H. Shusterman Professor of Transactional and Business Law. He served as a visiting professor at Penn Law last year. Hoffman earned his JD from Harvard Law School and a BA in archaeology and history from Yale University.



RYAN DOERFLER

Assistant Professor of Law

FOCUS Much of my work deals with the connection between language and practical interests. With statutory interpretation, for example, I argue that our understanding of what Congress is attempting to say is inevitably shaped by our impression of what Congress is trying to do. The War Powers Resolution (WPR), for

“
I argue that our understanding of what Congress is attempting to do or say is inevitably shaped by our impression of what Congress is trying to do.

RYAN DOERFLER

instance, states that the President shall “terminate any use of United States Armed Forces” for hostilities or another specified action within sixty days “unless,” among other things, “the Congress has declared war.”

Everyone agrees that the WPR requires the President to terminate the use of the Armed Forces within sixty days unless Congress has declared war since the initial use of the Armed Forces for the hostilities or other specified action. What makes this obvious, however, is our awareness of Congress' practical interest, namely limiting the President's authority to use the Armed Forces without congressional approval. This is not to say, mind you, that courts should interpret statutes in a way that, in their view, would bring about the best outcomes. The point is just that it is impossible to separate linguistic considerations from practical ones, even if the questions that interest us are, ultimately, linguistic in character.

ORIGINS My background is in philosophy of language, evaluative language in particular. Entering law school, my very first class (legislation & regulation) was more or less a class on statutory interpretation. Many of the questions raised in that class, for example, whether statutory language implies this or that, under what conditions we should attribute to Congress a linguistic mistake, struck me as applied philosophy of language, and I have been thinking about them ever since. Having spent more time in the legal academy, I have since come to learn that my understanding of statutory interpretation as applied philosophy of language is not universally shared, and so I now also spend a fair amount of time trying to convince people that that understanding is correct.

BACKGROUND Doerfler teaches in the areas of legislation and administrative law. His research focuses on questions of statutory and constitutional interpretation, drawing on contemporary work in epistemology and philosophy of language. He is also interested in various doctrinal puzzles in administrative law and federal courts.

Prior to joining the faculty at Penn, Doerfler was a Bigelow Fellow at the University of Chicago Law School. He earned a JD and PhD in philosophy from Harvard University. He also received a BA in philosophy from Wake Forest University.



MAGGIE MCKINLEY
Assistant Professor of Law

FOCUS The questions that interest me center on how democracies represent minorities, including the Native communities colonized by the United States. In particular, I study what sorts of structures democracies create to facilitate the representation and empowerment of minorities. My current project looks at minority participation in the lawmaking process with a focus on Congress, lobbying, petitioning, and federal Indian law. My aim is to better understand the values

“
My current project looks at minority participation in the lawmaking process with a focus on Congress, lobbying, petitioning, and federal Indian law.

MAGGIE MCKINLEY

served in a constitutional democracy by facilitating the participation of minorities in the making of laws that govern them—through petitioning, voting, and the recognition of tribal sovereignty—rather than simply recognizing minority rights.

ORIGINS My mother is Fond du Lac Band of Lake Superior Ojibwe and I grew up spending time in reservation communities across the West. In Indian Country, federal recognition of tribal sovereignty allows Native peoples to draft constitutions, constitute governments, and create laws for their own communities. The system is deeply flawed, but it serves to mitigate the colonial roots of our constitutional democracy. After working as a social science researcher, I decided to attend law school in order to train as an advocate for Indian Country. Law school introduced me to the myriad ways that minorities engage in the lawmaking process—from impact litigation to legislative advocacy. In recent years the Supreme Court has been hostile to Indian law, instituting what Phil Frickey termed “common law colonialism.” Because Congress has been more of an ally, I was keen to study Congress—in all of its dysfunction—and the ways in which minorities participate in the lawmaking process.

BACKGROUND McKinley is a public law scholar whose pathbreaking scholarship examines minority representation and participation in the lawmaking process with a focus on Congress, lobbying, petitioning, and federal Indian law.

Her article in the *Stanford Law Review*, titled “Lobbying and the Petition Clause,” unsettled the long-held presumption that lobbying is protected from regulation and reform by the Petition Clause and offered an innovative reading of the Clause taking account of its historical context. In an article forthcoming in the *Yale Law Journal*, titled “Petitioning and the Making of the Administrative State,” McKinley charts the growth of the administrative state out of the petition process in Congress. In other projects, she ran an eleven-month field study of federal lobbyists in Washington, D.C., and co-managed a large-scale study of the history of petitioning based in the Harvard Department of Government.

McKinley joins Penn Law from Harvard Law School, where she was a Climenko Fellow and Lecturer on Law. She earned a BA summa cum laude from UCLA and a JD from Stanford Law School. ♥

PRECEDENT





THE IRON CURTAIN HAD FALLEN, AND THE bloodless Velvet Revolution of 1989 had ousted communist rule in Czechoslovakia. Working for Squire Sanders, which had an office in Brussels, **Heidi Pemberton L'87** jumped at the chance to lend her legal services in what she called “the wild, wild east.” From 1991 to 1995, she lived abroad and helped privatize state-owned companies in the Czech Republic and Slovakia.

Pemberton and her colleagues structured privatizations, involving development bank funding and Western commercial sponsors, that were intended to align local business practices with European Union standards. “We needed to get a job done without the benefit of a roadmap or an established legal framework. After doing our best to understand how untested laws and regulations might be interpreted, we took a position and just went with it,” she said.

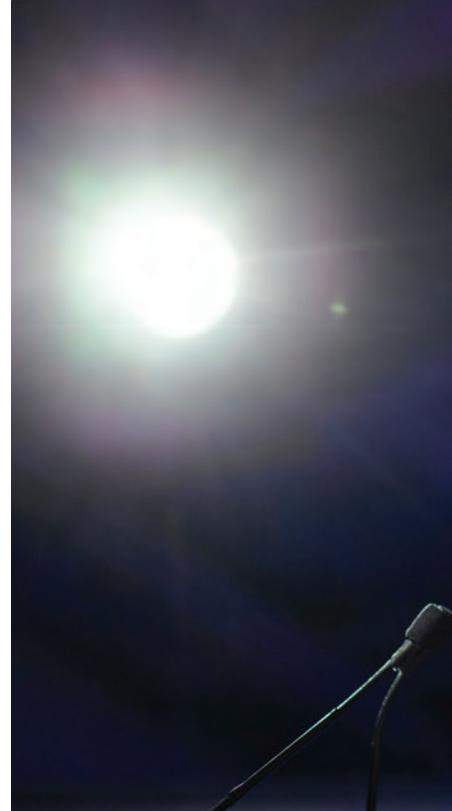
Pemberton, who returned to the United States in 1995 with her husband and started a family, said her years working in Eastern Europe were exciting and fulfilling. “I felt like I was contributing to the social good,” she said. “Our work was an important part of the shift from planned to free market economy in these countries. But we also helped save jobs and improve working conditions for ordinary people. That was incredibly satisfying.”

Pemberton now resides in Boston and works in the corporate group at Mintz Levin.



Citizens of Prague, Czechoslovakia turn out by the thousands in November 1989 to protest the Communist regime. Just one month later the regime had toppled peacefully, and the country had elected its first democratic president.

The Start of Penn Law's \$100 Million Campaign: *Advocates for a New Era*



Q&A

On April 11, Penn Law launched an ambitious three-year campaign, *Advocates for a New Era*. In the days leading up to the launch, we sat down with Dean Ted Ruger and discussed how the campaign aligns with the Law School's mission.

Q: What is the focus of the campaign?

A: As Penn Law embarks on a new campaign, we will invest in priorities that chart the surest path forward for our students in unpredictable times. We must ensure greater access to a Penn Law education, and recruit and retain the best faculty. We must create and broaden pathways to government service and public-interest law and integrate knowledge. We must prepare students for career-long success in the face of significant transitions in law, society, and the economy.

In this time of seismic change and burgeoning opportunities, it is no longer enough to have merely a place at the table or a spot in the top echelon of law schools. Penn Law must lead. We must keep exploring, understanding, and responding through groundbreaking research and new modes of student

preparation and training. It all depends on resources that match our greatest points of strength and our encompassing vision.

Q: You've said that more resources for financial aid and scholarships increases the likelihood of a more diverse class. Why is that important?

A: We know we're educating lawyers to enter an increasingly global and diverse profession. Moreover, we see that here on campus our students learn from each other as well as from their professors. We want our students to be the best lawyers they can be, not only reflecting the diversity of the real world into which they will graduate, but also prepared to excel as they engage with clients and issues from multiple perspectives, whether racial, cultural, economic, ideological, and so on. We know that financial aid and scholarships help achieve diversity by providing access to highly talented students who otherwise couldn't afford to come here. The evidence is ample: We have numerous students who are the first in their family to attend college; there has been a significant increase in the number of veterans at Penn Law, and related to that there's a scholarship called the



Dean Ted Ruger, Professor Dorothy Roberts (lower left), and a range of Penn Law students outlined the goals of the campaign during the kick off at the Pennovation Center, which drew a large gathering of alumni as well as Penn luminaries including Provost Wendell Pritchett GR'97 (right), former interim dean of Penn Law School.

Yellow Ribbon Program in which we have been more generous in our support than any other school at Penn.

Q: *Why is it so important to support young lawyers who aspire to careers in public service?*

A: The salary differential between the public and private sectors is immense and growing. At the same time, the needs in low-income communities continue to rise. We recognize that not every Penn Law student will be a public interest lawyer. But we believe that every lawyer can work in the public interest. Therefore, we have two equal priorities at Penn Law: 1) Ensure that every single law student, and ultimately every Penn Law graduate, embraces the professional responsibility to serve in order to promote access to justice. Our award-winning pro bono program makes sure that our students are exposed to a multitude of ways that they can provide meaningful service while honing their legal practice skills. It makes them better lawyers in every sector of the profession, and it helps to promote justice in our world; 2) Ensure that any student who wishes to devote his or her time and talent to the public sector can do so without feeling

overburdened by debt. Through scholarships, summer funding, postgraduate fellowship programs, and loan repayment assistance, we offer comprehensive financial support that enables our students to focus on dreams over debt. Alumni support for public service makes this comprehensive approach possible.

Q: *What is Penn Law's standing on financial aid in comparison to our peers, and what would it take and mean to close the gap?*

A: We have nearly doubled financial aid spending over the last decade. While that represents significant progress, we are still not where we need to be to compete with some of our peers who, quite frankly, have more financial resources than we do. Closing that gap is critical because talented students often have a choice of law schools, and all too often their decisions, understandably, center on the amount of financial aid available to them. On a related note, our endowment has grown faster than any law school in the country; and yet we still lag behind many of the Top 10 schools, which also puts us at a competitive disadvantage. We need \$50 million to \$90 million to match some of our peers in endowment per student. This campaign gives us an opportunity to make strides in that direction.

Q: *How does Penn Law's increased emphasis on experiential learning connect to the changing legal marketplace?*

A: The legal marketplace is changing rapidly. Legal employers increasingly demand not only the exceptional legal reasoning, research and writing skills for which Penn Law students are known, but also a host of complementary skills that will allow graduates to add value to the work their employers do. One of the most effective ways to learn these skills, which include legal project management, executive communication, team leadership and membership and technological acumen is to engage in interactive,

hands-on opportunities during law school and beyond. By working with real clients in the Gittis Center for Clinical Legal Studies, engaging in pro bono projects through the Toll Public Interest Center, pursuing legal externship experiences and participating in experiential programs and boot camps offered through Penn Law's Center on Professionalism, Penn Law graduates are responding to the quickly evolving skill demands of the legal industry, are holistically prepared for practice and are equipped with the skill set needed to thrive in a modern professional services arena.

Q: *What are the new partnerships we're striking, or plan to strike, and how do they intersect with the emerging opportunities available to Penn Law students?*

A: Consistent with Penn's commitment to integrating knowledge, Penn Law has partnered with key organizations and schools to ensure that the preparation it provides to students is cutting edge, informed by experts, practitioners and intelligence from a variety of perspectives and enhanced by exposure to real-world opportunities. Each of these partnerships is designed to better prepare Penn Law students for modern legal practice, which is increasingly characterized by greater collaboration among lawyers and professionals from other disciplines. One such partnership is with the National Constitution Center, which is developing an internship program for Penn Law students who will have the opportunity to conduct research at the NCC.

Penn Law offers a breadth of cross-disciplinary opportunities for students to learn from world-class faculty and students in Penn's other prestigious graduate schools. For example, Penn Law has joined forces with Penn Engineering to pioneer a comprehensive Law & Technology Program aimed at preparing students for careers at the intersection of law and technology. Penn Law also partners with the Wharton Public Policy Initiative to secure summer funding for students interested in pursuing internships in public policy, particularly in Washington, D.C. These and many other cross-disciplinary opportunities that Penn Law offers its students better prepare them for a professional world in which distinct borders between disciplines continue to fade.

Q: *How will Penn Law grow its international footprint going forward?*

A: The practice of law today is necessarily global, no matter your location. With that in mind, Penn Law seeks to connect students to the world, as we expand the definition of classroom. Our ever-expanding curriculum provides students with a platform to learn about and address complex cross-border issues. There are new initiatives with the United Nations and UNESCO as

CAMPAIGN PRIORITIES

1 Access and Opportunity

Penn Law's important assets are people: those who are learning the law and those who educate them.

2 Investing in Leaders

Penn Law anticipates the changing environment in which law is practiced.

3 Pathways to Public Service

Penn Law will continue to build upon programs that create pathways to careers in public interest and government.

4 Transformative Thinkers

Penn Law is committed to retaining and recruiting transformative thinkers and scholars.

5 New Era Discoveries

Penn Law's 11 cross-disciplinary academic centers and institutes promote research that yield solutions in law and policy.

well as existing programs such as the Global Research Seminar, which continues to put students face-to-face with international leaders. Moving forward, we expect to launch a new study abroad program with the London School of Economics and an externship with the International Criminal Court at The Hague. As the rule of law comes under more stress and challenges nationally and globally, it is imperative that we produce more graduates who are ready and equipped to step onto the international stage.

Q: *What is the plan to further expand the faculty?*

A: We are committed to retaining our preeminent scholars and continuing to recruit rising and established stars. Our approach will be focused and deliberate, enhancing Penn Law's leadership in emerging areas of law and in more established areas of practice. We intend to recruit junior faculty who have established themselves as having great intellectual and teaching potential, while also attracting more senior leading lights in legal academia.

Q: *Explain the impact on society of the work being done at Penn Law's centers and institutes.*

A: Penn Law educates and encourages students to become leaders who develop solutions to intractable problems facing our country and the rest of the world. We set an example here through our 11 academic centers and institutes which promote research to examine complex legal issues.

For example, The Center for Technology, Innovation & Competition is collaborating with students on an initiative to help provide Internet service to the most remote regions of the world. More than 600 case studies that demonstrate ways to overcome barriers have been compiled in service of that goal. The Quattrone Center for the Fair Administration of Justice made a major contribution to criminal justice reform with a much-cited study of the cash bail systems in Harris County, Texas and in Philadelphia that laid bare the negative effect that system has on low-income individuals. It has generated a great deal of discussion and subsequent momentum for change. Recommendations by the Penn Program on Regulation on how to improve regulatory decision-making were adopted by the Administrative Conference of the United States, an independent "good government" agency within the federal government. Finally, the Center for Ethics and the Rule of Law (CERL) explores the moral dimensions of war and transnational conflicts, focusing on issues from cyber warfare to executive privilege to PTSD in veterans returning from Afghanistan and Iraq. Recently, CERL brought General Michael Hayden to campus for a frank discussion of Russian intervention in the U.S. election. Clearly, Penn Law is at the forefront of critical policy debates. ♣



Robert Toll L'66 and Jane Toll GSE'66 make new gift to increase access to public service careers.

PENN LAW RECEIVES \$3 MILLION GIFT TO EXPAND PUBLIC INTEREST PROGRAMS, ESTABLISH PUBLIC SERVICE CORPS

Penn Law School has received a \$3 million gift from **Robert Toll L'66** and **Jane Toll GSE'66** to create and launch the new Toll Public Service Corps, while expanding the Toll Public Interest Scholars program, and funding additional financial and career support for alumni through loan forgiveness.

The new Toll Public Service Corps will be a cadre of students who aspire to advance equity and justice as public interest lawyers. The Service Corps will include an expanded number of Toll Public Interest Scholars, JD students who upon admission to Penn Law receive full tuition scholarships; the gift will also fund new scholarships for second- and third-year students who plan to dedicate their careers to public service.

"This generous gift from the Tolls further increases access for Penn Law students to pursue impactful public service careers," said Ted Ruger, dean of the Law School and

Bernard G. Segal Professor of Law. "The Law School prides itself on its public service ethos, and this gift supports us in strengthening that commitment."

The Tolls' gift will also establish Alumni Impact Awards, which will recognize Penn Law graduates for their exceptional work in the public interest, and which will complement the existing Toll Loan Repayment and Assistance Program (TollRAP) for alumni working in public interest and public service who are paying off student debt.

"Our students and alumni are making such a powerful impact on the lives of low-income clients and marginalized communities," said Arlene Finkelstein, associate dean for Public Interest Programs and executive director of the Toll Public Interest Center. "Their advocacy as members of nonprofit organizations, in government service, and as pro bono volunteers is expanding the capacity of all to respond to the increased poverty and inequality we face locally, nationally, and globally. We are deeply grateful for the Tolls' ongoing support for public service so that we can continue to expand our programs to train and support the next generation of public interest lawyers."

Penn Law's public interest program was founded in 1989 and was renamed the Toll Public Interest Center (TPIC) in 2006, in recognition of a \$10 million gift from the Tolls, which significantly expanded TPIC's activities. Since then, the continuing generosity of the Tolls has allowed for the growth and expansion of TPIC's activities. TPIC is now a hub of wide-ranging service at Penn Law. TPIC oversees the Penn Law pro bono program, facilitating a wide array of pro bono and public service opportunities through which all law students engage in impactful service while honing critical legal skills.

Robert Toll is the executive chairman of the Board of Toll Brothers, Inc. and has been a member of the Law School's Board of Overseers since 1992. He is a former member of the Penn Board of Trustees and currently serves on the board of directors of Cornell Real Estate School; Seeds of Peace, which he and his wife Jane co-founded; Beth Shalom Synagogue; and the Metropolitan Opera.

CLASS NOTES

FINE PRINT

1950s

The Hon. Carolyn Temin FA'55, L'58 was appointed as the first assistant for new Philadelphia District Attorney Larry Krasner. Judge Temin served three decades on the Common Pleas Court before retiring in 2012, having overseen homicide proceedings at the Criminal Justice Center throughout the 1990s. In 2006, she became a senior judge and recently worked overseas on legal projects in Bosnia-Herzegovina, Serbia, and Honduras.

Harold Berger EE'48, L'51, a managing principal of Berger & Montague, was honored by Penn Law School as the recipient of its Lifetime Commitment Award. Berger serves as a member of the board of overseers of Penn's School of Engineering and Applied Science, as chair of the Friends of Penn's Biddle Law Library and as a member of the executive board of Penn Law's Center for Ethics and the Rule of Law. At Berger & Montague, he is a senior partner and managing principal of the firm and serves on its executive committee.



The Honorable Thomas Nugent W'59, L'62 joined Judicate West's roster of mediators focused on private dispute resolution at the group's San Diego office. Judge Nugent was first appointed to the San Diego Superior Court in 1998 by Gov. Pete Wilson, and he retired in 2015. On the bench, he acted as an independent calendar judge and oversaw more than 800 civil lawsuits, conducted settlement conferences in civil litigation and mediated nearly 100 matters.

Stephen Cozen C'61, L'64, founder and chairman of Cozen O'Connor, joined the board of managers of The Philadelphia Foundation. The organization, which has served greater Philadelphia since 1918, seeks to improve the region by growing effective philanthropy and engagement, and it builds, manages and deploys money from more than 900 charitable funds. Cozen is a fellow at both the American College of Trial Lawyers and the International Academy of Trial Lawyers and Litigation Counsel of America. He serves on numerous education and philanthropic boards, including The National Museum of American Jewish History, where he is first vice-chair elect, and the University of Pennsylvania's Law School Board of Overseers.

Paul Heintz L'65, a partner at Obermayer Rebmann Maxwell & Hippel, LLP in Philadelphia, was named a 2017 Pennsylvania Super Lawyer for his work in estate planning and probate.

Gilbert High, Jr. L'65, a partner at High Swartz, LLP, was named a 2017 Pennsylvania Super Lawyer for his work in state, local and municipal law. In addition, he was named to *The Best Lawyers in America 2018* list for his work in land use and zoning, real estate litigation and municipal law. He was also recognized as State, Local & Municipal 2018 Lawyer of the Year in Philadelphia.

Roger Goldman L'66 was inducted into the Saint Louis University School of Law's Order of the Fleur de Lis, which is the law school's highest honor. He joined the school's faculty in 1971 and is currently the Callis Family Professor of Law Emeritus. Goldman taught courses in civil procedure, criminal procedure, constitutional law and federal courts for 40 years and has received multiple writing and teaching awards; he served as associate dean twice and as interim dean for one year. In addition to helping states write and adopt laws to prevent repeat police officer misconduct, Goldman has written several articles and books on constitutional law and criminal procedure and is frequently sought out by both national and local media for commentary.

Emery Rosenbluth, Jr. L'66 received an inaugural Pro Bono Merit Award from the Ninth Judicial Circuit in honor of his commitment to his community. He is of counsel at the Orlando office of Broad and Cassel LLP, where he works in the commercial litigation practice group. He is also a certified civil circuit court mediator for the Florida Supreme Court; a certified mediator for the U.S. District Court, Middle District of Florida; a certified mediator for the Florida Fifth District Court of Appeal; a qualified Florida arbitrator; and a past

president for the Legal Aid Society of the Orange County Bar Association, Inc. Rosenbluth has been active in various community organizations over the years and holds several accolades, including the Orange County Bar Association's 2016 William B. Trickel, Jr. Professionalism Award for representing the best in practice, service, professionalism, ethical conduct, demeanor and high moral standards in the legal community.

Jeffrey Gilbert L'69 was elected a board trustee to America's Public Television Stations.

J. Freedley Hunsicker, Jr., L'69, senior counsel at Fisher Phillips' Philadelphia office, was included in *The Best Lawyers in American 2018* list for his work in labor and employment law. Hunsicker has been listed on *Best Lawyers* for more than 20 years. He represents employers in a broad range of labor and employment issues including union organizing, discrimination, collective bargaining, labor arbitration and wrongful discharge and sexual harassment litigation.

Hugh Wise L'69 authored his first book, *The Little Lead Soldier* (Westholme Publishing). The book features letters Wise's grandfather, an Army colonel, wrote to his father while fighting in France during World War I, culminating in the decimation of the colonel's regiment in the Meuse-Argonne Sector in the war's final days. Wise has practiced civil trial and appellate law throughout western Colorado from offices in Grand Junction and Aspen since 1969.



Robert Whitelaw L'70, a partner at Obermayer Rebmann Maxwell & Hippel, LLP in Philadelphia, was named a 2017 Pennsylvania Super Lawyer for his work in family law.

Mitchell Bach W'68, L'71, the co-chair of commercial litigation at Eckert Seamans Cherin & Mellott, LLC in Philadelphia, was listed in the 2017 edition of Pennsylvania Super Lawyers for business litigation.

Jane Leslie Dalton L'71 was recognized by *Continental Who's Who* as a Pinnacle Lifetime Member in the field of law in recognition of her role as of counsel at Duane Morris LLP. She has more than 40 years' experience in the areas of ethics, public speaking and in defending controversial and publicized legal battles. She has served as chair of the Pennsylvania Bar Association Insurance and Trust Fund; as co-chair of the PBA's Commission on Women in the Profession; as president of the Metro-politan Bar Caucus of the National Conference of Bar Presidents; and as a chancellor of the Philadelphia Bar Association.

Steven Friedman L'71 was appointed to the Thomas Jefferson University and Jefferson Health Board of Presidential Advisors by president and CEO, Stephen Klasko WG'96. Friedman will serve a three-year term on the 13-member board that advises Klasko, connects Jefferson's leaders to various opportunities and serves as the institution's high-level ambassadors. Friedman is a corporate and commercial litigation partner at Duane Morris in Philadelphia, and also serves as a legal and personal advisor to Israeli Prime Minister Benjamin Netanyahu.

Mark Pollak L'71, GCP'72 was appointed secretary to the board of directors for the Waterfront Partnership of Baltimore. He has more than 30 years of experience in real estate and finance, including representing a major NFL team in the development and financing of its \$250 million stadium, serving as counsel to the entity that established the Cadillac Grand Prix of Washington and assisting developers in real estate projects throughout downtown Baltimore.

Stephen Berger C'69, L'72, a partner at Wyatt, Tarrant & Combs, LLP's Louisville, Ky., office, was named to *The Best Lawyers in America* list for his work in public finance law. He is a member of the firm's corporate and securities service team and concentrates his practice in arbitrage and tax compliance issues, as well as public and corporate finance law.

The Honorable Randy Holland L'72, who retired from the Delaware Supreme Court in March after serving more than 30 years, joined the Wilmington, Del., office of Wilson Sonsini Goodrich & Rosati in May as senior of counsel. He was appointed to the Delaware Supreme Court by Gov. Michael Castle in 1986, and in 2009, became the longest-serving justice in Delaware history.

R. Bruce Rich L'73 was elected chair of the board of directors for EL Education, a K-12 nonprofit. For the past nine years, he has been a member of the board's executive, finance and strategy committees and served as vice chair. Rich is a senior partner and co-head of the intellectual property and media practice at Weil, Gotshal & Manges LLP, and he has overseen the firm's pro bono legal assistance for the organization. He also serves as lecturer in law at Penn Law and is a member of the Counsels' Council of Lincoln Center and the board of advisors of the Committee to Protect Journalists.

Sherrie Raiken Savett CW'70, L'73 was named chairwoman of Berger & Montague, PC. She is the first woman to hold that position in the firm's 48-year history. Savett had previously held several leadership roles at the firm, including service on Berger & Montague's executive committee for decades and co-chair of the commercial litigation, securities and investor protection, data breach and False Claims Act practice groups. She joined the firm in 1975. Savett is also a board member of the National Museum of American Jewish History,

the National Liberty Museum and Ben-Gurion University of the Negev, and a member of the Union League and Germantown Cricket Club. She is an avid tennis player and competes on several USTA teams, and she recently completed a ride from Jerusalem to Eilat, cycling 175 miles in six days.

Nancy Altman L'74 was appointed by House Minority Leader Nancy Pelosi to the Social Security Advisory Board. The board is a bipartisan, independent federal government agency that advises the President, Congress and the commissioner of Social Security on matters of policy and administration of the Old-age, Survivors and Disability Insurance and the Supplemental Security Income programs. Altman has 40 years' experience in work involving Social Security and private pensions, and she is the president of Social Security Works and chair of the Strengthen Social Security coalition and campaign.

Ronald Fieldstone W'72, L'74, WG'74, a senior partner of Arnstein & Lehr LLP, served as the chair of Arnstein & Lehr's "2017 Annual Advanced EB-5 Seminar" in Miami, Fla., and also participated on a panel in Beijing titled "Regional Centers & Developer Round Table—What Types of Projects are being Underwritten for EB-5 Capital Considering the Program's Uncertainties and Does Size Matter?" He has been serving as corporate/securities counsel for multifaceted industries involving EB-5 program offerings and represents developers, regional centers and agents in EB-5 matters, including more than 300 EB-5 projects with a combined capital raise in excess of \$7 billion.

Elliott Hahn C'71, L'74 was reelected first vice president and a member of the board of directors of the AEA International Lawyers Network, the largest international network of attorneys, at its annual meeting in June. At that meeting, held this year in Prague, he spoke to attendees on enhancing the visibility of a small law firm.

H. Ronald Klasko L'74, a founding partner of Klasko Immigration Law Partners, has been appointed a member of the Investment Migration Council's governing board. The Council is a global association for investor migration and citizenship-by-investment, bringing together the leading stakeholders in the field and giving the industry a voice. He was named to The Best Lawyers in America 2018 list for immigration law and to the list of the top 25 EB-5 lawyers in the country by *EB5 Investors Magazine*. He also participated in the 2017 American Immigration Lawyers Association's EB-5 Investors Summit, where he was a discussion leader for the panel titled, "Representing Clients in Failed or Fraudulent Projects." Additionally, Klasko presented on the outlook for immigration legislation and ethical issues in representation of foreign nationals in a changing environment, along with EB-5 immigration topics, at the American Immigration Lawyers Association (AILA) Latin America and Caribbean Chapter 2017 CLE Conference. In February, he presented at the 11th Global Residence and Citizenship Conference in Hong Kong on the E-2 (Treaty Investor) Visa, which when paired with the Grenada Citizenship by Investment program, could be a solution for Chinese investors to avoid the 10 year EB-5 backlog.

Carrie Menkel-Meadow L'74 received the 2018 American Bar Foundation Fellows Outstanding Scholar Award for her decades-long work in creating, building and internationalizing the field of alternative dispute resolution, and for her major contributions to the studies of the legal profession and feminism. She is the Chancellor's Professor of Law at UC-Irvine Law.

Douglas Shachtman L'74 was voted by members of the Delaware Bar, as polled in the November 2016 monthly magazine of *Delaware Today*, as a Top Lawyer in Delaware. Also, in a two-day seminar sponsored and required by the Delaware Supreme Court for newly-admitted lawyers, he made a

presentation on civil practice in the Court of Common Pleas for the tenth consecutive year.

Nancy Loeb Rackoff CW'73, L'75, who in February joined Eckert Seamans Cherin & Mellott, LLC's Pittsburgh office as a partner and member of its estates and trusts group, was listed in the 2017 edition of Pennsylvania Super Lawyers for estate and probate. Additionally, she was honored with a YWCA Greater Pittsburgh's Tribute to Women in Leadership Award in the Business & Professional Services category in May.

Thomas Rees L'75, a partner at High Swartz, LLP, was named a 2017 Pennsylvania Super Lawyer and to *The Best Lawyers in America 2018* list for his work in employment and labor.

Keith Welks L'75, deputy treasurer for fiscal operations and senior advisor for policy at the Pennsylvania Treasury Department, was honored in April with the 2017 Pennsylvania Bar Association Environmental and Energy Law Section Award during the section's Environmental Law Forum. He received the award for his environmental protection advocacy and efforts. Welks identifies investment opportunities in green or clean technologies. In addition, he helped establish the KeystoneHELP program, which has provided more than 14,000 low interest loans to homeowners who install energy conservation measures. He also was integral in the Pennsylvania Treasury's creation of Warehouse for Energy Efficiency Loans (WHEEL), the first national financing facility that provides homeowners with low-cost financing for home improvements by creating a secondary market for residential clean energy loans via securitization.

Edward Ellis L'76, a shareholder at Littler, the world's largest largest labor and employment law practice representing management, was recognized by *The Best Lawyers in America* as "Lawyer of the Year" within his

practice in Philadelphia. For more than 30 years, Ellis has defended employers in discrimination lawsuits and whistleblower actions, as well as trade secret and noncompete matters. He is co-chair of Littler's whistleblower and retaliation practice group and regularly publishes and speaks before various groups on timely labor and employment issues. Ellis is a member of the Labor and Employment Section of the American Bar Association. In addition to his Best Lawyers ranking, he has received the AV Peer Review Rating by Martindale-Hubbell.

Mark Kadzielski L'76 joined Barker-Hostetler's national healthcare industry team as a partner at the firm's Los Angeles office. He previously headed Pepper Hamilton's West Coast health law practice. Kadzielski is a frequent writer and presenter on trends in the healthcare industry and serves on the editorial board of Bloomberg BNA's *Health Law Reporter*. He has been annually ranked since 2005 as a top-tier California healthcare lawyer by Chambers USA: America's Leading Lawyers for Business. For almost 30 years, The Best Lawyers in America has selected him for its healthcare section, and he is recognized in Southern California as a Super Lawyer in health law. He was inducted in 2005 to the inaugural class of Fellows by the American Health Lawyers Association; he was one of four Californians and 40 attorneys nationwide selected for the honor review and credentialing processes and has prepared more than 200 sets of medical staff bylaws.

Michael Kline L'76 was appointed a department hearing officer for the Pennsylvania Department of Transportation by Secretary of Transportation Leslie Richards.

Bruce Ludwig L'76, a partner in the labor department at Willig, Williams & Davidson in Philadelphia, was named a 2017 Pennsylvania Super Lawyer.

Michael Malloy L'76 had the first of four 2017 supplements to his three-volume treatise, *Banking Law and Regulation*, released in January by law publisher Wolters Kluwer. The 492-page supplement covers current developments on the regulation of depository institutions, analysis of the Federal Reserve and FDIC's rejection of the resolution and recovery plans of five major financial services firms, and revised sections on restrictions on bank mergers. He also comments on the emerging financial crisis involving Deutsche Bank, the Brexit referendum's impact on banking, the June 2016 Fed report on stress tests of 33 banking enterprises, and on the public scandal over Wells Fargo Bank's practice of opening unauthorized accounts in the names of bank customers.

Bella Schnall CW'73, L'76 was elected partner at Greenblatt, Pierce, Funt & Flores. She is director of the firm's family law division and handles matters including divorce, child support and child custody cases as well as drafting of pre- and post-nuptial agreements. She practices in state court in Philadelphia, Montgomery and Bucks counties.

The Honorable Jerome Simandle L'76, the chief judge of the U.S. District Court in New Jersey, assumed senior status in May and went into semi-retirement. He became chief judge in 2012. He was nominated to the federal district courts in 1992 by President George H.W. Bush.

John Terrill, II L'76 was elected president of the American College of Trust and Estate Counsel (ACTEC) at the organization's annual meeting in March. As president-elect, his term is slated to begin next March. Terrill is a founding partner of the West Conshohocken and Philadelphia firm of Heckscher, Teillon, Terrill & Sager, a 16-attorney boutique trusts and estates, tax planning and fiduciary firm. He has more than 40 years' experience handling all types of trust and estate matters including estate

planning, asset protection planning, charitable planning, trust and estate administration and fiduciary litigation. Terrill is nationally known for his work on ACTEC's Financial Action Task Force and is recognized as an authority on asset protection planning.

Robert Evans L'77 was named the new chairman of the Governor's Crime Commission by North Carolina Governor Roy Cooper. He has been the district attorney for Nash, Edgecombe and Wilson counties since 2009. The commission serves as the chief advisory body to the governor and the Secretary of the Department of Public Safety on crime and justice issues. It also sets program priorities and reviews, and makes recommendations to the governor for grants.

Richard Green L'77, WG'78 was named Drexel University's LeBow College of Business' 2017 Business Leader of the Year. Green is chairman and CEO of Firsttrust Bank, a full-service commercial bank headquartered in the Philadelphia region. In September he acquired the majority voting shares and control of the institution from his father, Daniel Green, W'43. The move was part of an orderly transition of the bank's family ownership.

Howard Langer L'77 was elected to the board of directors for the Public Interest Law Center, a nonprofit law firm that advances the civil, social and economic rights of communities in the Philadelphia region facing discrimination, inequality and poverty. Langer is a founding partner of Langer, Grogan & Diver, where he specializes in complex commercial litigation, particularly antitrust law. He has also been a visiting professor at the University of Tokyo Law School and a visiting fellow at the Centre for Competition Law and Policy at the University of Oxford.

Roger Fradin W'75, WG'76, L'78 was appointed chairman of the board for Honeywell's Homes and Global

Distribution business spinoff and charged with helping it transition into a separate business. In February 2017, Fradin retired after 17 years at Honeywell as vice chairman, having been responsible for advancing the company's merger and acquisition strategy.

Vernon Lynch, III L'78 joined Lerch, Early & Brewer as a principal in its real estate practice. He was previously senior legal counsel for Federal Realty Investment Trust, where he was responsible for handling about 500 leasing and related transactions for retail shopping centers and mixed-use properties.

James Nevels L'78, WG'78 joined XL Group Ltd's board of directors. He has extensive financial services and board experience, including as chairman of The Swarthmore Group, an investment advisor firm he founded in 1991, and as chairman (2009–2015) and lead independent director (2015–2017) of The Hershey Company.

The Honorable Sue Robinson L'78 joined the Wilmington, Del., office of Farnan, LLP as a partner after more than 25 years of service on the state's bench, including a period as Delaware District chief judge. Robinson is a member of Farnan's patent and commercial litigation practice. Appointed to the bench in 1991 by President George H.W. Bush, Judge Robinson specialized in patent cases, and established new rules and procedures to increase efficiency.

Edward Ryan C'75, L'78 retired from his post as executive vice president and general counsel of Marriott International, Inc. He had served as general counsel for the past 11 years. In early December, he transitioned into an advisory role to the company's president and CEO, which he will continue through 2018. Most recently before his retirement, Ryan guided Marriott International's merger with Starwood.

Richard Schifter L'78 was named to Caesars Entertainment Corporation's new board of directors following the completion of its previously announced merger with Caesars Acquisition Company and the conclusion of the restructuring of Caesars Entertainment Operating Company, Inc. and its debtor subsidiaries. Schifter is a senior advisor at TPG Capital, where he had previously been a partner from 1994 to 2013. He also serves on the boards of LPL Financial Holdings, Inc., American Airlines Group, and Youth, I.N.C., as well as on the board of overseers at Penn Law School.

Patricia Igoe L'79 was honored as an "Industry Leader" for professional services at the *Providence Business News'* tenth annual Business Women Awards ceremony in May. She is the partner in charge of Robinson+Cole's Providence, R.I., office, where she is a member of the firm's finance group. Her practice includes a broad range of commercial lending, real estate, and business transactions, and she is particularly known for her legal work in the precious metal, jewelry, and electronic industries.

John Sarchio L'79 joined Crowell & Moring, LLP as a partner in the firm's insurance/reinsurance and corporate groups in New York. He was previously a partner at Dentons, LLP.

Robert Schneider L'79, WG'79 was named a Lifetime Achiever in Marquis Who's Who for his nearly 40 years of successful industry experience and leadership. He has worked as special counsel for Cuddy & Feder LLP since 1997.

Patricia Viseur Sellers L'79 was appointed special adviser on gender to Fatou Bensouda, the prosecutor of the International Criminal Court. In this role, she focuses on strengthening the court's approach to a range of gender issues and office-wide strategic responses to sexual and gender-based crimes under the Rome Statute. She is

also a visiting fellow at Kellogg College of the University of Oxford, where she teaches international criminal law and human rights law.



Alan Berkowitz L'80, a labor and employment partner at Dechert, LLP, was elected a new fellow by The College of Labor and Employment Lawyers. Fellows are elected in recognition for sustained outstanding performance in the profession, exemplifying integrity, dedication and excellence. At Dechert, he represents employers in a range of employment litigation disputes including cases alleging discrimination, breach of contract, employment torts, non-compete violations and wage and hour violations. His experience includes class action and individual litigation in federal and state court as well as handling unfair labor practice proceedings before the National Labor Relations Board and labor arbitration proceedings in a variety of industries.

Steven Cousins L'80 and his wife Holly were chosen by the St. Louis County branch of the NAACP to receive the 2017 Norman R. Seay/Frankie Muse Freeman Commitment to St. Louis Award for their dedication to the St. Louis area. Holly is a former elementary school teacher and the founder and president of Special Friends Extended, a mentorship program serving two school districts and seven schools. Steven Cousins was also chosen by The Missouri Bar Foundation to receive the Martin J. Purcell Award, which honors outstanding professionalism in a Missouri lawyer who has consistently demonstrated an exceptional degree of competency, integrity and civility in both professional and civic activities. Cousins is a partner in financial and real estate services at Armstrong Teasdale, where he founded the firm's financial restructuring, reorganization and

bankruptcy practice group. He is also the founder and past co-chair of both the St. Louis Public Schools Foundation and the Inner-City High School Summer Internship Program. In 2014, he was appointed by the Commissioner of Education of the Missouri Department of Elementary and Secondary Education to the Normandy School District Transition Task Force. Cousins has provided pro bono services to the NAACP and has received multiple honors from the organization. He was also named among the Missouri Lawyers Weekly Influential Lawyers of 2018.

George Croner L'80 became a senior fellow at the Foreign Policy Research Institute, where he writes and researches on foreign intelligence and national security issues. He retired from the Philadelphia firm of Kohn, Swift & Graf in 2016 after 28 years in private practice. Recent published articles include "The Clock is Ticking: Why Congress Needs to Renew America's Most Important Intelligence Collection Program," which was published in four installments. A condensed version also appeared in the fall edition of the print periodical, *The Intelligencer: Journal of U.S. Intelligence Studies*. Other articles have included commentaries regarding pending legislation to extend key provisions of the Foreign Intelligence Surveillance Act (FISA).

Charles Forer L'80, after practicing at a law firm for 36 years, is now a full-time arbitrator and mediator at Charles F. Forer Alternative Dispute Resolution Services. He has published numerous articles on alternative dispute resolution issues, and he is a contributing columnist to the *Philadelphia Legal Intelligencer*. Forer has been an adjunct lecturer at The Wharton School for more than 25 years, and teaches dispute resolution at Villanova Law School.

Reginald Jackson L'80, a partner at Vorys, Sater, Seymour and Pease LLP's Columbus, Ohio, office, was named to the 2018 Best Lawyers in America List for his work in bankruptcy and

CONTINUED ON PAGE 55



ILLUSTRATION: BRIAN STAUFFER

Corriel Composes Career That Melds Law and Song

Matt Corriel L'13 could be the only student who found his way to Penn Law School through song.

After college, Corriel, a composer, wrote a musical called “The Yatesville Tragedy.” The play confronted the eternal question: Are we our brother’s keeper? That ethical conundrum drove Corriel to law school, where he says he hoped to gain a better understanding of what law has to say about that question and to hone his writing voice.

Which he did, on both counts. “Being a dramatist makes me a better lawyer and being a lawyer makes me a better dramatist,” says Corriel, a former associate at Paul Weiss who recently decided to take a sabbatical from the practice of law to concentrate on music.

If song was the vehicle, then his route to law school began a lot earlier—in grade school. One summer, after his first piano lesson, he rushed home and composed his first song on a toy Casio keyboard. It was, he admits, nothing to write home about, even though it was about the place of his birth, Nanuet, NY.

He was only 10 years old. Flash forward 25 years and his song catalog numbers at least 500, with six musicals to his credit, including Yatesville, written in 2007. (Corriel’s plays have been performed in 25 states, he says.)

His songwriting mantra: polish, polish and polish some more, like any form of writing. He followed his own advice through high school and college at Harvard University, where, after graduation, he was operations director of the Freshman Arts Program among other roles.

He got his first songwriting commission while at Harvard. Nearing graduation, he was at a party when a Massachusetts theater owner approached him and asked if he would create an adaptation of “A Christmas Carol.” Five musicals would follow over the next three years.

And then law school.

During those three years, he took a sabbatical from songwriting, but was no less productive. Corriel was the inaugural symposium editor on the *University of Pennsylvania Law Review*, a legal writing instructor, and co-president of the Penn Intellectual Property Group. In addition, after law school graduation he served as the faculty fellow for the Center for Technology, Innovation and Competition at the Law School—which he describes as a period in which he sated his curiosity about a potential academic career.

Penn Law behind him, Corriel makes time to write songs. “I write on the train, I write on the subway, I write in the shower, I write when I’m walking the dog. I’m always working some phrase, some couplet that I’m trying to get just right.”

In the last few years Corriel has returned to what he calls his magnum opus, Emcee MC’s *Tales to D.C. Town*. It is an adaptation of *The Canterbury Tales*, set on a bus from Boston to Washington, D.C., on the eve of President Obama’s inauguration. Thirty pilgrims take turns telling one true American story each, says Corriel. It is a work in progress. Corriel is still trying to get it just right.

creditor rights/insolvency and reorganization law; he was also named to the Ohio Super Lawyers list for his work in bankruptcy and creditor/debtor rights law. He has significant experience in the insolvency field and all aspects of commercial collections and workouts, including loan restructurings, forbearance arrangements, receiverships and foreclosures.

Alan Silverman L'80, WG'80, who had been senior vice president, general counsel and secretary of Cable One, Inc., retired at the end of 2017. He was with the company for 32 years, during which time he spearheaded and oversaw several acquisitions. Silverman also managed the legal department's handling of complex legal and regulatory issues. He currently serves on the endowment board of the Walter Cronkite School of Journalism and Mass Communication at Arizona State University and on the board of the Hance Park Conservancy in Phoenix.

David Cohen L'81 was elected co-chair of City Year's national board of trustees. He has served as vice-chair of the board since 2013. City Year is an education nonprofit that works to bridge the gap in high-poverty communities between the support that students actually need and what their schools are designed and resourced to provide. Cohen, the senior executive vice president and chief diversity officer of Comcast NBCUniversal, has played a key role in helping to build City Year's partnership with Comcast NBCUniversal, which has invested more than \$90 million in cash and in-kind support to City Year since 2003. Cohen also became the new board chairman of the Philadelphia Theatre Company in July. He joined the company's board in October 2015.

Philip de Picciotto L'81 was inducted into the Washington Business Hall of Fame at its 30th annual ceremony in November. Known as the "Academy Awards of business" in the Washington, D.C., area, the Hall of

Fame honors local business leaders. de Picciotto is the founder and president of Octagon, a global sports and entertainment agency that represents top athletes including Michael Phelps, Simone Biles and Stephen Curry.

David Gitlin GL'81 joined Royer Cooper Cohen Braunfeld, LLC in Conshohocken, Pa, as a partner in corporate and business law. He was previously a partner and shareholder at Greenberg Traurig's Philadelphia office.

Francine Friedman Griesing L'81 spoke at Women's Entrepreneurship Day Philadelphia on "Grit: Secret Recipes of Successful Women Entrepreneurs." She was also recently selected by Women's Enterprise Magazine as one of the 2017 Top WBE CEOs. Additionally, Griesing was featured in *Huffington Post's* list of "99 Limit Breaking Female Founders," where she shared the most important lessons learned from her experience as the founder and managing member of Griesing Law, a full service woman-owned firm in Philadelphia.

Jay Levin C'77, L'81 joined the law firm Offit Kurman and was appointed as the new practice group leader for the insurance recovery group, which was recognized by Chambers USA for insurance law in Pennsylvania. Additionally, he was selected as a Band 1 ranked attorney for insurance law in Pennsylvania.

Jeffrey Lobach L'81, managing partner at Barley Snyder, LLC, received the "York Distinguished Citizen Award" from the New Birth of Freedom Council of the Boy Scouts of America. Lobach, who became an Eagle Scout in his youth, has been an active volunteer with the Boy Scouts as a den leader and assistant scoutmaster for more than three decades, including service to the New Birth of Freedom Council, which governs locally over Boy Scout troops in six central Pennsylvania counties. Lobach also holds board positions at the York County History

Center, the York County Community Foundation as the vice chair, the York Health Foundation as the chair and the WellSpan Medical Group.

Kyra McGrath L'81 was elected in January to the board of trustees for Salus University in Elkins Park, Pa. Salus University specializes in degree programs for the healthcare professions with programs in optometry, audiology, speech-language pathology, physician assistant, blindness and low vision, occupational therapy, public health and biomedicine.

Renee Chenault-Fattah L'82 was named to the board of directors for the African Bicycle Contribution Foundation, a Philadelphia-based nonprofit that raises funds to buy and distribute bamboo bicycles to under-resourced students, small farmers and healthcare workers in rural Ghana. Chenault-Fattah, who was most recently a main co-anchor, reporter and legal correspondent for Philadelphia's NBC station, also serves on the boards of Johns Hopkins University and Springside Chestnut Hill Academy.

Philip Miscimarra L'82, WG'82 returned to Morgan Lewis as a partner in the firm's Washington, D.C., and Chicago offices. He was most recently the chairman of the U.S. National Labor Relations Board. At Morgan Lewis, he leads the firm's NLRB special appeals practice and is co-leader of Morgan Lewis Workforce Change, which manages all employment, labor, benefits and related issues arising from mergers, acquisitions, startups, workforce reductions, and other types of business restructuring. Miscimarra is also a senior fellow at the Wharton School and the Wharton Center for Human Resources.

Oluwagbemiga Oyebo GL'82 joined the Teach For All board of directors. As chairman of Teach For Nigeria, Oyebo has championed greater access to and quality of education

through his support of innovative programs to increase enrollment and school completion rates. Oye-bode has served as the chairman of Nigeria's foremost commercial law firm, Aluko & Oye-bode, since 1993. In addition, he serves on the boards of Nestlé Nigeria, MTN Nigeria, and sits on the board of trustees for Jazz at Lincoln Center and Carnegie Hall. He also consults for multinational and technology corporations on doing business in Africa. He and his wife, Aisha Oye-bode, co-convenor of the Bring Back Our Girls campaign, are honored to have a garden walkway at Penn Law named after them and were recently featured in *Town & Country Magazine* as two of the "Top 50 Philanthropists to Watch in 2017."

Joel Rosen L'82, managing partner at High Swartz, LLP's Norristown office, was named a 2017 Pennsylvania Super Lawyer for his work in business and corporate law.

Pamela Harper L'83, WG'83 joined Griesing Law, LLC as a member. She is chair of the firm's government and regulatory affairs practice. She focuses on representing and providing strategic advice to clients in connection with regulatory, compliance and governance-related matters, particularly in the financial services sector.

Robert Hawkins L'83 joined Cozen O'Connor's Philadelphia office as a member in the labor and employment department. He also chairs the firm's new Railway Labor Act practice group. He represents employers and rail carriers in labor and employment law matters, especially those involving the transportation, manufacturing, and construction industries. Hawkins was previously a shareholder at Buchanan Ingersoll & Rooney, PC.

William Myers Jr. L'83 released his second novel, *An Engineered Injustice*, published by Thomas & Mercer. His first novel, *A Criminal Defense*, debuted in April 2017 with a No. 1 ranking on

Amazon. He has 35 years of trial experience, including arguing before the U.S. Supreme Court. His law practice, The Myers Firm, is a personal injury law firm that handles railroad, truck and auto, nursing home negligence and medical malpractice cases.

John Stanley L'83 joined the Allentown, Pa., office of Norris McLaughlin & Marcus, PA, as of counsel in the firm's business law group. He had previously been senior vice president, general counsel and chief administrative officer at Air Products and Chemicals, Inc., where he was responsible for the company's law group, corporate secretary's office, government and community relations group and global trade compliance function. In that capacity, he oversaw, at various times, the human resources, communications, information technology, business shared services, mergers and acquisitions and corporate risk and security functions.

The Hon. Michele Tuck-Ponder L'83 was appointed interim CEO of Destination Imagination, a nonprofit dedicated to teaching students the creative process through hands-on science, technology, engineering, arts and mathematics (STEAM) and social entrepreneurship challenges. She is the president of Ponder Solutions, providing consulting and advising services for nonprofits in advocacy, governance, strategic planning, building and leadership development.

Joel Benjamin L'84, WG'84 is coordinating the new Kinstellar law office in Tashkent, Uzbekistan. He is the managing partner at the independent law firm's Almaty, Kazakhstan office.

Albert Bixler III C'78, L'84, the member-in-charge of Eckert Seamans Cherin & Mellott LLC's board of directors at its Philadelphia office, was listed in the 2017 edition of Pennsylvania Super Lawyers for civil litigation defense.

Jay Dubow W'81, L'84, a partner at Pepper Hamilton, LLC, is one of three attorneys heading the firm's new cannabis industry group, which will serve clients in the realm of legal marijuana, including marijuana financiers, investors and ancillary businesses.

David Prager L'84, a member of Bond, Schoeneck & King, PLLC's New York City office, was named in *The Best Lawyers in America 2018*. A labor and employment attorney, he focuses on negotiation, dispute resolution and arbitration.

Jane Rogers Rosenberg L'84, a veteran assistant attorney general, was named Connecticut's new solicitor general. In this role, she is responsible for overseeing the office's appellate casework and issuing formal opinions. She was also promoted to associate attorney general.

Terry Gillen L'85 joined the Local Initiatives Support Corporation in New York as its Pay for Success program director. In her new role, she manages projects, structures financial transactions and shares knowledge among partners and the Pay for Success community. Previously, she held multiple roles within the City of Philadelphia, including director of Federal Affairs and executive director of the Redevelopment Authority.

John Grady L'85 was appointed as an advisory board member for Active Allocator Holdings, LLC. He is a partner with DLA Piper where he focuses on the investment management industry. He also serves as president of the Alternative & Direct Investment Securities Association.

David Kedson L'85 penned a satirical novel, *TWEET*, inspired by Donald Trump's presidency. The story revolves around the antics of an American president, eight months into his first term, that include fighting a Twitter war with a high school sophomore and re-running for office in a special

election against his new youthful nemesis. Kedson, a full-time writer, is also a Democratic committee-person in Lower Merion.

Drew Littman L'85 joined Brownstein Hyatt Farber Schreck, LLP as a policy director at the firm's government relations department in Washington, D.C. He counsels clients in the healthcare, biotechnology, media, financial services and engineering fields. Most recently, he was senior counselor to Health and Human Services Secretary Sylvia Mathews Burwell, who served as the 22nd U.S. Secretary of Health and Human Services under President Obama.

Jean Rankin L'85 was appointed to the board of Resonant Inc., a designer of filters for radio frequency front-ends that specializes in delivering designs for difficult bands and complex requirements. She previously served as executive vice president, secretary and general counsel for LSI Corporation, a designer of semiconductors and software that accelerated storage and networking in data centers, mobile networks and client computing.

Jonathan Segal C'82, L'85 was named to the 2017 Lawdragon/Human Resource Executive magazine's list for "The Nation's Most Powerful Employment Attorneys — Top 100." A partner at Duane Morris, LLP in Philadelphia, Segal is the managing principal of the Duane Morris Institute, which provides training on myriad employment, labor, benefits and immigration matters to human resource professionals, in-house counsel, benefits administrators and managers.

Stephanie Foster L'86 is a founding partner of Smash Strategies, which provides strategic advice to corporations, institutions and philanthropists to ensure their investments in women and girls are effective and transformational. From 2012 to early 2017,

she served at the U.S. Department of State, most recently as a senior advisor/counselor in the Secretary's Office of Global Women's Issues, and before that, at the U.S. Embassy in Kabul, Afghanistan, where she focused on women and civil society.

John Hepp L'86 was promoted in June to professor of history at Wilkes University in Wilkes-Barre, Pa. In July, he presented a paper titled "A sharp line between legal and moral wrongs: The Anglo-American debate over war crimes at Versailles in 1919," at the British Legal History conference in London.

Ambassador Stuart Jones L'86, a career diplomat, retired from the U.S. Foreign Service in August and joined The Cohen Group as vice president. In his new role, he leads the firm's Middle East practice, which supports international clients throughout the region. Prior to joining The Cohen Group, he most recently served as the acting assistant secretary of state for Near Eastern Affairs, the State Department's senior diplomat for the Middle East. In May 2017, he accompanied President Trump to the historic Riyadh Summit and on his first visit to Israel.

Michael Lewyn L'86 authored *Government Intervention and Suburban Sprawl: The Case for Market Urbanism*, which was published in June (Palgrave). He also wrote "The Criminalization of Walking," published in the *University of Illinois Law Review*, in addition to blog posts on law and urban planning at planetizen.com and marketurbanism.com. He teaches at Touro Law Center in Long Island, NY.

Wayne Mack L'86, a partner at Duane Morris LLP, was named a co-chair of the trial practice group, which is the firm's largest practice with more than 325 lawyers. Since 2008, he has served as co-chair of the commercial, securities and antitrust division of the trial practice group. He focuses on

counseling and representing public and private businesses and their owners, officers and directors in negotiations, and mediation and litigation involving complex commercial law matters. Mack is also a member of the firm's governing partners board and chair of the firm's professional standards committee, which is responsible for the process of admitting associates to partnership.

Steve Pahides L'86 was named to the Natural Lands Trust board of trustees. Natural Lands is a regional land conservation organization dedicated to preserving and nurturing nature and creating opportunities for people to enjoy the outdoors. Pahides is a shareholder at McCausland Keen & Buckman, where he is a member of the firm's real estate group.

Neil Reisman L'86 was appointed to the board of directors for Mirati Therapeutics, Inc., a clinical stage targeted oncology biotechnology company. He is a managing director and member of the Tavistock Group board of directors, where he has, among other roles, chaired the company's investment committee. He is also chairman of the board of Nucleus Biologics.

Melinda Rudolph C'78, L'86, a member of Cozen O'Connor's corporate practice group, was chosen as one of the 2017 Women of Distinction by the *Philadelphia Business Journal*. She is a nationally-known corporate life sciences attorney who represents biomedical and biotechnology companies in complex commercial matters and plays a critical role in shaping the legal norms that now guide the industry. Rudolph is also an advocate for the autism community and helped drive and secure funding for an educational program for high-functioning kids on the autism spectrum at the Y.A.L.E. School in Cherry Hill.

Kenneth Trujillo L'86, a shareholder at Chamberlain, Hrdlicka, White, Williams & Aughtry, was named a 2017 Pennsylvania Super Lawyer for the thirteenth year. Trujillo was also named in *The Best Lawyers in America 2018* list for his work as a government relations lawyer. His practice involves complex civil litigation and class actions, and he counsels clients on regulatory matters and internal investigations.

Timothy Kolman L'87, co-founder and attorney for Kolman Ely PC, was selected as Top Labor Attorney of the Year by the International Association of Top Professionals. Kolman has more than 25 years' experience practicing as a labor attorney and was chosen to be honored, according to the organization's president, for his talent, expertise, humility and dedication. Other recent accolades include induction into the top 10 percent of U.S. employment lawyers by "Lawyers of Distinction," and, for the second consecutive year, the award of Lead Counsel Designation in Labor & Employment Law from Thompson Reuters.

Bruce Reinhart L'87 was named a federal magistrate judge in March for the Southern District of Florida. He was selected from 64 applicants for the seat, which became vacant after the retirement of U.S. Magistrate Judge James Hopkins. He sits in the Paul G. Rogers U.S. Courthouse in West Palm Beach. Reinhart has had a 31-year law career that includes a decade as a federal prosecutor and roles at both the U.S. Treasury and Justice departments. He most recently worked in private practice at his own white-collar criminal defense and complex litigation firm, Bruce E. Reinhart P.A.

Patricia Smink Rogowski L'87 launched a new law firm in March called Rogowski Law, LLC, in Wilmington, Del. The firm specializes in high-caliber intellectual property legal services including patents, trademarks, copyrights, and trade secrets, particularly for mid-sized and small

businesses. Previously, Rogowski was a partner at Panitch Schwarze, where she opened the firm's Wilmington office in 2014. She has more than 30 years of experience in intellectual property law.

Thomas Servodidio L'87 was named global vice chair of Duane Morris LLP. Prior to his appointment, Servodidio chaired the firm's employment, labor, benefits and immigration practice group for more than 15 years, and he has served on Duane Morris' executive committee for more than six years.

Albert Shuldiner L'87 was named chief of the Federal Communications Commission's audio division. The position plays a major role in how the U.S. federal government develops and carries out communications policies that affect radio broadcasters. He was previously the senior vice president and co-head of the office of general counsel at DTS, Inc., which in 2015 acquired the company iBiquity Digital, where he had held similar roles. iBiquity Digital was the technology development company that launched HD Radio.

Michael Smerconish L'87 was the honored guest and speaker at a Bucks County Bar Association awards ceremony in November. The event was in partnership with the Bucks County Herald, which published *Lawyers Among Us—Advocates. Champions. Neighbors.*

Oswaldo Torres L'87, principal of Torres Law, PA in Fort Lauderdale, Fla., has started a Cuba practice and affiliated with Cuba Counsel Corp. to pursue potential opportunities. Torres also spoke on a panel called "The Future of Business Immigration Under The New Administration."

Melinda Witmer L'87, a former Time Warner Cable executive, was elected to the board of directors of TEGNA Inc. She is also an active member of the advisory board to the dean of the S.I. Newhouse

School of Public Communications at Syracuse University.

Jon Anderson L'88 joined the Providence, R.I., law firm of Brennan, Recupero, Cascione, Scungio and McAllister as counsel. He is counsel to numerous schools in the state and appears regularly in state and federal courts and before the R.I. Department of Education. He handles matters under the Americans with Disabilities Act, Section 504 of the Rehabilitation Act, and the Individuals with Disabilities Education Act.

Michael Esser GL'88 joined Latham & Watkins, LLP as a partner in the firm's antitrust and competition practice in Dusseldorf, Germany. He was previously at Freshfields Bruckhaus Deringer's in Cologne. Esser has more than 20 years' experience advising German and international companies on a range of complex merger control and contentious antitrust matters, with particular expertise in Phase-II merger cases, domestic and international cartel proceedings and global investigations. He is also an expert in the market's technology, media and telecoms (TMT) sector.

Joseph Serino L'88 joined Latham & Watkins, LLP's New York office as a partner in the firm's litigation and trial department in the complex commercial litigation practice. Serino joined from Kirkland & Ellis.

Richard Shapiro L'88 was designated a Certified Elder Law Attorney by the National Elder Law Foundation as accredited by the American Bar Association. He authored *Secure Your Legacy: Estate Planning and Elder Law for Today's American Family* (Archway Publishing). Written for the general public, the book provides a comprehensive guide on the most important estate planning and elder law topics.

Laurence “Larry” Appel L’89 was appointed The Fresh Market’s new president and CEO. He has more than 30 years’ experience in retail, legal, and corporate strategy. From 2002 to 2012, Appel served in a range of senior leadership roles at Winn-Dixie Stores, Inc., including chief operations officer, chief human resources officer, head of strategy and chief legal officer. After leaving Winn-Dixie, he was CEO of Skeeter Snacks, LLC, a producer of wholesome snacks made in a dedicated nut-free facility.

Edward Dandridge L’89 joined American International Group, Inc. as head of marketing and communications, general insurance. He is responsible for creating and implementing General Insurance’s global marketing and communications strategy and programs. He was previously global chief marketing and communications officer at Marsh & McLennan Companies, Inc. He also serves as a member of the Economic & Community Advisory Council at the Federal Reserve Bank of Philadelphia and was recently named as *Savoy Magazine’s* “100 Most Influential African American Corporate Executives.”

Alice French Jacobs L’89 was named president of the Buffalo Fine Arts Academy, the board of which she joined in 2014. The Academy is the parent organization for the Albright-Knox Art Gallery, which is part of the planned Buffalo Albright-Knox-Gundlach Art Museum, a \$155 million project slated to break ground in early 2019.

Charles Marion C’86, W’86, L’89 joined the Philadelphia office of Blank Rome LLP as a partner in the commercial litigation group. He focuses on the areas of intellectual property, franchise, securities, product liability and complex business disputes. He was previously a partner at Pepper Hamilton LLP. He also serves as president and trustee of the Chestnut Hill Community Fund; as a member of the advisory council of

the Salvation Army Kroc Center of Philadelphia; on the board of directors of the Wharton Alumni Club of Philadelphia; and is founder of the 2T Group, a business networking group based in Philadelphia.

Denny Shupe L’89, a fellow of the American College of Trial Lawyers, was appointed by the organization’s president to chair its Special Problems in the Administration of Justice Committee (U.S.). He is a partner at Schnader Harrison Segal & Lewis.



Wifredo Ferrer L’90 joined Holland & Knight in March as a partner in its Miami office. He will lead the firm’s global compliance and investigations team, which concentrates on corporate compliance and government investigations within Holland & Knight’s white collar defense practice. He was previously the U.S. Attorney for the Southern District of Florida.

Kathryn Ballow Thomson L’90 was honored by the Jacksonville Public Schools Foundation in Illinois as a Jacksonville High School Distinguished Alumni Award recipient. She is vice president and associate general counsel for Amazon, where she leads the legal team in the company’s global and logistics business. She has received the Burton Award for Public Service in Government and the Excellence Award in 2016 for responding to the U.S. Ebola crisis. Thomson volunteers in the tutoring programs Everybody Wins and Good Shepherd, and she also serves on MacMurray College’s board of trustees, where she delivered the commencement address last year.

Ela Volkmer Bochenek L’91 was appointed assistant dean for graduate and professional education at Seton Hall Law School in January. She was previously vice president in global

compliance at Insmed Incorporated, a global biotech orphan drug pharmaceutical company based in New Jersey. In her new role, she directs the school’s global Healthcare Compliance Certification programs; oversees the school’s Center for Health and Pharmaceutical Law & Policy; assists students and alumni interested in health, life sciences and compliance work; and collaborates with faculty, industry professionals and enforcement officials to enhance the school’s educational offerings.

Peter Churchman GL’91 was appointed a judge of the High Court of New Zealand in Wellington. Churchman is also a part-time law lecturer at the University of Otago.

Suzanne Seriani Mayes L’91 was named to the boards of the Philadelphia College of Osteopathic Medicine (PCOM) and the Redevelopment Authority of the County of Montgomery. PCOM is dedicated to the education of students in medicine, health and behavioral sciences and trains physicians in the osteopathic profession. The Redevelopment Authority assists Montgomery County’s townships and boroughs in stimulating economic revitalization and aiding in the provision of affordable housing. Mayes is the chair of Cozen O’Connor’s public and project finance practice and is the vice chair of the firm’s business law department.

Melissa Rogers L’91 delivered a lecture and participated in a panel discussion at McCormick Theological Seminary about the challenges and opportunities for faith-based activism and service in American public life. She also was the guest speaker at the Spring 2018 Hugh and Beverly Wamble Endowed Lecture of the J.M. Dawson Institute of Church-State Studies at Baylor University. Her lecture was titled “Faith in American Public Life: The Constitutional Framework and Current Controversies.” She is a non-resident senior fellow in governance studies at The Brookings Institution.

Rogers served as special assistant to the president and executive director of the White House Office of Faith-based and Neighborhood Partnerships during the Obama administration.

Todd Cox L'92 was appointed to the board of Mary's Center, which is a Washington, D.C.-based community health center that provides healthcare, educational and social services. Cox is the director of policy at the NAACP Legal Defense and Education Fund, Inc.

Peter Jones L'92 was recognized, for the tenth year, as a 2017 Upstate New York Super Lawyer for his work in employment and labor. He is a member of Bond, Schoeneck & King, PLLC's Syracuse office, where his practice includes employment litigation in Federal and State courts and before the EEOC and Division of Human Rights, collective bargaining negotiations, wage and hour compliance and proceedings before administrative agencies and the courts, labor arbitrations, union organizing campaigns, strikes and picketing, and representation and unfair labor practice proceedings before the National Labor Relations Board.

Christopher Smith C'87, L'92, a partner at Smith Anderson in Raleigh, was named a 2018 North Carolina Super Lawyer and was also named to the Benchmark Litigation list of 2018 North Carolina State "Litigation Stars," which honors individuals who were recommended consistently as reputable and effective litigators by clients and peers.

Steven Spielvogel C'89, L'92 presented several lectures on entrepreneurship and the law at Princeton's University's School of Engineering and Applied Science. He has taught Entrepreneurship and the Law at Penn Law School.

Todd Ewan C'90, L'93, a Philadelphia partner at Fisher Phillips, was included in *The Best Lawyers in American 2018* list for his work in labor and

employment law. His practice focuses on defending employment-related claims in federal, state and administrative venues as well as advising clients on statutory compliance issues. He also provides training and guidance to employers and is frequently involved in cases regarding compliance with the Americans with Disabilities Act and the Family and Medical Leave Act.

Gary Ignatin L'93 joined Marvell, a storage, networking and connectivity semiconductor solutions company, as senior vice president of corporate development. His role involves corporate development, long-term strategy and strategic growth initiatives. He was previously vice president of corporate development at Broadcom Corporation.

Robert Stebbins L'93 was named general counsel of the Securities and Exchange Commission. He previously was a partner at Willkie Farr & Gallagher, LLP, where he had been practicing law since 1993.

Christina Swarns L'93, a capital defense lawyer and litigation director for the NAACP, was chosen to lead the Office of the Appellate Defender in New York. Swarns speaks and writes regularly on issues concerning race, law and criminal justice.

Ken Fabricant L'94 was named to the Buckley School's board of trustees. He is a president of Fabricant & Fabricant, Inc., a property and casualty insurance brokerage in Roslyn, NY.

Peter Katz L'94 joined Calcagni & Kanefsky LLP in Newark, N.J., where he represents corporations and individuals in criminal, civil, and regulatory matters initiated by federal and state agencies, including the U.S. Department of Justice, Securities Exchange Commission and state attorneys general. He was most recently an Assistant U.S. Attorney in both the District of New Jersey and the Eastern District of New York, where

he handled matters involving securities fraud, healthcare fraud, and money laundering.

Andrew Lelling L'94, after nomination by President Donald Trump, was confirmed by the U.S. Senate as the U.S. Attorney for the District of Massachusetts. He most recently served as senior litigation counsel in the Massachusetts U.S. Attorney's Office and is a 16-year veteran of the Justice Department. Additionally, U.S. Attorney General Jeff Sessions appointed him to serve a two-year term on the Attorney General's Advisory Committee of U.S. Attorneys (AGAC). It represents the U.S. Attorneys and provides advice and counsel to the Attorney General on matters of policy, procedure and management affecting the Offices of the U.S. Attorneys.

Megan Jacobson Shafritz L'94 was appointed by Vermont Governor Phil Scott as a judge to the Vermont Superior Court, which is the state's trial court and consists of civil, criminal, environmental, family and probate divisions. She had previously served as an assistant attorney general since 2009, where she was chief of the civil division.

Elaine Bucher L'95 was elected to the board of regents of the American College of Trust and Estate Counsel (ACTEC) at the group's fiftieth annual meeting. ACTEC is an invitation-only nonprofit association of lawyers and law professionals experienced in the area of trusts and estates. Bucher is a shareholder at Gunster, a Florida-based business law firm. Bucher is a trusts and estates attorney who works out of the firm's Boca Raton and West Palm Beach offices. She also serves on Gunster's board of directors.

Justin Chairman C'92, L'95, a partner at Morgan Lewis, was named the head of the firm's Philadelphia Corporate Business & Transactions practice.

Marie Hurabiell L'96 was appointed by the White House to the Presidio

Trust board of directors. Hurabiell is a founder and board member of Ellipsis Health, Inc., a technology company using speech analytics to gauge the behavioral health of patients in real-time.

Clark Khayat L'96 was named executive vice president and chief strategy officer of KeyCorp, where he is responsible for corporate strategy, mergers and acquisitions and strategic investments. Khayat previously served as co-founder and managing director of the strategic advisory firm, Occom Ridge Partners, LLC. He is also an adjunct professor of design and innovation at the Weatherhead School of Management at Case Western Reserve University.

Todd Lenson L'96 joined Kramer Levin Naftalis & Frankel LLP as a corporate and transactional partner in the firm's corporate department. He also serves as co-chair of the firm's capital markets and public companies practice. He was previously a partner at Stroock & Stroock & Lavan LLP, where he was practice group leader of the corporate department and led the firm's public company practice.

Kathi Lutton Vidal L'96, a technology patent litigator, joined Winston & Strawn, LLP as managing partner of the firm's Silicon Valley office. Vidal was also named to the 2017 edition of *The Best Lawyers in America*. She was previously principal and national head of litigation — the first woman to hold the position — at Fish & Richardson, PC. In her practice, she advises clients on their portfolios, patent protection, patent enforcement, patent defensive efforts, patent prosecution and other intellectual property matters.

Jeffrey Allen L'97 was elected a member of Bond, Schoeneck & King. At the firm's Rochester, N.Y., office, he focuses on commercial litigation services to clients in a wide range of industries, including industrial manufacturing, waste management, materials design, website

development and internet marketing and entertainment.

Dana Ash L'97 became the head of Duane Morris LLP's 70-attorney products liability and toxic torts division. Ash is a partner in the firm's Philadelphia office, where he represents medical device manufacturers nationally in products liability matters and in commercial disputes with suppliers and customers. He also handles matters involving complex commercial contracts, shareholder disputes, landlord and tenant lease disputes, intellectual property disputes, and a wide range of employment matters involving noncompete and confidentiality agreements.

Kevin Boyle L'97 was appointed chief financial officer of Cell Medica, a Houston-based cellular immunotherapy company whose products treat cancer. In this role, he focuses on corporate strategy and financial planning.

Elizabeth Preate Havey L'97, of counsel to Dilworth Paxson LLP in Philadelphia, joined the boards of the Belmont Charter Network, a group of Philadelphia charter schools, and The Pennsylvania Society, a nonprofit celebrating service and humanity in the state.

Claudia Johnson L'97 delivered a TEDx talk in September called "Closing the Justice Gap." The event, held in Richland, Wash., was based on the theme of foundational truths.

Leslie Levin L'97 presented at the National Business Institute's CLE seminar in May. She spoke about the role of estate planning and administration in protecting a client's legacy. She is special counsel to Cuddy & Feder, LLP in White Plains, NY.

Lisa Matson L'97 was promoted to partner at Penn Capital Management Company, Inc. She joined the company as general counsel in 2014 and is responsible for oversight of the firm's legal and compliance departments.

Priscilla "Sally" Mattison L'97, who is of counsel to Bernard M. Resnick, Esq., P.C., spoke on a Master Class panel titled, "Legal: Tech: Disruption and Evolution in the Television Industry," at the NATPE Miami Market & Conference in Miami Beach, Fla. She was also appointed to the Philadelphia Film Advisory Task Force.

Chuck Wallace L'97, WG'97 was named an independent director at Clearurance, a crowdsourced review and ratings platform for the insurance industry. Wallace, who lives in the San Francisco area, is a board member, advisor, consultant and investor with multiple companies and private equity firms. He focuses on innovative mobility/next generation transportation, next generation insurance and financial services (InsureTech and FinTech), and the intersection between the three. He has held senior operating roles in leading consumer financial services and technology companies including service for eight years as co-founder and senior executive at Esurance.

Nathaniel "Tani" Weiner L'97 joined the San Francisco office of Am Law 100 firm Polsinelli. He focuses his practice on structuring, negotiating and completing mergers and acquisitions and other complex transactions, with a special focus in the behavioral health field. He was previously an attorney at Shartsis Friese.

Saily Avelenda L'98 was promoted to assistant general counsel at Lakeland Bank in Newfoundland, NJ. She joined Lakeland in December 2010 as vice president, associate counsel and was promoted to senior vice president, associate counsel in 2015.

Sital Kalantry L'98 authored *Women's Human Rights and Migration: Sex-Selective Abortion Laws in the United States and India* (University of Pennsylvania Press). The book examines empirical, comparative and critical race studies approaches to critique the legislative process and mainstream

discourse regarding sex-selective abortion bans in the United States. She is a clinical professor of law, director of the International Human Rights Policy Advocacy Clinic, and co-director of the Migration and Human Rights Program at Cornell Law School.

Aliza Karetnick L'98 received a 2017 Diversity Award from the Diversity Law Institute. She is a partner at Duane Morris, where she is a seasoned commercial litigator and advisor to cosmetics, fragrance and personal care products companies. Karetnick has a national practice advising industry manufacturers, distributors and retailers on a host of issues, including FDA and FTC compliance, advertising, labeling and claim substantiation. At Duane Morris, she is the Philadelphia liaison and program coordinator for Women's Impact Network for Success.

Darren Tucker L'98 joined Vinson & Elkins LLP's Washington, D.C., office as an antitrust partner. He represents clients before the FTC, the Antitrust Division of the Department of Justice and foreign competition agencies in complex merger and non-merger antitrust investigations, with an emphasis on the technology, energy and pharmaceutical sectors. He was previously a partner and deputy head of Morgan Lewis' global antitrust practice group. Additionally, Tucker was appointed to the ABA Section of Antitrust Law's governing council, and he was also recognized as one of the top antitrust lawyers globally under the age of 45 by Global Competition Review.

Andrea Canepari GL'99, after serving as consul general of Italy in Philadelphia for four years, was named Italy's ambassador to several Caribbean countries. He and his family will be based in the Dominican Republic. During his time in Philadelphia, Canepari worked with the city's academic, business and professional communities to expand trade and investment links to Italy, as well strengthen cultural and personal ties

between the country and the city. He inspired the formation of a CEO Council in Philadelphia; helped establish Ciao Philadelphia!, a foundation that coordinates programs on Italian culture; and spearheaded an initiative called Il Convivio, which focused on adding more Italian language classes in Philadelphia schools.

Rogelio "Roy" Carrasquillo L'99 was appointed as the Puerto Rico Task Force Chair of the Hispanic National Bar Association (HNBA). The task force was formed in 2016 to propose legislative and executive solutions to the island's dire financial situation. Carrasquillo was chosen for his outstanding achievements and dedication to the HNBA. He is a member of Cozen O'Connor's corporate and EB-5 practices.

William Castle L'99 was appointed by U.S. Secretary of Defense James Mattis to the Department of Defense Senior Executive Service and for assignment as the principal deputy general counsel. Castle was most recently chief counsel in Sen. Orrin Hatch's Senate President Pro Tempore office.

Lisa Jurinka L'99 was appointed general counsel of Innovari, Inc. She is responsible for worldwide legal affairs and oversees the company's corporate governance, contracting, commercial licensing, intellectual property, litigation, compliance and regulatory matters. She was previously general counsel for Jive Software and has more than 15 years' experience as a corporate transactional attorney advising emerging growth pre-IPO and public companies.

J. Ross Wallin L'99 is a co-managing principal of the new finance firm, Curiam Capital LLC, which he launched with Owen Cyrulnik in New York. They were previously partners with litigation boutique Grais & Ellsworth LLP, and before that, they practiced law together at Cravath, Swaine & Moore. At the new firm, they will oversee underwriting, due

diligence and monitoring of the litigation that Curiam evaluates and finances. They will also manage the firm's business development and new business initiatives.



Aviva Abramovsky L'00 was named dean of the University at Buffalo School of Law, a post she began in July. She was previously associate dean for international initiatives and Kaufman Professor of Entrepreneurship and Innovation at Syracuse University College of Law. As associate dean at Syracuse, she spearheaded the law school's Master of Laws and two-year Juris Doctor programs for foreign lawyers, launched a visiting scholars and researchers program, developed international partnerships to enable a new international student exchange program and created a legal English pre-matriculation program.

Jonathan Klassen C'96, L'00 joined Kunzler Law Group's San Diego office as a partner in the firm's corporate group. He was previously at BioMed Realty Trust, Inc., where he was executive vice president, general counsel and secretary. His law practice centers on corporate finance, mergers and acquisitions and general company representation. He also volunteers as a court appointed special advocate for foster children.

Edward Fisher L'00, who in January was promoted to member in Griesing Law's commercial litigation practice group, co-authored the article "Construction Contracts and Arbitration Clauses: Does SCOTUS's FAA preemption ruling jibe with NJ's arbitration act?" for the *New Jersey Law Journal*. He was also included in the Best Lawyers in America 2018 list for his work in labor and employment litigation. Fisher focuses his practice on defending employers in litigation arising out of claims of discrimination,

CONTINUED ON PAGE 64



ILLUSTRATION: DAN PAGE

Doak Says Stormy Season Soaked Reinsurance Industry

For 12 years, the waters of the Atlantic were quiet.

Then last year happened: The Atlantic Ocean produced 17 named storms, 11 of which made landfall. The monster Hurricanes Harvey, Irma and Maria ravaged the Caribbean and U.S. coastlines.

"2017 was the third year (in history) where the annual insured loss was greater than \$100 billion. The average annual insured loss is around \$60 billion," said **Michael Doak '03**, who manages insurance investments for a reinsurance company. 2017 also proved the most expensive year on record for the U.S.

Reinsurance is the insurance that insurance companies buy for protection in the event of catastrophes. And since the devastation of Hurricanes Charley, Frances, Ivan, Jean, Katrina, Rita and Wilma in 2004 and 2005—with Katrina alone leaving a roughly \$50 billion insurance loss in her wake—the reinsurance industry has dramatically changed the way it operates.

The difference revolves around the fact that third party capital, like big state pension plans, have become major players in the industry through investments in reinsurance, Doak said. Investing in reinsurance has become a popular option because it is generally not correlated with financial markets, and, with the exception of last year, has produced attractive returns.

For a dozen years, reinsurance investments burgeoned as the Atlantic remained calm. Doak said the industry's third-party capital has steadily grown by 15 percent each year for that same period.

"It's been a long run of capital accumulation," he said. Of course, the storms of 2017 put a dent in that but not enough

to disrupt the activity of third-party investors. "Even though alternative capital suffered a lot of these losses, the investors reloaded pretty quickly," Doak said. At year-end 2017, the reinsurance industry boasted a total of approximately \$600 billion in capital.

Doak has worked in the investment side of reinsurance at RenaissanceRe since 2010 after time at Morgan Stanley. There, he got his feet wet with property casualty insurance while dealing with the financial ramifications of the 2005 storms. And during the financial crisis, he worked on the Morgan Stanley team advising the U.S. government as it rehabilitated and restructured AIG.

RenaissanceRe is based in Bermuda, which has become a top international hub for the reinsurance industry. For five years, Doak lived there in a pastel-colored house and rode a scooter to work. In that time, he and his wife, Laura, welcomed two daughters. They now live in their native Texas, closer to family, in Dallas.

Doak concentrates on managing funds from third party investors and investing in financial services companies, including life reinsurance, pet insurance and insurance distribution.

Reinsurance, Doak said, is a quiet, behind-the-scenes business, but one that ultimately makes businesses and communities more resilient in the face of disaster. "The capital we're providing our clients enables them to insure risks and pay losses," he said. "There are many homeowners in Florida, South Texas and Puerto Rico that are rebuilding houses with our money we paid in claims. That's ultimately the business we are in, and we are proud to do so."

harassment and retaliation, and representing employers in breach of contract, trade secret, noncompete and other business-related disputes.

Susan Gault-Brown L'00 was appointed a partner at Morrison & Foerster's financial services group in Washington, D.C. She was previously an attorney at Wilson Sonsini Goodrich & Rosati. She advises clients on regulatory, transactional and counseling matters involving the securities and derivatives laws. Gault-Brown also regularly provides advice with respect to exemptions, no-action letters and other forms of regulatory relief.

Sigal Mandelker L'00 was sworn in as the U.S. Treasury Department's undersecretary of terrorism and financial intelligence in June after the Senate confirmed her with a 96 to 4 vote. She was previously a partner at Proskauer Rose, LLP. Mandelker served in the U.S. Department of Justice and in the U.S. Department of Homeland Security.

Katherine Betterly L'01 was named a partner at Morris, Nichols, Arsht & Tunnell LLP. She joined the firm in 2001 and is a member of its commercial law counseling group, where she focuses on a range of national and local transactional matters as well as commercial real estate and unclaimed property matters. She also participates in drafting updates to the Delaware Uniform Commercial Code as a member of the UCC subcommittee of the Delaware State Bar Association's commercial section.

Chandra Bhatnagar L'01 joined campus human resources at UCLA as director of staff diversity and affirmative action/EEO compliance. He is responsible for developing and implementing UCLA's staff affirmative action plan; programs to ensure equal employment opportunity for staff; investigation and resolution of discrimination complaints; and educational efforts to advance staff diversity and inclusion, in partnership with other colleagues on campus

and in the health system. He previously served as senior attorney advisor to commissioner and former chair Jenny Yang of the U.S. Equal Opportunity Commission.

Aaron Bloom L'01 was appointed chair of TroyGould PC's litigation practice in Los Angeles. He joined the firm three years ago and is an entertainment, intellectual property and real estate litigator.

Diankha Linear L'01 was appointed general counsel for Convoy, which was named GeekWire's 2017 "Startup of the Year." She was previously with Nordstrom's legal department.

Stephen Rutenberg L'01 joined Polsinelli's national capital markets and commercial lending group as a shareholder in the law firm's New York office. He was previously at Arnold & Porter Kaye Scholer, where he counseled hedge funds, private equity firms and global financial institutions on legal issues relating to the purchase and sale of loans and securities. In his new role, he focuses on distressed and par loan transactions, accounts receivable, trade claims, and bank debt, both domestically and in Europe.

Ramy Wahbeh GL'01, GRL'03 was elected partner at the London office of Paul, Weiss, Rifkind, Wharton & Garrison LLP. In the firm's corporate department, Wahbeh focuses on complex cross-border mergers and acquisitions, strategic joint ventures and private equity, primarily in Europe.

Meredith Zinman Crowley L'02 joined Fried, Frank, Harris, Shriver & Jacobson, LLP as a partner in the firm's capital markets practice in New York. She was previously counsel in Davis Polk's corporate department. In her new role, she advises underwriters and issuers in connection with capital markets and leveraged finance transactions, including high-yield debt offerings, initial public offerings, and other public and private securities offerings.

Matthew Lang L'02 was appointed general counsel and corporate secretary at the San Francisco office of Myovant Sciences, a clinical-stage biopharmaceutical company. He was previously vice president, head of global litigation, investigations, employment law and information governance at Gilead Sciences Inc. At Gilead, in addition to leading core functions within the legal department, he was a member of the company's Corporate Operating Group, Global Legal Leadership Team, and Global Compliance Committee.

Ilan Rosenberg GL'02, GL'11, a partner in the Philadelphia office of Gordon Rees Scully Manssukhani, joined the Hebrew Immigrant Aid Society board of directors. He is currently board president of HIAS Pennsylvania.

John Stapleton L'02 was named to the *Philadelphia Business Journal's* 2017 "40 Under 40" list and was also honored as a 2017 Distinguished Advocate by the Support Center for Child Advocates. He is a shareholder with Hanglely Aronchick Segal Pudlin & Schiller in Philadelphia.

Eric Johnson L'03 was elected to serve on the Democratic Legislative Campaign Committee's board of directors and was recently selected for membership on the Council on Foreign Relations. In August, he and his wife, Nakita, welcomed their second son, George Milam Johnson.

Eliza Kaiser L'03 was promoted to partner at Kramer Levin Naftalis & Frankel LLP. She focuses her practice on employment law litigation and advisory matters at the firm's New York office.

Sara Temes L'03 was named to the 2017 *Upstate New York Super Lawyers Rising Stars* list in the field of Bankruptcy: Business. She is a business restructuring, creditors' rights and bankruptcy attorney in Bond, Schoeneck & King PLLC's Syracuse office, where she focuses on a wide range

of business restructuring and bankruptcy matters. She also advises senior managers and boards of directors of financially-troubled companies with respect to restructuring strategies.

Xun Zeng GL'03, L'07 joined Cooley LLP's new Beijing office as the international law firm's first resident partner in the city. She previously practiced at Ropes & Gray. Zeng is a native Mandarin speaker and has more than 10 years' experience advising fund sponsors, institutional investors and other corporate clients in New York, Hong Kong, and Beijing.

Ilana Eisenstein L'04 joined DLA Piper's litigation practice as a partner in Philadelphia. She previously served as assistant to the solicitor general in the U.S. Department of Justice, where for three years she briefed and argued extensively before the U.S. Supreme Court. She also advised the solicitor general regarding federal appellate litigation on civil, criminal and administrative law matters.

Richard Horvath L'04 joined the San Francisco office of Allen Matkins as a partner in the firm's litigation department and corporate governance and compliance group. He focuses on representing directors and public companies in complex litigation involving alleged corporate misconduct or violations of federal securities laws.

Grace Koh L'04 was named special assistant to the President for Technology, Telecom and Cyber-Security Policy, which is a role within the White House National Economic Council. She was previously the deputy chief counsel to the Subcommittee on Communications and Technology of the Energy and Commerce Committee in the U.S. House of Representatives, where she advised the chairmen and committee members on policy and legal issues arising in the telecommunications and technology sectors.

Erica Mason L'04, a partner with labor and employment firm Constangy, Brooks, Smith & Prophete, is the new president of the Hispanic National Bar Association. She has served as the group's national finance director, co-chair of its Latina Commission and representative to its inaugural Collaborative Leadership Bar Academy. The group named her one of its Top Lawyers Under 40 in 2016.

Alva Mather L'04, a partner at Pepper Hamilton, LLC, is one of three attorneys heading the firm's new cannabis industry group, which will serve clients in the realm of legal marijuana, including marijuana financiers, investors and ancillary businesses.

Gemela McClendon L'04, Philadelphia's deputy city solicitor, was named executive director of the Philadelphia Gas Commission in June.

Amber Mettler L'04 was appointed by Utah Governor Gary Herbert to the Third District Court and in November was confirmed by the Utah Senate. She had been an attorney at Snell & Wilmer since 2007, becoming a partner in 2015. Mettler represented clients in a variety of disputes, including breach of contract, fraud, antitrust, land-use, intellectual property and lease disputes. She second-chaired jury trials in both state and federal court, and argued appeals before the Utah Court of Appeals, the Utah Supreme Court and the United States Court of Appeals for the Tenth Circuit.

Jason Rubin C'01, L'04 was elected partner at Akin Gump's New York office. He is a member of the firm's financial restructuring practice and focuses on creditors' rights and corporate restructurings.

Christopher Seaman L'04 was awarded tenure and promoted to associate professor of law at Washington and Lee University School of Law. In addition, he was named director of the Frances Lewis Law Center, the law school's

research center. He also published articles on intellectual property law in the *Virginia Law Review*, the *Iowa Law Review*, and the *Washington Law Review*.

Toshi Yoshida GL'04 joined K&L Gates LLP's energy, infrastructure and resources practice area as a partner. He was previously a partner at Mayer Brown LLP, where he represented Japanese clients' energy and general manufacturing businesses in the United States. In his new role, he is based out of both New York and Houston, while also working closely with the firm's Tokyo office.

Joseph Borstein C'02, L'05 joined National Arbitration and Mediation as the chief revenue officer. In this role, he is responsible for developing, implementing and overseeing the company's strategic revenue goals. He is also part of the coordination effort for NAM's sales and marketing. He was previously global director at Pangea3, where he managed a global team dedicated to counseling law firms and corporate clients on integrating and leveraging Pangea3's legal outsourcing solutions.

Michael Fine L'05 was named chair of the American Health Lawyers Association's tax and finance practice group. The association is the nation's largest, nonpartisan educational organization that has been devoted to legal issues in the health care field for the past 50 years. The tax and finance practice group addresses issues including IRS audits and rulings, tax-exempt status, intermediate sanctions, bond financing, joint ventures, physician and executive compensation. Fine is a partner at Wyatt, Tarrant & Combs, LLP in Louisville, Ky., where he is a member of the firm's health care service team.

Kalpana Kotagal L'05 was profiled in *The National Law Journal* after she joined the advisory board of the newly relaunched Annenberg Inclusion Initiative, which had previously

been the Media, Diversity and Social Change Initiative at the University of California's Annenberg School for Communication and Journalism. On the board, she will advise on diversity and inclusion issues regarding hiring in the entertainment industry. Kotagal is a partner with Cohen Milstein Sellers & Toll, where she is a civil rights and employment litigator.

Lauren Leyden L'05, a partner in the labor and employment practice at Akin Gump, was selected by the *New York Law Journal* as one of its 2017 Rising Stars. The award recognizes 30 of the most promising lawyers in New York under the age of 40. Leyden focuses her growing practice on the intersection of labor and employment, corporate and bankruptcy law. She is also a member of the firm's New York office hiring committee and a part of the Thomson Reuters Transforming Women in the Law Rising Stars Cohort. Additionally, she does pro bono work with the KIPP Foundation, Whole Kids Foundation, ARS Nova and New York Theater Workshop.

Robert Palumbos L'05 was appointed co-chair of the Philadelphia Bar Association Appellate Courts Committee. Palumbos is a partner at Duane Morris and helps companies and entrepreneurs resolve complex legal issues in appellate and commercial litigation. He is vice chair of the Pennsylvania Supreme Court's Appellate Court Procedural Rules Committee; an adjunct professor of appellate advocacy at Penn Law School; chair of Duane Morris' Philadelphia Office Inclusion Committee; board member of Business Leadership Organized for Catholic Schools; and serves on the development committee for Friends School Haverford.

Moira Watson L'05 was elected a shareholder at the Oklahoma City office of Hall Estill. She joined the firm as special counsel in 2016 practicing primarily in banking and commercial finance. As a professor in

practice, she has been a faculty member at the University of Oklahoma College of Law since 2015, teaching courses including secured transactions and corporate finance. Watson is the co-founder and president of the Oxford Society of the Great Plains; a board member for Susan G. Komen Central and Western Oklahoma; and a member of the Downtown Oklahoma City Rotary Club 29.

Lauren Fox L'06 joined Cozen O'Connor's Philadelphia office as an associate in the firm's labor and employment department. She counsels private- and public-sector clients on the full range of labor and employment-related issues. Fox was previously counsel at Buchanan Ingersoll & Rooney, PC.

Dov Gottlieb L'06 joined White & Case LLP's public company advisory group as a partner in the firm's New York office. In his new role, he helps clients navigate U.S. securities laws and a host of regulatory matters. Gottlieb was previously at Simpson Thacher & Bartlett LLP.

Maxim Mayer-Cesiano L'06 was promoted to partner at Skadden, Arps, Slate, Meagher & Flom, LLP in New York. Additionally, he and his wife, Kate, welcomed a son, Theodore (Teddy) Emmett Mayer-Cesiano, on Feb. 14. He weighed 7 pounds, 8 ounces.

Xudong Ni GL'06, co-managing partner and co-chair of East & Concord Partners' corporate practice group in Shanghai, participated with four other Penn Law alumni in the first International Day of Peking University School of Law. He and Gang Chen L'04, Yuhua Yang GL'06, Xia Qu GL'16 and Jungwoo Bae GL'17 represented the Penn Law Alumni Club of China at the networking event, which featured more than 40 representatives from 28 law schools around the world. He was also accepted as a new member of the ICC Commission on Corporate

Responsibility and Anti-Corruption. The commission is a global private sector body that develops rules of conduct, best practices and advocacy for corporate responsibility and the fight against corruption.

Adam Pollock L'06 joined as a partner Ford O'Brien, LLP, a white collar defense and litigation firm based in New York. He was previously an assistant attorney general in the New York Office of the Attorney General, as well as an attorney at Morvillo Abramowitz Grand Iason & Anello, PC.

Joanna Sax GR'03, L'06 was named the E. Donald Shapiro Professorship at California Western School of Law. The endowed faculty chair honors leading health law scholars at the law school, where she directs the Institute of Health Law Studies. In her research, she analyzes and questions policies that create barriers to basic science research, mostly by focusing on NIH-funded research. Sax publishes in legal and scientific journals. Her recent articles include "Biotechnology and Consumer Decision Making" in the *Seton Hall Law Review*, a co-written article titled "Food Labeling and Consumer Associations with Health, Safety and Environment" in the *Journal of Law, Medicine Ethics*, and the co-written article "An End to Event-Based Regulation of GMO Crops" in *Nature Biotechnology*. Sax also has an appointment as a voluntary clinical instructor at UC San Diego, Health Sciences.

Maura Caffrey Smith C'03, L'06 was elected counsel at the Morristown, NJ-based Riker Danzig Scherer Hyland & Perretti, LLP, where she had worked from 2011 to 2014. She joins from Mitsui Sumitomo Marine Management where she had been serving as counsel in the firm's insurance and reinsurance group, with a focus on insurance coverage and reinsurance litigation and arbitration matters.

Melanie Breaux L'07 was appointed to the board of *Articulate*, a WHYY/PBS program airing on WHYY, hosted by Jim Cotter. *Articulate* airs stories where life meets art. Breaux, an attorney at the commercial real estate law firm Larsson & Scheuritzel P.C., also spoke as a panelist at a Penn Law movie screening of *Balancing the Scales*. The film chronicles the challenges women have historically faced in the legal profession and profiles some of the women who have succeeded despite those hurdles.

Justin Danilewitz CGS'03, L'07 joined Saul Ewing Arnstein & Lehr LLP in October as a partner. He was previously a New Jersey federal prosecutor, in which he worked on several suits involving white collar fraudsters, violent drug dealers and victimizers of children. His practice focuses on defending individuals and companies against U.S. Securities and Exchange Commission and other enforcement actions, conducting internal investigations and responding to whistleblower complaints.

Benjamin O'Glasser L'07 was named a shareholder at Bullard Law in Portland, Ore. He serves public and private sector employers in employment, labor, and benefits law matters, and his work includes advice and litigation regarding wrongful termination and discrimination, as well as labor contract interpretation and administration matters.

Matthew Olesh L'07 was elected assistant treasurer to the board of governors of the Philadelphia Bar Association. He served as chair of the organization's Young Lawyers Division in 2017 and had served in various positions of the Division's executive committee since 2012. Olesh is also an elected committee person for the 30th Ward Democratic Executive Committee, a director and co-founder of the Friends of Chester A. Arthur School Education Foundation, the co-chair of the Philadelphia VIP Recruitment

and Retention Committee and the chair of the South Street West Civic Association. He works as senior counsel at Chamberlain Hrdlicka, where he focuses on commercial litigation.

Jonathan Phillips C'02, L'07 was named a partner at Gibson, Dunn & Crutcher LLP's Washington, D.C., office. He focuses his practice on FDA and healthcare compliance, enforcement and litigation, as well as other government enforcement matters and related litigation, with particular experience in False Claims Act investigations and litigation.

Eli Segal L'07 was promoted to partner at Pepper Hamilton in January. He focuses his practice on commercial litigation, First Amendment and media and communications.

Johanna Thomas L'07 joined Jenner & Block as special counsel in the communications, internet and technology practice in Washington, D.C. She was previously a legal advisor to Federal Communications Commission Commissioner Jessica Rosenworcel.

Sarah Walters L'07 joined Brownstein Hyatt Farber Schreck's Natural Resources Department as of counsel. She has extensive experience navigating policy priorities, regulations and legislation specific to Indian affairs. She previously spent the past four years at the Department of Interior as chief of staff and senior counselor to the assistant secretary of Indian Affairs and most recently as an attorney for the Division of Indian Affairs. While there, she provided legal advice to program offices within Indian Affairs and across the department on various topics including tribal self-governance, economic development, social services, law enforcement and tribal justice. Additionally, Walters served as a staff attorney and counselor to the chair at the National Indian Gaming Commission, and as a trial attorney for the U.S. Department of Justice, Commercial

Litigation Branch. She is an enrolled member of the Cheyenne River Sioux Tribe.

Brooke Alexander L'08 was promoted as counsel at Boies Schiller Flexner's Armonk, N.Y., office. She focuses her practice on complex commercial litigation, class action defense and alternative dispute resolution.

Christopher Havener L'08 was selected from a pool of 40 applicants for the inaugural Buchholz Fellowship program. The year-long fellowship was created in 2017 by the Committee of Seventy to build a pipeline of young leaders interested in improving the governance of the Philadelphia region and the state of Pennsylvania. Havener is associate vice president and assistant general counsel for employment law at Aramark. He has volunteered for the Committee of Seventy and has provided pro bono counsel for the Resolute Alliance in Yorktown, Teach for America and Moving Traditions.

Ravi Purushotham L'08 was elected a member of Simpson Thacher & Bartlett LLP. He works in the firm's New York office and specializes in public and private mergers and acquisitions and other corporate transactions. He regularly represents corporations and private equity firms in a wide range of transactions and corporate governance matters.

Andrew Rusczek GR'08, L'08 was named a Rising Star in the 2017 *New England Super Lawyers and Rising Stars* list for his work in healthcare. He is a partner at the Boston office of Verrill Dana LLP.

Tyler Shewey L'08 was elected equity partner at Berliner Cohen's San Jose office. He counsels individuals and business entities on a wide range of tax and business matters. Shewey's tax practice includes both tax transactions and tax controversy before the Internal Revenue Service and U.S. Tax Court,

Franchise Tax Board, California State Board of Equalization, and Employment Development Department.

David Troutman L'08 was promoted to counsel at Latham & Watkins' Orange County, Calif., office. He is a member of the firm's litigation and trial department and focuses on intellectual property matters, including litigation and counseling regarding trademarks, trade dress, trade secrets, copyrights, and false advertising, as well as a broad range of issues commonly encountered by website owners and internet service providers.

Laura Bagarella L'09 was named counsel for Cleary Gottlieb Steen & Hamilton LLP in New York. She focuses on issues regarding executive compensation and employee benefits, compensation disclosure obligations, compensation-related aspects of mergers and acquisitions transactions and matters of private equity compensation and governance. She was previously an associate at the firm, which she joined in 2009.

Russell King L'09, a litigation partner at Kirkland & Ellis, LLP in Chicago, was named by The National LGBT Bar Association as one of the Best LGBT Lawyers Under 40. Legal professionals were chosen for distinguishing themselves in their field and demonstrating a profound commitment to LGBT equality. King serves on Kirkland & Ellis' Firmwide Diversity & Inclusion Committee, the LGBT Diversity Subcommittee, and the Pro Bono Management Committee.

Conor Lamb C'06, L'09, a Democrat and former Marine, won the special election in March for Pennsylvania's 18th Congressional District in a dramatic upset over the Republican candidate. He will represent the district, in southwestern Pennsylvania, for the remainder of 2018 to fill the seat left open following former Rep. Tim Murphy's resignation. If Lamb wins the Democratic primary

in May, he will run in November against Republican Congressman Keith Rothfus. He served as an assistant U.S. Attorney from 2015 to 2017, where he led prosecutions against drug dealers and violent criminals and helped establish the Justice Department's Pittsburgh office as a national leader in the fight against the heroin epidemic.

Jason Levine C'05, CGS'07, L'09 was elected a shareholder with the firm Hangley Aronchick Segal Pudlin & Schiller. He practices in the litigation department and has diverse experience in commercial disputes, internal and government investigations and regulatory enforcement actions. Levine maintains an active pro bono practice and serves on the regional board of the Greater Philadelphia and Southern New Jersey office of the Anti-Defamation League and on the associates board of City Year Philadelphia.

Allison Reimann L'09 joined Godfrey & Kahn, SC as a member of the firm's litigation practice group in the Madison, Wis., office. Her practice focuses on complex civil litigation, with an emphasis in the areas of antitrust, the False Claims Act, healthcare and commercial disputes. She previously practiced as a senior associate at Sidley Austin in Chicago.

Blaine Statham L'09 was promoted to partnership at Haynes and Boone, LLP's Dallas office. As a member of the firm's mergers and acquisitions practice group, he focuses on mergers, acquisitions, private equity investments, joint ventures and other corporate matters in a broad range of industries.

Jasmine Zacharias L'09 was elevated to shareholder at GreenbergTraurig's Miami office, where she is a member of the corporate practice. She focuses on corporate finance, private equity and banking and credit matters. Zacharias represents private equity

sponsors, portfolio companies, public and private borrowers and lenders in a wide range of domestic and cross-border financing transactions.



Jonathan Ellis L'10 began work as an Assistant to the Solicitor General, a role involving briefing and arguing cases before the U.S. Supreme Court. He previously served as a Bristow Fellow in the Office of the Solicitor General and clerked for Judge A. Raymond Randolph on the D.C. Circuit and then for Chief Justice John Roberts.

Kenji Price L'10, a former federal prosecutor and Army Ranger, was appointed Interim U.S. Attorney for Hawaii by Attorney General Jeff Sessions. He was most recently a director at the Honolulu law firm of Alston Hunt Floyd and Ing., focusing on white-collar criminal defense and commercial litigation.

Joshua Link C'06, L'11 joined Dinsmore & Shohl LLP's Wayne, Pa., office as a debt restructuring and commercial litigation associate in the firm's business restructuring practice group. He was previously at Drinker Biddle & Reath. He has experience representing clients from a variety of industries in contract and business tort, securities fraud, drug product liability, professional liability, consumer fraud, and TCPA individual and class actions. Link has also represented clients in white collar defense litigation and counseled them during internal and government investigations.

Pedro Gassant L'12 was named a Top Lawyer 2018 in the South Florida edition of *Attorney at Law Magazine*, in which he was featured in a Q&A article. An associate at Holland & Knight, Gassant is a Miami governmental advocacy and development attorney who focuses his practice on land use, zoning, and procurement matters.

Lauren Connell GED'11, L'14 was named Akin Gump's pro bono counsel. In her previous role as an associate in the firm's corporate practice, she coordinated the Karnes City Immigrant Family Pro Bono Project in Karnes, Texas, which served women and children detained after fleeing violence in Central America. Her work was featured by *The National Law Journal* and *The New York Times*, among other media outlets, and she was honored by Akin Gump as Pro Bono Associate of the Year in 2016 for her role in coordinating the work of the firm lawyers representing detainees.

Rebecca Smock L'14 joined Moyer White, LLP's Denver office as an associate in the firm's business section. Her practice focuses on commercial law including franchise, distribution, and mergers and acquisitions. She was previously an associate at DLA Piper in New York City, where she represented clients on a variety of corporate matters.

Nina Martinez L'15 joined the New York office of employee rights law firm Outten & Golden LLP, where she is a member of its class action practice area. Previously, she was a Skadden Fellow at the New York Legal Assistance Group, where she developed the Employment Mediation Project.

Sarah Morrissey C'12, L'15 joined Eckert Seamans' litigation division as an associate at the firm's White Plains office. She focuses her practice on bankruptcy and insolvency-related law, product liability, and labor and

employment litigation. She also has experience in commercial litigation and breach of contract issues.

Elizabeth Webb Bucilla L'16, an associate at Potter Anderson & Corroon, LLP's corporate group in Wilmington, Del., was sworn into the Delaware bar in December 2016.

Thomas Chisena L'16 joined the Boston office of Fish & Richardson as an associate at the firm's intellectual property litigation group. He was previously a summer associate at the firm and worked on patent, trade secret and trademark litigation.

Katherine Chu L'16 joined the Newark office of law firm Landman Corsi Ballaine & Ford as an associate. Previously, she clerked for Superior Court Judge Katie Gummer in Monmouth County, N.J.

Brandon Floch L'16 joined Kenny Nachwalter, PA's Miami office as an associate. He focuses his practice on complex business litigation and antitrust law. He previously clerked for Judge James Brady of the Middle District of Louisiana.

Casey Kraning-Rush L'16 joined the Wilmington, Del., office of Fish & Richardson as a litigation associate. Kraning-Rush also holds a Ph.D. in biomedical engineering and has extensive experience researching cellular and molecular medicine. She was previously a summer associate with the firm and focused on patent litigation.

Ana-Maria Satmar GL'16 joined Royer Cooper Cohen Braunfeld LLC's new international practice group after clerking at Cadwalader, Wickersham & Taft LLP in New York. Previously, she had practiced law for almost nine years at Schoenherr Attorneys at Law in Bucharest, Romania. At the Philadelphia office, Satmar will use the relationships she has developed in countries including Austria, the United Kingdom, Germany, France and Mexico to help grow the firm's international practice.

Thomas Farrow L'17 joined Foster Pepper's Seattle office as an associate after working there as a summer associate. He works in the firm's real estate practice. Prior to joining Foster Pepper, she was a judicial intern for the Honorable James Robart, U.S. District Court, Western District of Washington.

Andrew Golden L'17 joined Morris, Nichols, Arsht & Tunnell LLP as an associate in the firm's business and restructuring group.

Zachary Martin L'17 joined Lightfoot, Franklin & White LLC as an associate in the firm's Birmingham, Ala., office. His practice areas include white collar criminal defense and corporate investigations, international disputes, product liability and shareholder, partner and LLC member litigation.

Melanie Young L'17 joined Morris, Nichols, Arsht & Tunnell LLP as an associate in the firm's commercial law counseling group. ♥

2018)

IN MEMORIAM

PENN LAW COMMUNITY MOURNS LOSS OF GEOFFREY C. HAZARD, JR.

Goeffrey C. Hazard, Jr., Emeritus Professor of Law at the University of Pennsylvania Law School, died on January 10, 2018, at the age of 88. Hazard served as Trustee Professor of Law at Penn Law for 15 years, from 1994 to 2009, and he was a

leading figure in the fields of civil procedure, judicial administration, and legal ethics. Prior to joining the faculty at Penn Law, he was a law professor at Yale, the University of Chicago, and the University of California, Berkeley. In 2009, he joined the faculty of the University of California Hastings College of the Law, though he continued to regularly teach courses on advanced federal procedure at Penn Law.

“Professor Hazard was a true giant in American law,” said Ted Ruger, Dean of the Law School and Bernard G. Segal Professor of Law. “In addition to making a lasting impact on the fields of civil procedure and legal ethics, he was an eminent member

of the Penn Law community and the broader legal academy and a devoted teacher and mentor. He will be deeply missed.”

“Geoff Hazard was a gifted scholar, teacher, institutional leader, and citizen,” said Stephen B. Burbank, David Berger Professor for the Administration of Justice. “A lawyer of immense and various talents and interests, he was as comfortable excavating the etiology of complex procedural doctrines as he was negotiating the drafting compromises necessary to achieve consensus on court rules or legislation.”

Burbank added: “Geoff was a true public intellectual, a supremely effective pragmatist who preferred a life of active involvement to the leisure of the theory class. Rarely has a single lawyer achieved such distinction in so many roles, and rarely has the administration of justice had such an incisive and knowledgeable champion working for its benefit in so many ways. I was proud to have Geoff as a colleague. He will always be my mentor and friend.”

Hazard coauthored leading treatises and casebooks on civil procedure—*Civil Procedure* (6th ed. forthcoming 2018, with John Leubsdorf & Debra Lyn Bassett); *Pleading and Procedure: Cases and Materials* (11th ed. 2015, with William A. Fletcher, Stephen McG. Bundy, & Andrew D. Bradt)—and professional ethics—*The Law of Lawyering* (4th ed. 2015, with W. William Hodes & Peter R. Jarvis); *The Law and Ethics of Lawyering* (6th ed. 2017, with Susan P. Koniak, Roger C. Cramton, George M. Cohen, & W. Bradley Wendel). His other writings spanned a broad range of topics,

including civil procedure for international commercial disputes; joinder, including class actions and discovery; and legal ethics, particularly comparing the ethics practices of lawyers in modern industrialized nations.

From 1984 to 1999, he served as the Director of the American Law Institute, the leading independent organization in the United States producing scholarly work to clarify, modernize, and improve the law.

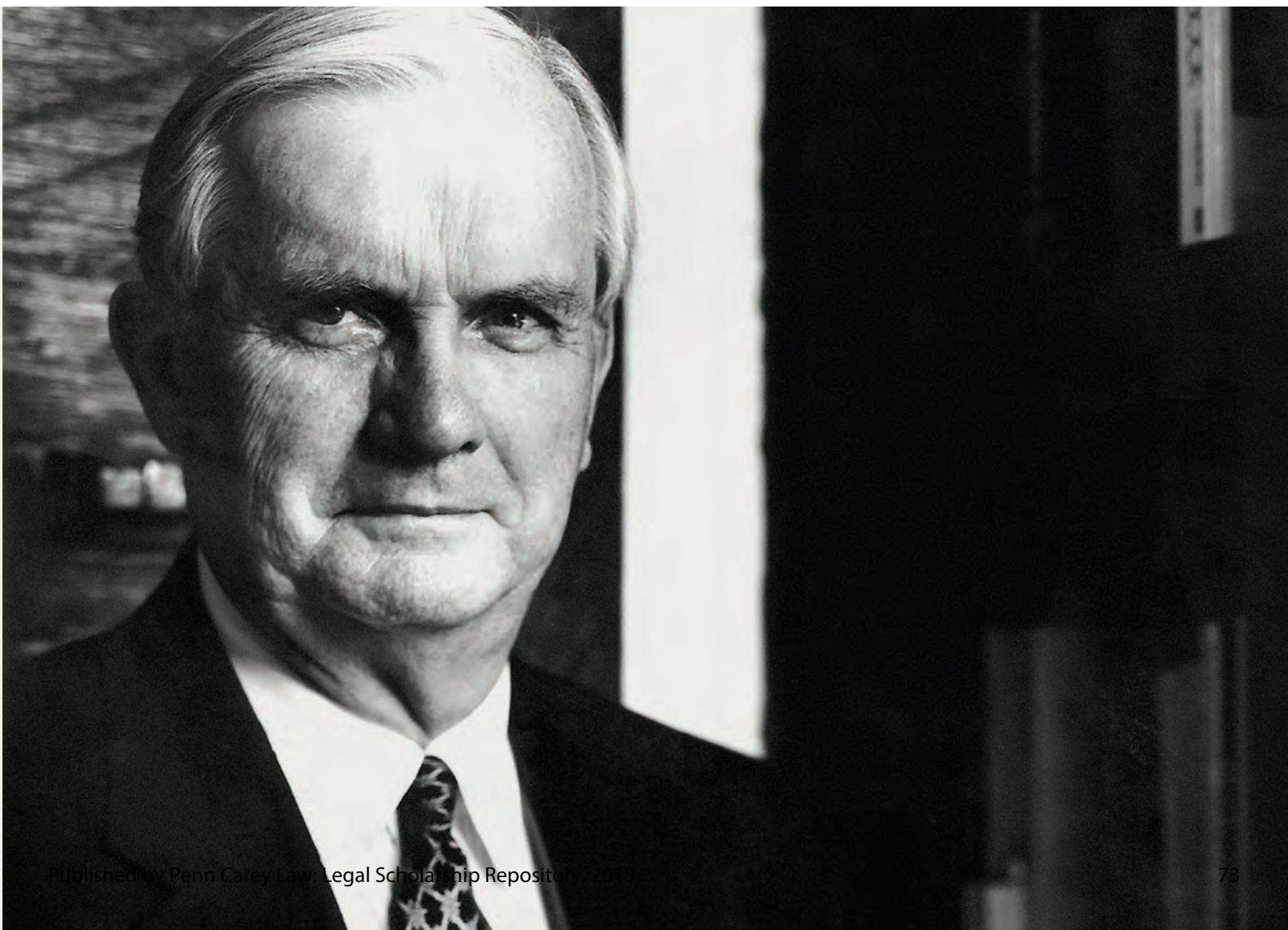
"Geoff Hazard played a foundational role in the past half-century of civil procedure and legal ethics," said Catherine Struve, Professor of Law. "He was a beloved and revered counselor to the federal procedural rulemaking committees and the American Law Institute (having led the ALI for 15 years as its Director). He was a brilliant, kind, and generous teacher, not only of law students but of his junior colleagues, including me."

Raised in Kirkwood, Missouri, Hazard graduated Phi Beta Kappa from Swarthmore College in 1953, and, in 1954, he received his LLB from Columbia University, where he served on the *Columbia Law Review*.

His numerous awards included the ABA Michael Franck Award in Professional Responsibility; the American Bar Foundation Research Award and William Keck Foundation Award; the Columbia University School of Law Association Medal for Excellence; the American Judicature Society's Outstanding Contributions to Promoting Effective Administration of Justice; the ceremony of Salute, Superior Court of Pennsylvania; the International Insolvency Institute Gold Award; and the ABA Robert J. Kutak Award; as well as seven honorary degrees.

"Few lawyers in the last half century have had a more profound impact on the state of the law than Geoff Hazard," wrote Judge Anthony Scirica in a 2010 tribute in the *University of Pennsylvania Law Review*. "And few have had the ability to bridge and connect the worlds of the academy, law practice, and the administration of justice. The commonwealth has always been Geoff's polestar. He is one of the law's wise men."

PHOTO: COURTESY OF THE AMERICAN LAW INSTITUTE





Joseph Shanis L'43, a retired corporate attorney, department store executive and Philadelphia philanthropist, died March 17. He was 97.

Mr. Shanis was born in Philadelphia to Russian immigrants, from whom he learned the ins and out of business as he observed them expand a corner grocery in Chester, Pa., to a wholesale grocery business.

He graduated from Chester High School and Temple University, and after graduating from Penn Law, worked for ten years at Fox Rothschild O'Brien & Frank. In 1947, he married Louise Stern, and the couple had three children. A few years later, his father-in-law, who owned Stern's Department Store, asked him to join the business, and Mr. Shanis eventually became chairman of the board. He and his brother-in-law ran the chain of 25 stores for the next 40 years until the last stores closed or became Macy's in 2001.

Mr. and Mrs. Shanis supported Friends of Independence National Historical Park, the Philadelphia Museum of Art and the Portrait Gallery in the Second Bank of the United States. In 1982, Mrs. Shanis died after the couple had been married 35 years. Five years later, Mr. Shanis married Carole Price, and they moved from Society Hill to Center City to be closer to the Philadelphia Art Alliance headquarters.

The couple supported the Philadelphia Orchestra, the Curtis Institute of Music, the Marian Anderson Award, Friends of Rittenhouse Square, the Kimmel Center for the Performing Arts and the Metropolitan AIDS Neighborhood Nutrition Alliance, which awarded them its first Outstanding Community Leadership Award in 2002.

Mr. and Mrs. Shanis also entertained high-profile visitors to Philadelphia, including Gregory Peck, Quincy Jones, Oprah Winfrey and Luciano Pavarotti.

He was remembered by his family for his affinity to help others and for his humility and love of family.

Mr. Shanis is survived by his wife Carole; children Harry "Hal," Nona, Jennifer and Jonathan; eight grandchildren; and two great-grandchildren.

Richard Brown, Jr. L'48, a Philadelphia lawyer and decorated World War II veteran, died May 29. He was 96.

Mr. Brown grew up in the East Falls neighborhood of Philadelphia and graduated from William Penn Charter School and Princeton University.

During World War II, he served in the Navy as a junior gunnery officer on the battleship *Alabama* in the North Atlantic and South Pacific. Later, he served on the staff of the Commander, Amphibious Force Pacific Fleet. He fought in the invasions of the Marianas, Iwo Jima and Okinawa. At Iwo Jima, he witnessed the iconic moment when soldiers raised the American flag atop Mount Suribachi. Mr. Brown was awarded the Bronze Star and six Campaign Stars.

After graduating from Penn Law, Mr. Brown began a 40-year career as a partner at Morgan Lewis in Philadelphia. He held many leadership positions at the firm, including in 1962 on the planning committee that oversaw the modern transformation of the firm, and as the head of the litigation practice from 1967 to 1978. He also helped develop the firm's acclaimed product liability practice.

In 1974, Mr. Brown led the first American tour of China after relations improved between the two countries.

After retiring from Morgan Lewis in 1988, he volunteered as a judge pro tem and conducted settlement conferences in cases pending in the Philadelphia Court of Common Pleas, a role he held until he was 92.

Mr. Brown was civically active. He chaired the International Law Section

of the American Bar Association and the Probate and Trust Law Section of the Philadelphia Bar Association. He also served on and chaired several nonprofit boards, including at the University of Pennsylvania, the William Penn Charter School, WHY, Inc., and Fidelity Bank. Mr. Brown was a member of several organizations, including the Council on Foreign Relations, the International Peace Institute, the American Foundation for the University of the West Indies, the Foreign Policy Research Institute, the Eisenhower Fellows, International House Center and the World Affairs Council of Philadelphia.

Additionally, for more than 20 years, he volunteered as a driver for cancer patients to and from the hospital, which he did until he was 94.

Mr. Brown is preceded in death by his wife of 36 years, Virginia Curtin. He is survived by his companion, Vivian Piasecki, two nieces and a nephew.



The Honorable Horace Davenport G'47, L'50, the first African-American judge on the Common Pleas Court in Montgomery County, died March 21. He was 98.

Judge Davenport was born in Newberry, S.C., and amidst the Great Migration, he and his family eventually settled in Norristown, Pa. For a couple of years, he returned to the family farm in Newberry to live with his grandparents. His grandfather, born a slave, instilled in him values of hard work and education.

In 1938, he graduated from Norristown High School and attended Johnson C. Smith University on a football scholarship. Weeks before graduating, he was drafted into the U.S. Army.

During World War II, he served as an engineer and led the building and maintenance of airfields on New Caledonia, a major Allied base in the

Richard Brown, Jr. L'48

During World War II, he witnessed the iconic moment when soldiers raised the American flag atop Mount Suribachi at Iwo Jima

Pacific. He also served at Guadalcanal, Iwo Jima and Soj Pan. He was honorably discharged as a first lieutenant.

In 1944, he met and married Alice Latney, and the two would be married for 74 years and have four children. Through the G.I. Bill, he completed his bachelor's degree at Howard University. He earned a master of science from the Wharton School in 1947 and a bachelor of laws three years later from Penn Law.

Judge Davenport entered private practice in 1951 and handled cases in criminal and civil law. He developed a specialty in school law and was a solicitor for the Norristown Area School District, the Norristown Areas School Authority and the Central Montgomery Vocational-Technical School. In 1971, he was a founding partner of Gerber Davenport and Wilenzik, where he continued to focus on school law.

In 1975, he ran for and won a judgeship on the Court of Common Pleas, 38th Judicial District of the Commonwealth of Pennsylvania. He became a senior judge in 1989 and worked to settle cases outside the courtroom, which reduced a backlog of 4,000 cases to 400. For those efforts, the Conference of Trial Judges gave

him the Golden Crowbar Award in 1996. When the State Supreme Court changed the mandatory retirement age in 2003 to 80, Judge Davenport unenthusiastically resigned at the age of 84.

He received many awards for his academic, professional and civic achievements, and he served as president of the La Mott Historical Society, was a trustee of Johnson C. Smith University, a trustee of the Florida Sunburst Scholarship Foundation and a director of the Central Montgomery County American Red Cross. He was active in several other organizations.

Judge Davenport is preceded in death by his siblings, Edward, Carolyn, Winifred, Mildred, Julius and Katherine. He is survived by his wife Alice; children Beverly, Horace Jr. and Nina; grandchildren Melanee, Cameron and Tucker; and two great-granddaughters.

Harold Cramer L'51, PAR'83, a Korean War veteran who spent his life as a local leader and litigator in Philadelphia, died Sept. 1. He was 90.

A native Philadelphian, Mr. Cramer graduated from Central High School, Temple University, and in

1951, from Penn Law, where he was editor of the *Law Review* and a member of the Order of Coif.

That same year, he was drafted into the U.S. Army to serve in the Korean War. As a commissioned officer, he attended the Judge Advocate General's School before becoming the chief prosecutor for the Eighth Army in Korea. He received the Bronze Star and several other service medals.

After the war, he clerked for President Judge O. Lewis of the Philadelphia Court of Common Pleas and then worked as associate director of the Institute of Legal Research at Penn Law. In 1955, he joined Shapiro Rosenfeld Stalberg & Cook and became a partner; in 1967, he joined what eventually became Mesirov, Gelman, Jaffe, Cramer & Jamieson, LLP, where he was a managing partner. He specialized in corporate and commercial law.

Around this time, he also volunteered as chairman of the board of Graduate Hospital, and in 1989, became the chairman of the board and chief executive officer of Graduate Health System, Inc., which under his leadership, acquired hospitals in Pennsylvania and New Jersey and eventually became a part of Penn Medicine.

Mr. Cramer returned to Mesirov in 1996 as of counsel and remained in that role when the firm merged with Schnader Harrison Segal & Lewis in 2000. He retired as a partner at Schnader and also served as Judge Pro Tem for the Court of Common Pleas of Philadelphia. From 1994 to 2017, he was a director of Penn National Gaming, Inc.

Mr. Cramer was an active volunteer and held leadership positions on the boards of several legal, medical and Jewish related organizations, including the Philadelphia Bar Foundation, the College of Physicians of Philadelphia and the Jewish Federation of Greater Philadelphia. He was also a co-founder of Penn Law's Owen J. Roberts Lecture Series and served as president of the Law Alumni Society Board of Managers. He and his wife, Geraldine, contributed

millions of dollars for the renovation of Silverman Hall in 2012, and the lecture hall and seminar room are named after them.

He was recognized with many awards for his service to the community, including the Philadelphia Bar Medal, for extraordinary service to the Philadelphia Bar Association; the Liberty Bowl, presented by the mayor of Philadelphia; and the Jewish Humanitarian Award from the American Jewish Congress.

Mr. Cramer is survived by his wife, Geri, and his daughter, Patricia Cramer C'83.

Joseph McDade L'56, an 18-term Republican congressman from Pennsylvania who championed his district's coal economy, died Sept. 24. He was 85.

Mr. McDade was born in Scranton, Pa., and graduated from the University of Notre Dame. In 1962, nine years after his graduation from Penn Law, he was elected to the U.S. House of Representatives. When he retired from Congress at the end of 1998, he had been the longest-serving Republican in the House, although that record was broken in 2010 by Rep. John Murtha.

Mr. McDade was considered a pro-labor Republican who was conservative on social issues and a strong advocate for military spending. In his tenure, he worked to prevent the closing of the Tobyhanna Army Depot southeast of Scranton, and in doing so saved thousands of jobs. He also secured \$70 million in federal funding for a museum at an old rail yard in Scranton called the Steamtown National Historic Site. Mr. McDade also convinced the Defense Department to buy about 10 percent of the nation's annual output of anthracite coal, mined in Pennsylvania, for use by the Pentagon.

He is survived by his wife, Sarah; children Joseph Jr., Mark, Aileen, Deborah and Jared; three sisters; and six grandchildren.

The Honorable Alfred Fraczkowski L'52, a former longtime chief municipal judge in Wilmington, Del., died Dec. 21, 2016. He was 90.

Judge Fraczkowski was born in Wilmington and in 1944 graduated from Salesianum School. He earned his undergraduate degree from the University of Delaware before attending Penn Law. He also served in the U.S. Army.

From 1969 until his retirement in 1998, Judge Fraczkowski was the chief judge of the Wilmington Municipal Court. Until recently, he served as a judge on the Delaware Court of Common Pleas.

In his community, he served as counsel to the Diocese of Wilmington and on the boards of St. Francis Hospital and Catholic Cemeteries.

He is survived by his wife of 66 years, Elizabeth "Betsy"; children Justine, Michele, Kurt and David; sister Justina; grandchildren Ryan, Emily,

Laurel, Adrienne and Gregory; and two great-grandchildren.

Daniel Metzman W'48, L'52, of Rockville, Md., died Dec. 25, 2016. He is survived by his wife, Beatrice; children Jeffrey, Mark, Eric and Mitchell; and grandchildren Michael, Trevor, Derek, Jonathan, Elana, Jordan and Callie.

Mitchell Brock L'53, who built a 40-year law career at Sullivan & Cromwell in New York City, died July 22. He was 89.

Mr. Brock was born in Wyncote, Pa., and attended Episcopal Academy in Philadelphia and St. Paul's School in Concord, N.H. After a year in the Navy, he graduated from Princeton University and Penn Law.

He became a partner at Sullivan & Cromwell in 1960, and from 1965 to 1968, worked in the firm's Paris office. From 1987 to 1990, Mr. Brock was the partner-in-charge of the Tokyo office.

CONTINUED ON PAGE 76

Joseph McDade L'56

An 18-term Republican congressman from Pennsylvania who championed his district's coal economy...when he retired from Congress at the end of 1998, he had been the longest-serving Republican in the House



PHOTO: COURTESY OF THE FAMILY

THE HONORABLE THOMAS N. O'NEILL, JR., A FAIR-MINDED JUDGE WITH A BIG HEART, DIES AT 89

The Honorable Thomas N. O'Neill, Jr., L'53, who died in January at the age of 89 after more than 30 years on the Eastern District of Pennsylvania court, was praised by law clerks and colleagues as a pragmatic judge who treated every litigant and lawyer equally.

"Every case to Tom O'Neill was a big case," said Judge John Padova, who served with Judge O'Neill for 25 years and who called him a mentor.

"He was a superb jurist who will be missed on a daily basis not only by his colleagues but by the thousands of lawyers who appeared before him," Judge Padova said.

Meredith DuBarry Huston L'03, who clerked for Judge O'Neill after graduation from Penn Law and from 2010 until his retirement in September, said the judge worked hard for fair outcomes, understanding that there were two sides to every story.

"In civil litigation you have a good number of fights that are big guy versus little guy," Huston said. "He always wanted to make sure that the little guy had a chance to be heard."

Judge O'Neill came to the bench after years of academic and professional achievement. He received his A.B. magna cum laude from the Catholic University of America in 1950 and in 1953 earned his L.L.B. *magna cum laude* from Penn Law School, where he served as articles editor of the *University of Pennsylvania Law Review* and was a member of Phi Beta Kappa and the Order of the Coif.

He went on to serve as law clerk to Judge Herbert F. Goodrich of the U.S. Court of Appeals for the Third Circuit and to Justice Harold H. Burton of the U.S. Supreme Court. After completing his studies as a Fulbright Scholar at the London School of Economics, Judge O'Neill joined Montgomery, McCracken, Walker and Rhoads

in 1956, going on to serve as chairman of the litigation section and a member of the firm's management committee, and during that time, chancellor of the Philadelphia Bar Association.

President Reagan appointed Judge O'Neill to the United States District Court for the Eastern District of Pennsylvania on August 5, 1983. He became senior judge in July 1996.

During 34 years on the bench, Judge O'Neill mentored countless clerks, among them Alex Potter L'14, who served as clerk from 2014 to 2015. Potter said the judge taught him to approach every issue and case with an open mind and no preconceived notions. "He was a very pragmatic judge and non-ideological," Potter said.

In addition to his legal pragmatism, Judge O'Neill was known for his kindness, humility and accessibility. Sarah Ricks, distinguished clinical professor at Rutgers School of Law-Camden, remembers how he graciously hosted her 1L students for an hour in his courtroom every year for 17 years, taking questions and allaying their fears.

"He was so kind to them and so welcoming," reassuring the students that they would succeed in the profession despite the natural anxiety they felt in their first year of law school, said Ricks, a visiting professor at Penn Law School during the 2012-13 school year.

"He respected every individual," Ricks added.

Judge O'Neill remained loyal to Penn Law School. He served as president of the Penn Law Alumni Society and was founding chairman of the University of Pennsylvania Law School American Inn of Court. He also co-founded the Owen J. Roberts Memorial Lecture in Constitutional Law along with The Hon. Norma L. Shapiro L'51, The Hon. Dolores Sloviter L'56, and Harold Cramer L'51 as a tribute to their former law professor. In addition, clerks and friends established The Honorable Thomas N. O'Neill, Jr. Prize Fund which provides support to the Toll Public Interest Center and initiatives aimed at helping disadvantaged individuals.

John Summers L'84, who clerked for Judge O'Neill from 1984 to 1986, helped establish the fund several years later. In his eulogy, Summers shared a story to illustrate Judge O'Neill's modesty. Summers prepared a draft ruling. The judge wanted to know if the parties had worked hard to resolve the dispute themselves. Summers asked the judge why he didn't just make a decision, to which Judge O'Neill responded: "John, don't ever forget how little we know... Don't ever forget how imperfect this process is."

Judge O'Neill maintained a strong connection to Summers and the more than 40 clerks who worked for him and to Penn Law School. He attended a monthly luncheon with his classmates for much of the last 60 years. The Hon. Edward Bradley W'50, L'53, one of Judge O'Neill's best friends, has been a regular participant.

Every month, he said, an alumnus would act as host, choosing the restaurant and picking up the tab. Judge O'Neill was going to host the January luncheon.

Judge O'Neill is survived by his wife of almost 57 years, Jeanne Marie Corr, daughter Caroline J. O'Neill (Brad Gustafson), son Thomas N. O'Neill III L'90 (Veronica Chan), daughter Ellen O'Neill Deitrich GED' 89 (J. Norman) and six grandchildren, Jake, Sean and Alex Deitrich, Anna Gustafson and Hélène and Amélie O'Neill. He is survived by his sister Nancy Carignan and many nieces and nephews and their families.

He served on the boards of the American Foundation for the Blind, Helen Keller International and the Frost Valley YMCA. He was also a member of the Anglers, Ivy, and Princeton clubs.

His family remembered him for his optimism, manners and keen mind. Mr. Brock was preceded in death by a daughter and by his wife, Gioia; he is survived by a sister, three children and seven grandchildren.

Mahlon Frankhauser L'57, a longtime securities and regulatory attorney, died July 10. He was 85.

Mr. Frankhauser, of Shillington, Pa., graduated from Albright College. After graduating from Penn Law in 1957, he worked in the Office of General Counsel at the Securities and Exchange Commission. He was named chief of the Commission's Office of Criminal Reference in the Division of Trading and Markets, and was later appointed regional administrator of its New York regional office.

Mr. Frankhauser then joined the New York Stock Exchange as vice president and deputy director of its department of member firms. In his next career move, he served as senior vice president, secretary and general counsel of CBWL-Hayden Stone. He rounded out his law career as a securities and derivatives litigator and regulatory counsel at Kirkland Ellis & Rowe; Barrett Smith Shapiro Simon & Armstrong; and Kirkpatrick & Lockhart.

Mr. Frankhauser wrote a book on commodities regulation in addition to co-authoring one on securities enforcement. He was also an adjunct professor at St. John University School of Law, Catholic University Law School and Georgetown University Law Center.

Aside from practicing law, Mr. Frankhauser loved dancing, skiing, sailing, running, tennis, hiking, gardening and spending time with friends and family. His family remembered him for his ethics and kindness, and they considered it a miracle 19 years

ago when he survived a traumatic brain injury.

Mr. Frankhauser is survived by his wife, Joan; sister Deanne; children Scott, Greg and Victoria; and eight grandchildren.

George Graboys L'57, who ran Rhode Island's largest bank and earned a reputation for serving the state's most vulnerable citizens, died Dec. 16. He was 85.

Mr. Graboys, was born in Fall River, Mass. After graduating from Dartmouth College and Penn Law, he followed his father into banking. He started in 1969 as vice president of Citizens Trust Co., which until a few decades ago was the only bank with offices in South Providence. He became president in 1975 and corporate chairman in 1984, the year in which he transformed Citizens from a mutual savings bank to a stockholder-owned company. Two years later, he sold Citizens to Royal Bank of Scotland Group for \$440 million. When he retired as chairman and chief executive officer in 1992, he left the bank as a \$4 billion financial institution.

As a banker, Mr. Graboys also fought "redlining," or the denial of mortgages for properties in less affluent areas. He also felt strongly about helping others and served on multiple boards. He was chairman of the Rhode Island Foundation; a founding member, chairman and director of the Children's Crusade for Higher Education; a former chairman of the Rhode Island Urban Project, the state Board of Governors for Higher Education and the United Way of Southeastern New England. He was a director of The Miriam Hospital, the International Institute of Rhode Island and the National Conference of Christians and Jews, and national director of the Chamber of Commerce. In 1990, the Anti-Defamation League of New England honored him for his community service with the Torch of Liberty Award.

After his retirement, he became an adjunct professor and

executive-in-residence at the University of Rhode Island's School of Business. Mr. Graboys, who loved to run, also began Citizens' sponsorship of the Ocean State Marathon, which he ran four times. He continued running until he was 80.

Mr. Graboys is survived by his wife of 60 years, Lois; children Ken, Jim and Angela; and five grandchildren.

John Suria L'57, a Korean War veteran and longtime Philadelphia estates attorney, died Sept. 6.

He served in the U.S. Army during the Korean War and was stationed in Japan. Upon returning to the United States, he enrolled in Penn Law, where he received a faculty scholarship and met his future wife, Ellen Suria L'57. The two married on Valentine's Day in 1958.

Mr. Suria spent his career as an esteemed estates attorney at Saul Ewing, first as an associate and then as a partner. He was known as one of the state's leading probate lawyers.

He became the first Democrat elected to Lower Merion Township's board of commissioners. After moving to Bryn Mawr, he joined the Merion Cricket Club, where he enjoyed tennis and social events.

Mr. Suria was remembered for his sincerity, generosity, wit and kindness. He is survived by his wife, Ellen; son John; and seven nieces and nephews.

David Cohan L'58, a longtime Baltimore attorney who established a national cancer awareness foundation, died June 19. He was 83.

Born in Baltimore, Mr. Cohan attended the Johns Hopkins University, and following his graduation from Penn Law, clerked for Chief Judge Hall Hammond of the Maryland Court of Appeals. He then joined the law firm of Weinberg and Green as an associate and quickly made partner.

During the 1960s and on occasion through the early 1980s, Mr. Cohan was a concert promoter for the Baltimore Civic Center. His family credited him with booking acts

David Cohan L'58

He gave [Susie's Cause], which raises awareness about the importance of colon cancer prevention and screening, national presence

including Stevie Wonder, Diana Ross and the Supremes, The Who, The Temptations and Jackie "Moms" Mabley, among others. He also became known as an entertainment lawyer and represented local music and sports figures.

In 1970, Mr. Cohan started his own firm, Cohan & West, PC, where he worked until his death. His practice focused on civil litigation, business transactions and business and estate planning.

In 2004, one of his daughters, Susan, died from colon cancer, motivating Mr. Cohan was to establish the Susan Cohan Colon Cancer Foundation, also known as Susie's Cause. He gave the organization, which raises awareness about the importance of colon cancer prevention and screening, national presence. He also held an annual Baltimore-area cancer awareness festival in partnership with a local hospital.

In 2016, the American Society of Colon and Rectal Surgeons recognized Mr. Cohan with the David Jagelman Award for Advocacy in Colorectal Cancer.

Mr. Cohan is survived by children Allan, Kim and Jody, and six grandchildren.

Martin Evelev L'58, who spent his career in-house at Ford Motor Company, died May 12, 2017. He was 86.

Mr. Evelev, born in Reading, Pa., was employed for most of his career in the Office of General Counsel at Ford Motor Company, where he focused on international trade agreements that took him all over the world.

He and his wife, Leila, also loved traveling. He was remembered as a loving husband and kind, supportive father. He is survived by Leila; sons David and John; and grandchildren Natalie, Ben, Margaret, Louise and Sarah.

Jacques (Jack) Geisenberger, Jr. L'58, a third-generation Lancaster County attorney, died Nov. 10. He was 86.

Mr. Geisenberger attended J.P. McCaskey High School and earned his bachelor's degree in history from Franklin & Marshall College. He was an ROTC student who joined the U.S. Air Force after graduation, serving two

years in Japan shortly after the Korean War. Later, in the early 1960s, he joined the Reserves as a Judge Advocate and served until 1968, having attained the rank of Captain.

Upon graduation from Penn Law, he joined his father's Lancaster County law firm, which originated in 1901 with his grandfather. He eventually worked at other firms, and then started his own practice, specializing in bankruptcy law. Mr. Geisenberger was remembered as a "bulldog" for his clients and the law, and he wasn't afraid to take on unpopular cases. He retired in Aug. 2017 at age 86 after 58 years of active, full-time practice.

In 1985, Mr. Geisenberger was elected president of the Lancaster Bar Association. He was also a mediator and arbitrator and taught several CLE classes.

Mr. Geisenberger was an avid Philadelphia sports fan and long-time Philadelphia Eagles season-ticket holder who attended the 1960 championship game. He also loved golfing and spending time with his grandchildren.

Mr. Geisenberger is survived by his first wife, Rosalind, and his companion of 13 years, Dinah; children Steven, Robert, Lynn and Richard; eight grandchildren; Dinah's children Dori and Dana; and his sister, Ann.

Donald Beckman C'53, L'59, a long-time Philadelphia lawyer whose family is steeped in Penn Law tradition, died May 30. He was 85.

Mr. Beckman, who was born and raised in the Fishtown neighborhood, was the son of Eastern European immigrants. His father, Meyer Robert Beckman C'28, L'32, was the first in the family to graduate from law school, while his mother, Ada Horowitz Beckman, was among the first 10 women in Pennsylvania to graduate from Temple University School of Law.

His brother, Robert Beckman C'51, L'56, was also a Penn Law alum, as is one of his sons, Bradley Beckman L'88.

One of Mr. Beckman's grandchildren, Rachel, is set to graduate from Penn Law in spring 2018.

After earning his undergraduate degree from Penn, Mr. Beckman served in the Korean War in Navy Supply Corps. He was given the National Defense Ribbon and the Navy Occupation Service Medal for Europe, and was honorably discharged with the rank of lieutenant, junior grade.

Mr. Beckman joined Dechert Price & Rhoads in Philadelphia after attending Penn Law and practiced international corporate law there for three decades. He was an associate at the firm from 1959 to 1965, and a partner from 1965 to 1984. In the late 1970s, he assisted with the international expansion of the firm and moved with his wife, Aileen Kohn Beckman CW'65, GED'70, to London, returning stateside in 1983.

Mr. Beckman soon joined Csaplar & Bok. He left in 1988 to start his own firm, Beckman and Associates, with his son Bradley. The firm, still in Center City today, is now Beckman & Marion.

He also served as special counsel to Saul Ewing Remick & Saul and was on the board of directors for Teleflex Inc. He was active with the Allied Jewish Appeal and did pro bono legal work in the community; in addition, he served as a member of the Committee of Seventy and as vice chairman of the board of directors for the local United Way. He headed the Bala Cynwyd Library, and in the 1960s and '70s, was active with the Main Line Reform Temple and the Boy Scouts.

His family remembered him for his intellect, generosity, kindness and love of family.

Mr. Beckman is preceded in death by his brother, Robert, and a son, Richard. He is survived by his wife of 65 years, Aileen; sons Bradley and Howard; four grandchildren; and two great-grandchildren.

The Honorable Michael King L'59, who served more than three decades

The Honorable Michael King L'59

He wrote more than 400 published opinions, most of which still stand, and from 1977 to 2002, had a 93 percent affirmance rate with just 27 case reversals

as a New Jersey appellate judge, died Nov. 25. He was 82.

Judge King was born in Camden, N.J., and attended Haddonfield Memorial High School. He graduated from Fordham University in 1956 and from Penn Law in 1959. He earned a master's degree in judicial studies in the 1980s from the University of Virginia.

After graduating from Penn Law, Judge King practiced civil law in Camden, becoming an expert in insurance and evidence law. He was a partner at Kisselman, Deighan, Montano, King & Summers. In 1972, Gov. William Cahill appointed him to the New Jersey Superior Court. Judge King was later elevated to the appellate division.

In his judicial tenure, he served as chair of the Municipal Court Committee, and from 1982 to 1986, as chair of the Criminal Practice Committee. He wrote more than 400 published opinions, most of which still stand, and from 1977 to 2002, had a 93 percent affirmance rate with just 27 case reversals. He retired in 2005.

He was involved in two particularly high-profile cases in which he was tapped by the N.J. Supreme Court

as special master. The first, *Abbott v. Burke*, was a landmark education case which challenged the way school districts in the state were funded. His 1998 report recommended sweeping reforms, including full-day pre-kindergarten for 3- and 4-year-olds, full-day kindergarten for 5-year-olds, summer school for children who needed it, and school-based health and social services for students in need. Most of his findings remain in place today.

In the other case, *State v. Chun*, Judge King was asked to research the accuracy of blood-alcohol readings, which was the first time that breathalyzers were tested for reliability. In 2008, the Supreme Court ruled that, with certain precautions in place, the equipment was accurate.

Judge King was known for the mentorship of his many clerks, and for advocating for women to join the legal field. He also coached Little League and from the mid-1960s to his appointment on the bench, served as counsel to the Haddonfield Planning Board.

Judge King is survived by his wife of 57 years, Jane Gifford King; children Stacey, Gifford, Matthew and Jenny; and six grandchildren.

STEPHEN GOODMAN, A ONE-MAN BUSINESS INCUBATOR, DIES AT 77

Stephen Goodman W'62, L'65, a Philadelphia lawyer who largely shaped the city's entrepreneurial landscape and startup economy, died March 2. He was 77.

Mr. Goodman, recently dubbed in an in-depth profile as the "fairy godfather of Philadelphia startups," had, from the outset of his career, a strong passion for helping everyday people who needed help launching their new business ideas. While this line of work was not initially lucrative in the 1970s, it eventually made Mr. Goodman synonymous with Philadelphia innovation and connections, invented an important field of law in the city and made Philadelphia the hub it is today for new business growth.

"Steve was a huge champion of tech entrepreneurs and saw this vision of what Philadelphia could become, and he was very supportive of that and connecting people," said Jim McKenzie L'86, WG'86, who worked for several years with Mr. Goodman at Morgan, Lewis & Bockius. "He used to call himself the obstetrician: He was the person who would be there to help through the birth of a company, and once it got going, let other lawyers take it and then he moved on to the next one."

Mr. Goodman was born in Philadelphia. A graduate from Overbrook High School, the Wharton School and Penn Law, he clerked for Chief Judge David Bazelon of the U.S. Court of Appeals for the District of Columbia Circuit, and later for U.S. Supreme Court Justice William Brennan Jr.

Back in Philadelphia, he started his own firm, Goodman & Ewing, in 1969 and concentrated on counseling emerging companies. Sometimes, to help make ends meet, he took on real estate developers as clients.

But Mr. Goodman remained undeterred in his calling to help entrepreneurs. He joined Wolf Block in 1983, continuing the same line of work as his client base expanded, and then came to Morgan Lewis in 1994, where he remained until retiring in September.

"Working with Steve, particularly in the height of his practice, it was like stepping into this whirlwind of activity," McKenzie said. "His great office was filled with client mementoes and family mementoes and pictures of his dog and CDs of his music and it was kind of a magical place."

Mr. Goodman was rarely seen without his teacup Maltese, Snippet. And he was widely admired for his jazz piano-playing abilities; he referred to himself as a musician who practiced law as opposed to a lawyer who played the piano.

Jami Wintz McKeon, the chair of Morgan Lewis, first encountered Mr. Goodman back in the 1980s when she'd wait in line for lunch at Taylor's Country Store and he'd be at the piano bench. He also frequented the 23rd Street Cafe, the Rittenhouse Hotel bar and the Frog Commissary. Though he never learned how to read a note of music, he and his combo released several jazz CDs covering the songs from musicals like *Jekyll & Hyde*, *Phantom of the Opera* and *Chicago*.

What really struck McKeon after his death, she said, was how people from across the firm, country and world contacted her about how much they'd miss Mr. Goodman and had appreciated his guidance. "He was really selfless," she said. "He never focused on credit or what was in it for him."

At Morgan Lewis, he mentored a generation of lawyers, including McKenzie, in becoming experts in entrepreneurial law. Mr. Goodman also helped create Philadelphia's Angel Venture Fair, which is now the oldest and largest event for angel investors and entrepreneurs in the Mid-Atlantic. In addition to his roles at law firms, he once served as a member of the advisory board to then-Senate Judiciary Committee chairman Sen. Joseph Biden Jr., and also as an adjunct professor at the law schools of Georgetown University and Rutgers University and Penn Law.

Mr. Goodman received several accolades, including the 2015 Lifetime Achievement Award from the *Legal Intelligence*; the Legend Award for Lifetime Achievement in 2011 from the Greater Philadelphia Alliance for Capital and Technologies; and as one of the three most influential people in the city in the last 30 years by the *Philadelphia Business Journal*.

He is survived by his wife of 38 years, Janis; children Rachel and Carl; two grandchildren and his dog, Snippet.

PHOTO: AIDAN UN





Joel Fishbein W'59, L'62, who spent his nearly five-decade legal career at the same Philadelphia law firm, died March 2. He was 80.

Mr. Fishbein was born in Philadelphia and graduated from Central High School. Upon graduating from the Wharton School and Penn Law, he joined Bennett Bricklin & Saltzburg, where he remained for almost 50 years.

In his later years, he and his wife enjoyed traveling the world and spending winters in Scottsdale, Ariz. He also enjoyed reading, the daily *New York Times* crossword, golf and bridge.

He is survived by his wife, Donnadell; children Joel and Jacqueline; and grandchildren John, Timothy, Kelsey, Mack, Madeline and Xavier.

The Honorable Mary Talbott L'63, the first woman appointed to the Camden County Superior Court, died Sept. 16. She was 95.

Judge Talbott, born in Aurora, Ill., graduated from high school at 16, after which she earned her bachelor's degree in economics from the University of Illinois.

In 1943, she was commissioned as a lieutenant in the U.S. Navy Reserve Supply Corps, where she was a purchasing officer at the Philadelphia Naval Shipyard until 1948. During her post, she met Navy Lt. James Talbott. They married in 1948 and moved to Haddonfield, N.J., where they raised four children.

Judge Talbott stayed home in the 1950s, but after the death of her husband in 1959, she enrolled in Penn Law at the age of 38. After entering the bar in 1963, she practiced law as well as owned and managed a coffeehouse called The Trend in Cherry Hill. In 1970, she hosted the only local radio talk show on WCAM-AM (1590) in Camden, where she interviewed local personalities during lunch.

In 1973, New Jersey Gov. William Cahill named her to the Superior Court, making her the seventh woman in the state to be appointed to the bench.

During her tenure, Judge Talbott was one of the first in the country to write an opinion on the admissibility of thermographic diagnostic testing for people seeking reimbursement under New Jersey's No-Fault Insurance Act. Coverage of the testing, which measures skin temperature to detect underlying issues, was challenged by big insurers like Allstate and State Farm, but Judge Talbott's ruling that they had medical value was upheld by the state appellate and supreme courts and remains in effect today.

In 1978, she ordered the towns and boroughs of Berlin, Voorhees, Pine Hill and Stratford to amend, in 90 days, their ordinances that required a minimum of 1,100 square feet of

floor space for building new homes. She called them guilty of "snob zoning" which contributed to a housing shortage.

She retired from the bench 10 years later but remained active with superior court arbitrations, serving on the New Jersey Supreme Court Task Force on Women and as a commissioner on the Camden County Board of Taxation. She was also a supporter of the Camden County Children's Garden and a board member of the Camden County Mental Health Association.

Judge Talbott was remembered for her kindness and professionalism. She loved to read and was a longtime season-ticket holder for the Phillies.

Judge Talbott is survived by children Jane, Kitty, Barbara and James; eight grandchildren; 12 great-grandchildren; and a sister.

Henry "Ev" Evans Hemsath, Jr. L'66

A boyhood trip to Latin America sparked his passion for travel, and later, his many destinations included Central and South America, Canada, Europe and North Africa

James Hirsh L'64, who spent his legal career in-house, died Aug. 28. He was 79.

Mr. Hirsh was born in Philadelphia and attended Germantown Friends in Philadelphia, Princeton University, and after graduating from Penn Law, earned his MBA at the University of Minnesota.

For 28 years, he worked for the law department at IDS/American Express. Mr. Hirsh was also a captain in Princeton's ROTC and served in the Army Reserve.

He was active for many years on the boards of the Cass Gilbert Society and the Ramsey Hill Association of St. Paul, and he was a member of the Sons of the American Revolution. Mr. Hirsh enjoyed traveling, sailing and taking annual hikes in Europe with friends. He was fluent in French and loved to read.

Mr. Hirsh is survived by his wife of 34 years, Debra; children Katherine, Elizabeth and Andrew; granddaughter Kelsey; and brother Leonard.

Louis Schoener L'65, a Philadelphia attorney, died March 12. He is survived by children Louis, Timothy, David and Donald; five grandchildren; and siblings Donald and Kathleen.

Stephen Sussman C'62, L'65, a Philadelphia attorney, died April 26. He was 77.

Mr. Sussman retired in 2015 as of counsel at Klehr, Harrison, Harvey, Branzburg & Ellers, LLP in Philadelphia.

He is survived by his wife, Sima; children Meryl and Adam; brother Mark; and grandchildren Rachel, Avi, Joshua and Max.

Henry "Ev" Evans Hemsath, Jr. L'66, a New Jersey lawyer who loved traveling the world, died March 31. He was 76.

Born in Orange, NJ., Mr. Hemsath graduated from Westfield High School and the University of North Carolina at Chapel Hill. In addition to his J.D. from Penn Law, he also earned a Master of Laws in Taxation from the University

of Miami Law School and a graduate degree in finance, accounting and management from the Rutgers School of Business Administration.

Mr. Hemsath's career in Orange included working for a law firm and running his own tax accounting business.

A boyhood trip to Latin America sparked his passion for travel, and later, his many destinations included Central and South America, Canada, Europe and North Africa. He drove twice to Alaska. His trips often lasted weeks at a time. Favorite locales were the Greek islands, Ephesus, Istanbul, Sicily and Israel. What he enjoyed most about travel, his family said, was stopping in small towns and talking to the locals.

His family remembered him for his devotion, wit and zest for life. Mr. Hemsath is survived by this wife of 25 years, Eileen, and several relatives.

Samuel Pearlman C'63, L'66, an attorney whose legal career spanned five decades, died Feb. 6. He was 75.

Mr. Pearlman was born in Pittsburgh and graduated from Wyoming Seminary before attending the University of Pennsylvania for his undergraduate and law degrees.

He started his law career at Burke, Haber and Berick, and in 1986, was a founding shareholder of Berick, Pearlman and Mills Co., LPA. For nine years, he was a partner at Squire, Sanders & Dempsey LLP, ending his career as of counsel at Singerman, Mills, Desberg & Kauntz Co., LPA.

In 2014, he received the Bob Rosewater Memorial Award for Meritorious Service to the real estate section of the Cleveland Metropolitan Bar Association, which two years later honored him for 50 years of practicing law.

Mr. Pearlman taught at Case Western Reserve Law School for five years.

Mr. Pearlman is survived by his wife of 53 years, Cathy; children Linda Pearlman Kraner C'88 and Caren

Pearlman; and grandchildren Alison, Evie and Talia.

Robert Jones L'68, a longtime New Jersey attorney, died Aug. 30. He was 77.

Mr. Jones was born in New York City and grew up in Bergen County, N.J. He graduated from Ramsey High School and during his time at Yale University, served in the U.S. Army in Korea for two years. Upon completing his degree, he attended Penn Law.

In 1968, he joined Hunziker, Hunziker & Stein in Paterson, N.J., and maintained a branch office in West Milford, N.J. At the law firm's Wayne office, he became a managing partner under its new moniker, Hunziker, Jones & Sweeney, where he worked until retirement in 2014.

Mr. Jones was active in Rotary International and served as president of the West Milford Rotary and as West Milford School Board attorney. For 33 years, he played in and supported the annual Bobby Jones Open Golf Tournament.

In 2014, he and his partner, Diane Senger Schmid, moved to Beaufort, S.C., where he enjoyed golfing, boating and volunteering at Unitarian Universalist Fellowship of Beaufort. He also worked with kids at Thumbs Up, a local after-school program.

He is remembered for his smile and zest for life. He is survived by his partner, Diane; son Bret; two granddaughters; and many others who considered him a father figure.

Richard "Dick" Nassberg W'63, L'68, a corporate banking attorney who later served his community as a county commissioner, died Jan. 8. He was 75.

Mr. Nassberg was born in New York. After attending the Wharton School and Penn Law, he practiced corporate banking law with major firms in New York, Philadelphia, and Houston. He was inducted as a life member of the American Law Institute, where he edited 19 resource books on commercial lending law. He wrote *The Lender's Handbook* in 1986, and

it became a frequently cited source for banking proficiency.

He and his family took a sabbatical from 1993 to 1997 in France, during which they traveled often. Upon moving to Williamsport, Pa., Mr. Nassberg served as a commissioner for Lycoming County from 2000 to 2008. In his tenure, he was responsible for establishing a VA clinic, which was particularly meaningful to him as a reserve military veteran. He also helped the county start a women's intermediate punishment unit at its pre-release center and expanded the 911 service.

Mr. Nassberg was also a black-and-white photographer whose work was featured several times at the Houston Fotofest. Arkansas State University invited him to be a visiting artist in the 1980s, and most recently, his work was featured in a show at the Samek Art Museum at Bucknell University.

Mr. Nassberg is survived by his wife of 36 years, Kathryn; daughters Kathryn and Schuyler; and two grandchildren.

Susan Ross CW'65, L'69, an attorney who left the trappings of New York City for a career in the southwest and a life of adventure, died Nov. 5. She was 74.

Born in Philadelphia, Ms. Ross earned full scholarships to both the University of Pennsylvania, where she studied philosophy, and Penn Law, where she specialized in tax, trusts and estates. Following graduation, she was awarded a Thouron Fellowship to study legal philosophy at Oxford University from 1969 to 1970. Then she received a grant to study the Swedish judicial system at Stockholm University, where she became fluent in Swedish.

Upon returning to the United States, she joined Dewey, Ballantine in New York City. She was also admitted to the American College of Trust and Estate Counsel. Shortly after becoming the firm's first female partner, she fell in love with the artistic ski community in Taos, N.M., on a ski trip and moved there in 1976 to join

a single-person law firm. She practiced there for 20 years. She had a stint as an assistant professor at the Law School of Oregon in 1978 but returned shortly after to Taos, where she loved practicing law, skiing, playing tennis, scuba diving, windsurfing, horseback riding, and golf.

Ms. Ross served on the board of directors of Beneficial Corp., a multibillion dollar financial holding company, for 16 years. For 10 years, she was also a trustee of the Millicent Rogers Museum in Taos. Ms. Ross, who was an art collector, later donated her large collections of Japanese WoodBlocks and works by Larry Bell to the Phoenix Art Museum.

She met her husband, Gary Waddington, at a wedding, and the two relocated to Phoenix, where she joined the firm of Brown and Bain. The couple enjoyed traveling the world together.

Ms. Ross was remembered for her intellect, creativity, love for others and zest for life. She is survived by her husband, Gary; stepchildren Erin, Sara and Ryan; and four grandchildren.



Martin "Marty" Lybecker GL'73, a nationally recognized financial services lawyer, died Sept. 2. He was 72.

Mr. Lybecker earned a B.B.A. in accounting and a J.D. from the University of Washington; an LL.M. in taxation from New York University; and an LL.M. from Penn Law, where he was a graduate fellow of the Center for the Study of Financial Institutions and the Securities Markets.

From 1978 to 1981, he was associate director of the Division of Investment Management at the

Richard "Dick" Nassberg W'63, L'68

He edited 19 resource books on commercial lending law, and wrote *The Lender's Handbook* in 1986. It became a frequently cited source for banking proficiency

Securities Exchange Commission. He would later be a partner at the Washington, D.C., office of Wilmer Cutler Pickering Hale and Dorr, at Ropes & Gray, and at Drinker Biddle & Reath. Since 2010, he had been a partner at Perkins Coie's Washington, D.C., office, where he was chair of the Investment Management practice and co-chair of the Family Office Services practice. Mr. Lybecker was widely regarded as a national expert on the Investment Company Act of 1940 and banking regulation.

Mr. Lybecker was an active member of the American Bar Association, and from 1994 to 2002, he served the Business Law Section as chair of the Committee on Developments in Investment Services. From 2002 to 2005, he chaired the Banking Law Committee, and he became a ladder officer in 2009. Then from 2012 to 2013, he served as chair of the Business Law Section.

Additionally, Mr. Lybecker taught law classes at Georgetown University Law Center, State University of New York at Buffalo, the University of North Carolina and Duke University.

He was remembered for his legal brilliance, kindness and sense of humor, along with his love for Kiawah Island, sweater vests and baseball.

Mr. Lybecker is survived by his wife, Andrea, and sons Neil and Carl.



Tom Rapp L'84, who founded the 1960s band Pearls Before Swine and later became a civil rights attorney, died Feb. 11. He was 70.

Mr. Rapp was born in Bottineau, N.D., and grew up in North Dakota, Minnesota and Florida before graduating in 1965 from Eau Gallie High School near Melbourne, Fla. In

1966, he and a few friends submitted a demo tape to ESP-Disk, a record company who signed eclectic groups. In 1967, their band, Pearls Before Swine, released their first record, "One Nation Underground," which sold about 250,000 copies. He was invited to perform at Woodstock in 1969 but turned down the offer in favor of remaining in the Netherlands, where he was songwriting.

The songs, a combination of rock, folk, blues and classical music that involved several instruments have been described as "hippie folk" and "psychedelic rock." Mr. Rapp preferred the description of "transcendental rock." His song, "Rocket Man," from the band's 1970 *The Use of Ashes* album, was the inspiration for Elton John's hit of the same name. He released four more albums with the band and three solo records before leaving the music industry. He said he never made any money from his work because of a nefarious producer, and in 1976, got a job selling popcorn at a movie theater in Cambridge, Mass.

He later enrolled in Brandeis University, where he graduated from in 1981, and then from Penn Law three years later. He worked as a civil rights attorney in Philadelphia until 2001, focusing on workplace discrimination, and then continued practicing law after moving to Florida.

Mr. Rapp returned to the stage in 1997 at the Terrastock music festival in Providence, R.I., and released a solo album, *A Journal of the Plague Year*, in 1999.

Mr. Rapp is survived by his son, David; wife Lynn Madison; and a granddaughter. ♥

Tom Rapp L'84

He was invited to perform at Woodstock in 1969 but turned down the offer in favor of remaining in the Netherlands, where he was songwriting

ADJOURNED

THE GOAT HAS A SIBLING. Situated in the lobby of Golkin Hall, it is constructed from 12,382 snap-together K'Nex pieces. The new Goat made its debut at the launch of the Penn Law campaign, held at the Pennovation Center. Michael Araten '91, President and CEO of K'Nex, donated this "younger" Goat to the Law School.



The Power of **MULTIGENERATIONAL GIVING** at Penn Law

Are you interested
in making a
multigenerational gift to
Penn Law?

Contact Joanna Brinton at
jbrinton@law.upenn.edu to learn more and
start the conversation.



*Penn Law has continued to evolve. That's
why we gave.*

*At the time we each engaged with the school,
it was exceptional, and it is evolving a
cooperative path for extraordinary future
leaders.*

*It has, continuously. That forward thinking
led us to augment our annual giving by
funding a scholarship.*

- Joe '73 and Joe '06 Sensenbrenner



3501 Sansom Street
Philadelphia, PA 19104
@PennLaw

NONPROFIT ORG
US POSTAGE
PAID
PERMIT NO 5634
PHILA PA 19154

